

Independent Ethics Commission – Formal Complaint Form

Before the Independent Ethics Commission  
of the State of Colorado

*For Commission Use Only*

Received date: 12-Oct-2018

Case. No.: 18-22

The Complainant is: Frank McNulty  
(name)

Mailing Address: 5190 S. University Blvd  
Greenwood Village, CO 80121

Daytime telephone number: \_\_\_\_\_

Email address: Trust@publictrustinstitute.org

The Respondent is: Governor John Hickenlooper  
(name)

Mailing Address: Office of the Governor  
136 State Capitol Bldg  
Denver, CO 80203

Daytime telephone number: 303-866-2471

Email address: \_\_\_\_\_

When did the alleged violation occur: Multiple violations within the last year.

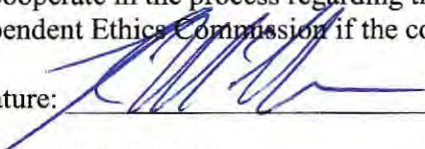
Describe the specific acts or things complained of, with facts that provide a full understanding of the alleged violation(s). If possible, cite the specific ethical standards alleged to have been violated and describe how the violation(s) occurred. Use additional pages if necessary. Attach any documentary evidence you wish to submit.

Please see attached letter detailing full complaint.

Description of acts or things complained of (continued):

Advisement regarding remedies and penalties: Pursuant to Colo. Const. art. XXIX, § 6, a covered individual found to have breached the public trust for private gain is liable for double the amount of the financial equivalent of any benefits obtained by such actions. The manner of recovery and additional penalties may be provided by law. The Commission does not assess criminal penalties, award injunctive relief, or award damages to complainants.

I hereby acknowledge that the facts presented herein are true to the best of my knowledge, and I will cooperate in the process regarding this complaint and will appear at any proceeding of the Independent Ethics Commission if the complaint is scheduled for a hearing.

Signature: 

Attorney (if applicable): \_\_\_\_\_

Dated at Denver (City), Colorado, this 12 day of October, 2018.

Created by Article XXIX of the Colorado Constitution, the Independent Ethics Commission may review and hold hearings on matters falling within its jurisdiction as outlined in Article XXIX.

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October 12, 2018

Colorado Ethics Commission  
1300 Broadway, Suite 240  
Denver, CO 80203

Dear Commissioners:

This Complaint provides specific evidence demonstrating that Governor John Hickenlooper has violated Article XXIX of the Colorado Constitution (“Amendment 41”) and Colorado Revised Statute § 24-6-203(3)(f). The Complaint is timely, non-frivolous and falls under the jurisdiction of the Colorado Independent Ethics Commission (the “Commission”).

This Complaint demonstrates multiple ethical violations by Colorado Governor John Hickenlooper. Specifically, Governor Hickenlooper has repeatedly traveled on private airplanes owned by corporations and accepted travel expenses paid for by corporations, each instance represents a direct violation of the Colorado Constitution, Colorado statutes, and this Commission’s clear precedent restricting travel expenses for covered state officials.

These are not isolated or inadvertent violations of Amendment 41 but, as evidenced in this Complaint, are part of a pattern of illegal conduct repeated throughout Governor Hickenlooper’s term in office. Governor Hickenlooper’s office has implemented a practice of redacting the Governor’s corporate flights and corporate travel expenses from public records to avoid detection while disclosing information about commercial flights and authorized travel expenses. Further, Governor Hickenlooper has not publicly reported any of these travel expenses as gifts or reimbursed costs as required by state statute, C.R.S. § 24-6-203.

As specifically evidenced in this Complaint, Governor Hickenlooper has routinely accepted gifts from corporations for travel expenses in violation of state law and in direct conflict with precedent set forth by this Commission. The evidence set forth in this Complaint proves specific Amendment 41 and C.R.S. § 24-6-203 violations that fall under this Commission’s jurisdiction and demonstrates that it is immediately necessary for this Commission to conduct an investigation of Governor Hickenlooper’s illegal travel practices.

## **I. Applicable Law**

The Colorado Governor expressly qualifies as a “public officer” covered by Amendment 41’s ethics and gift restrictions. Colo. Const. art. XXIX, Section 2(6). Amendment 41 provides strict limitations on a covered officials’ travel expenses, generally prohibiting an elected official from accepting travel expenses valued in excess of \$59. Colo. Const. art. XXIX, Section 3(2). The Commission has specifically ruled that a covered officials’ ability to accept gifts of

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travel and entertainment are severely restricted under Amendment 41. *See Indep. Ethics Comm’n Advisory Opinion 16-02*. It would be a violation of this article for the Governor and his staff to accept airfare and lodging expenses from a for-profit corporation to attend a meeting in Italy where the invitation went to the Governor specifically and not any designee of the state. *See also Indep. Ethics Comm’n Advisory Opinion 10-12; Position Statement 12-01*. The Governor and selected members of his cabinet may only accept travel related expenses for an economic development and trade mission if a non-profit paid for the expenses and the non-profit receives less than 5% of contributions from for profit enterprises.

Amendment 41’s enumerated exceptions are limited to third-party payments of a covered official’s “reasonable travel expenses” for attendance at a convention, fact finding mission or trip *provided that* the expenses are paid by a non-profit that receives less than five percent of its funding from for-profit organizations or by other state or local governments. Colo. Const. art. XXIX, Section 3(3)(f). None of Governor Hickenlooper’s travel activities documented in this Complaint qualify under this exception because these travel expenses were paid for by a for-profit corporation. The exception does not apply to travel expenses paid for by a for-profit corporation.

The Commission has established a separate exception to permit payment of a covered official’s travel expenses if they confer a public, rather than private, benefit. The Commission has ruled that this exception may apply if it is demonstrated that the paid travel expenses do not “inure to the benefit of the covered individual but rather to the governmental entity, department, agency, or institution that employs the covered individual.” *Indep. Ethics Comm’n Position Statement 12-01*. The Commission provides five factors to consider but requires that “the entire context of the proposed travel ... should be evaluated” and that no one factor is determinative (covered officials should consider the following factors when weighing whether to accept travel expenses: whether the offer is to a specific individual or to a government entity; whether the offer is *ex officio*; whether the event is related to the public duties of the covered individual; whether there is an appearance of impropriety; and whether the purpose of the trip is educational to conduct the government business (*Id.*)). None of Governor Hickenlooper’s travel activities documented in this Complaint qualify under this exception because they were plainly offered to Governor Hickenlooper and not the State of Colorado – as evidenced by the fact that none of the activities were reported as gifts to the state pursuant to C.R.S. § 24-6-203. In addition, the travel activities set forth in this Complaint are not related to state business and have a clear appearance of impropriety.

Colorado law severely restricts when a covered official may accept flights on private corporate jets or other travel expenses from a for-profit corporation and, as documented below, Governor Hickenlooper has repeatedly violated these laws.

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## II. Amendment 41 Violations in Prior 12 Months

The following travel and entertainment expenses accepted by Governor Hickenlooper within the prior 12 months are prohibited by Amendment 41, do not qualify under any exceptions, and were not reported as gifts to Governor Hickenlooper or to the State of Colorado as required by C.R.S. § 24-6-203(3)(f).

### A. 2018 Bilderberg Meetings

In June 2018, Governor Hickenlooper attended the Bilderberg Meetings in Turin, Italy. The Bilderberg Meetings are self-described as an international corporate sponsored event. Governor Hickenlooper's travel expenses to attend this event include international air travel, transportation costs, three days lodging, and meals and entertainment expenses during the entire event.

Governor Hickenlooper was listed as a participant in the 2018 Bilderberg Meetings that took place between June 7, 2018, and June 10, 2018, in Turin, Italy. (See Bilderberg Participant List attached as **Exhibit A**). In addition, media reports confirm Governor Hickenlooper's attendance, including a recorded interview of Governor Hickenlooper at the Bilderberg Meetings (See Bilderberg Media Report attached as **Exhibit B**).

The Bilderberg Meetings is an annual corporate sponsored event that lasts for four days. Bilderberg Meetings discloses on its website that "[t]he hospitality costs of the annual meeting are the responsibility of the steering committee member(s) of the host country." For the 2018 meeting in Turin, Italy, hospitality costs were covered by the Chairman of Fiat Chrysler Automobiles, John Elkann. (See 2018 Host Country Sponsor Information attached as **Exhibit C**). Details related to the Bilderberg Meetings are famously shrouded in secrecy, with details and information withheld related to attending participants, detailed lists of topics, and the total costs associated with the extravagant event. The Bilderberg Meetings discussions, meetings, activities, tours, and extensive hospitality events are closed to the public and the press.

Governor Hickenlooper's activities related to the Bilderberg Meetings include multiple violations of state law.

### 1) Transportation in Maserati Violated Amendment 41

Governor Hickenlooper was interviewed at the Bilderberg Meeting as he exited a chauffeured Maserati limousine. (See Bilderberg Hickenlooper Interview attached as **Exhibit D**). This transportation expense, caught on camera, by itself exceeds Amendment 41's \$59 limit. As evidence, the cost of this luxury transportation service from just the hotel to the airport would be \$273.33 (See Quote for Comparable Ground Transportation

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attached as **Exhibit E**). A state official in Colorado certainly could not accept corporately funded limousine services in Colorado and Governor Hickenlooper's acceptance of this corporate sponsored gift in Italy is a clear legal violation. Governor Hickenlooper's luxury transportation costs throughout the conference by itself represents multiple violations of Amendment 41.

## **2) Luxury Hotel Accommodations Violated Amendment 41**

Bilderberg participants, including Governor Hickenlooper, stayed in the NH Lingotto Congress Hotel, a former Fiat car factory converted to a luxury hotel. The average nightly hotel rate for NH Lingotto Congress Hotel by itself exceeds Amendment 41's \$59 limitation. (See Average Nightly Rate at NH Lingotto Congress attached as **Exhibit F**). Governor Hickenlooper's three-night accommodation costs as a luxury hotel by itself represents multiple violations of Amendment 41.

## **3) Flight to Italy Violated Amendment 41**

The Governor's air travel to Italy for the Bilderberg Meetings also clearly exceeds Amendment 41's \$59 limit. Requested public records do not disclose exactly how the Governor traveled to Italy for the Bilderberg Meetings, they only show that he departed the U.S. from Detroit, Michigan on June 6<sup>th</sup>, and all flight information has been redacted.

The fact that the Governor's Office redacted this flight information is significant. A review of several years of the Governor's travel schedule reveals that it is a common practice for the Governor's office to redact flights on privately-owned corporate airplanes partially or entirely, while commercial flights are listed by airline name with only the confirmation number redacted (a practice that prevents the public from knowing who is providing the Governor with private air transportation and whether it is appropriate). The Governor's Office practice of redacting private jet travel information indicates that Governor Hickenlooper's flight to Bilderberg Meetings was on a private jet. Through its investigation the Commission could access records to confirm this fact.

Regardless of whether Governor Hickenlooper's flight to Italy was commercial or a private corporate jet, either of the two possible alternatives would violate Amendment 41's \$59 gift limitation. The cost for a commercial flight from Denver to Turin, Italy is listed at \$1,128 and higher (See Commercial Flight Cost Detail attached as **Exhibit G**). The average cost for a seat on a private commercial jet from Denver to Turin, Italy is ranges from \$8,235 to \$10,588 (See Private Flight Cost Estimate to Turin, Italy attached as **Exhibit H**). Governor Hickenlooper's air travel expenses for a trip to Bilderberg Meetings is a significant violation of Amendment 41.



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## 4) Meals and Activities Violated Amendment 41

Governor Hickenlooper's participation in the Bilderberg Meetings caused multiple violations of Amendment 41 based on the fact that meals, event costs, activity costs, private tour costs, and gift bags all represent individual violations of Amendment 41. The Bilderberg Meetings detail the "hospitality" expenses paid for by the corporate host of the four-day event and include:

- Meals at the meeting venue and off site;
- Luxury activities and events throughout the Conference;
- Private tours of the city of Turin; and
- Gift bag from Chrysler Fiat.

(See Reported Hospitality at Bilderberg Meeting attached as **Exhibit I**). Governor Hickenlooper's schedule during the Bilderberg Meeting demonstrates that he participated in these corporate paid events- listing fifteen redacted calendar events during the Bilderberg Meetings dates of June 6<sup>th</sup> through June 10<sup>th</sup>. (See Governor's Schedule from June 6-11, attached as **Exhibit J**). Governor Hickenlooper's "hospitality" expenses at the Bilderberg Meetings represent multiple violations of Amendment 41.

Governor Hickenlooper's expenses related to the Bilderberg Meetings represent multiple violations of Amendment 41 and the narrow Amendment 41 exceptions do not apply to this event. First, the expenses were not paid by a non-profit or state government. Second, the exception for gifts inuring to the benefit of the state does not apply because: the offer to attend was made to Governor Hickenlooper and not a government entity- he could not have sent a member of his staff or another state employee to this international leader event; the offer was not made *ex officio*; the international event was not related to the Governor's state duties; there is an appearance of impropriety because Governor Hickenlooper is accepting corporate gifts throughout the event that violate Colorado's Amendment 41; and the purpose of the trip was of personal or political benefit to the Governor, not educational and certainly not to conduct state government business in Turin, Italy. The Bilderberg Meetings are a political international event, paid for by corporate sponsors, and Governor Hickenlooper's attendance at this international event was for his own personal and political benefit and gain. In fact, the Governor's Office acknowledges that this event is not state business by redacting all the Bilderberg Meetings events from Governor Hickenlooper's public calendar and not reporting any of the Bilderberg Meetings costs as gifts to the state per C.R.S. § 24-6-203.

Bilderberg is a luxurious, corporately paid event to discuss international affairs amongst global business and political leaders. This is precisely the type of event Amendment 41 is intended to restrict. The Commission investigation will be able to define the full scope of

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expenses Governor Hickenlooper accepted as part of the Bilderberg Meetings beyond the multiple violations identified and evidenced above.

## **B. M.D.C. Holdings, Inc. Corporate Jet Travel and Accommodations**

On March 15, 2018, Governor Hickenlooper traveled to attend and speak at a federal event honoring the Commissioning of the USS Colorado Navy submarine in Connecticut. The Amendment 41 issue was created by the fact that M.D.C. Holdings, a privately held Colorado corporation, paid for Governor Hickenlooper's private air travel and hotel accommodations in direct violation of Amendment 41.

### **1) Corporate Private Jet Costs Violated Amendment 41**

On March 15, 2018, Governor Hickenlooper traveled from Centennial, Colorado to Groton, Connecticut, on MDC Holdings' private airplane. (See the M.D.C. Holdings Travel Agenda – March 15-17 attached as **Exhibit K**). The private aircraft listed to fly Governor Hickenlooper from Colorado to Groton, CT, was plane #N333MX (See **Exhibit K**). FAA records demonstrate that plane #N333MX is a private jet owned by M.D.C. Holdings. (See FAA Ownership Record attached as **Exhibit L**). The estimated cost for one seat on a corporate jet travelling from Centennial, Colorado to Groton, CT ranges from \$1,800 to \$2,600. (See Private Flight Cost Estimate to Groton, CT attached as **Exhibit M**). Governor Hickenlooper's acceptance of travel on a corporate owned jet paid for by M.D.C. Holdings is a violation of Amendment 41.

### **2) Hotel Costs Violated Amendment 41**

In addition, M.D.C. Holdings' travel documentation indicate that the corporation paid for Governor Hickenlooper's hotel accommodations during this trip as part of the "MDC Delegation". (See the M.D.C. Holdings Travel Agenda – March 15-17 attached as **Exhibit K**). Following his stay, the Governor went on to New York City via train on March 17 and all of his scheduled activities in New York City have been redacted. (See Governor's Schedule from March 17<sup>th</sup> attached as **Exhibit N**).

Accepting private corporate air travel and related travel expenses from a private corporation violates Amendment 41 and none of the Amendment 41 exceptions apply. M.D.C. Holding is a private corporation and, therefore the Amendment 41 exceptions for non-profits does not apply. While M.D.C. Holdings has a charitable foundation that could have funded the trip, the applicable non-profit exception would not apply because that non-profit accepts more than 5% of total contributions from a for-profit corporation. The MDC/Richmond American Foundation ("Foundation") is fully funded by M.D.C. Holding Corp. and therefore would not qualify under Amendment 41's exemption for charities that receive less than 5% of total



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contributions form a for-profit corporation. The Foundation's 2017 IRS Form 990 shows the Foundation received total contributions in the amount of \$3,117,000, all of which were from M.D.C. Holdings. In its most recent 10-K filing from December 2017, M.D.C. Holdings discloses that it "...contributed \$3.0 million, \$1.0 million and \$1.0 million in cash to the MDC/Richmond American Homes Foundation (the "Foundation") for each of the years ended December 31, 2017, 2016, and 2015, respectively." (See Foundation and M.D.C. Holdings Federal Filings attached as **Exhibit O**). The Foundation has \$40,945,913 in total assets which means that the \$5 million from M.D.C. Holdings exceeds 5% of its contributions, and it would not fall under the narrow constitutional exception for gifts from a non-profit organization.

The exception for gifts inuring to the benefit of the state also does not apply. The offer to pay expenses was extended to Governor Hickenlooper and not a government entity; the offer was not made *ex officio*; and the purpose of the trip was not educational or to conduct government business. (See Indep. Ethics Comm'n Advisory Opinion 16-02 (it would be a violation of Amendment 41 for the Governor to accept airfare and lodging expenses from a for-profit corporation to attend a meeting where he was invited to speak as the Governor, not as a designee of the state, the invitation was not *ex officio* but rather to the governor in his personal capacity, and the activities at the event did not appear to relate to state government related business)). Furthermore, there is a significant appearance of impropriety in Governor Hickenlooper accepting this gift from M.D.C. Holdings because M.D.C. Holdings is a Colorado corporation that is directly impacted by Colorado laws, legislation, regulations and Executive Orders. In addition, there is a further appearance of impropriety in this case based on the fact that Governor Hickenlooper received political contributions from M.D.C. Holdings' executives, including maximum contributions from M.D.C. Holdings' CEO Larry Mizel. Finally, the Governor apparently added a personal or political trip to New York City after he flew to Connecticut on the privately-funded air travel.

This type of activity, travelling on a corporate jet and accepting other travel expenses from a Colorado corporation, is the precise activity that Amendment 41 is intended to prevent, and these activities are clear violations of state law.

## **C. Private Corporate Plane to Teterboro, NJ**

On January 10, 2018, Governor Hickenlooper travelled on a private jet from New Jersey to Colorado. The private flight departed from the Meridian Executive Terminal at Teterboro Airport in New Jersey. The Governor's Office lists the Meridian Executive Terminal as the air terminal Governor Hickenlooper was flying from (See Governor's Schedule from January 10<sup>th</sup> attached as **Exhibit P**). The Meridian Executive Terminal is used exclusively for private flights.

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## 1) Luxury Travel Accommodations Violated Amendment 41

The Meridian Executive Terminal is not a standard air terminal but instead is a luxurious private air terminal that provides extensive accommodations to guests. The Meridian Executive Terminal is described as follows:

...expertly designed for functionality and aesthetic style. The lobby is a sunny, pleasing space with comfortable seating areas and a flat-screen television. The customer service counter is staffed by experienced professionals, knowledgeable experts who reach out to help arriving clients meet their flight crews or drivers, show the way to the well-appointed restrooms, or assist in a myriad of other ways. In fact, the staff includes concierge service comparable to what you would find at prestigious five-star hotels. Representatives are chosen for their warm personalities and friendly smiles. Quite simply, they are trained to be the best in the world.

Amenities found in Meridian's 30,000 sq. ft. terminal include a private movie theater, pool table, sleep rooms, and a gym complete with locker rooms and private showers – all for those rare occasions when travelers are awaiting departure. There are also quiet rest areas, functional work stations and state-of-the-art flight planning facilities.

(See Meridian Brochure attached as **Exhibit Q**). The costs associated with Governor Hickenlooper using these expensive accommodations are a clear violation of Amendment 41.

## 2) Private Jet Costs Violated Amendment 41

Governor Hickenlooper's private flight left from Teterboro, New Jersey and arrived at the Airmax Hangar at 8481 Aviator Lane, Centennial, CO 80112. The Airmax Hangar houses Airmax LLC, which public documents establish as a private jet services company. The hangar at the address listed also includes The Intrepid Companies and Rare Air, LTD. The cost of one seat on a private jet from New Jersey to Colorado exceeds Amendment 41 limitations (See Private Flight Cost Estimate from New Jersey attached as **Exhibit R**). A Commission investigation will determine who paid for these extensive private air travel expenses and define the full scope of resulting Amendment 41 violations.

Governor Hickenlooper's records list this private jet terminal for his outbound flight-demonstrating that he accepted accommodations and private air travel expenses that far exceeds

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Amendment 41 limits, but Governor Hickenlooper's Office once again redacts the flight information, as it does for all private jet travel Governor Hickenlooper has accepted.

## **D. Additional Private Jet Flights in 2018**

Records demonstrate that Governor Hickenlooper traveled on additional private jets in 2018, but the Governor's Office practice of redacting Governor Hickenlooper's private air travel prevents the public from understanding the full scope of these additional Amendment 41 violations, in direct violation of state law. Additional examples of Governor Hickenlooper travelling on private jets in just the past 12 months include:

### **1) Jackson Hole Travel May Have Violated Amendment 41**

On August 13, 2018, Governor Hickenlooper took a flight from Dallas, Texas to Jackson Hole, Wyoming to attend the American Enterprise Institute's Jackson Hole Symposium. The Governor's Office has redacted all details of the flight except for the flight arrival time of approximately 5:00 pm, but then notes that it "Could land closer to 6 pm if have to stop for fueling." This detail demonstrates that Governor Hickenlooper was on a private aircraft because a commercial flight would not have a variable schedule based on refueling. (See Governor's Schedule from August 13<sup>th</sup> Calendar in **Exhibit S**). Once again, this private air travel creates an Amendment 41 compliance issue, and Governor Hickenlooper's attempt to preclude review of his private air travel by redacting information about this restricted activity raises highly relevant Amendment 41 concerns, including who owned the plane and who paid for the flight and any other travel related expenses. Further, American Enterprise Institute is a non-profit think tank with annual revenues last year of \$84,616,388 from private corporations and his activities at the American Enterprise Institute event are also likely restricted under Amendment 41. Wealthy individuals and corporations often attend these events on private jets and Governor Hickenlooper's travel to and participation in this corporate funded event in Jackson Hole, Wyoming create significant Amendment 41 concerns that must be investigated and addressed by the Commission.

### **2) Aspen Institute Travel May Have Violated Amendment 41**

Governor Hickenlooper's schedule shows he left an event at the Aspen Institute in Aspen, Colorado on Friday, August 10<sup>th</sup> at 12:00 p.m. Then all travel information is redacted until Governor Hickenlooper is in Washington, D.C. on August 12, 2018. (See Governor's Schedule from August 10-13 attached as **Exhibit S**). Aspen Institute, based in Washington, D.C., with a campus in Aspen, Colorado, hosts wealthy individuals and corporations and individuals attending Aspen Institute functions typically travel to and from Aspen, Colorado by private jet. Governor Hickenlooper's practice of redacting

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private air travel indicates that Governor Hickenlooper accepted private travel services related to his participation with this Aspen Institute event.

### **3) Canada Air Travel May Have Violated Amendment 41**

On September 6, 2018, Governor Hickenlooper's schedule shows that he departed on a flight from Montreal airport. All flight details are redacted. All information on the Governor's schedule the following three days are redacted. Governor Hickenlooper's schedule then shows he is again in Colorado on September 10, 2018. (*See Governor's Schedule from September 6 -10, 2018 attached as **Exhibit T***). Governor Hickenlooper's practice of redacting air travel on private jets indicates that this travel to Canada was privately funded and requires review by the Commission to determine the scope of Amendment 41 violations.

Governor Hickenlooper has executed a scheme to prevent review and scrutiny of his extensive travel on private jets. In response to open records requests, Governor Hickenlooper's Office provides public schedules that include details related to Governor Hickenlooper's commercial air travel, including flight details, the name of the airline and the location of the airport. In contrast, Governor Hickenlooper's Office does not include information about private air travel or actually redacts information about the private air travel. Redacted information includes information about who is hosting Governor Hickenlooper's air travel, who owns the plane, and the plane's tail number (which would provide plane ownership information). This information is blacked out to ensure the public cannot review information about Governor Hickenlooper's extensive private air travel.

There is no legal basis for Governor Hickenlooper's office to treat information about commercial air travel and private jet air travel differently under Colorado's Open Records Act. The withholding and redaction of information about Governor Hickenlooper's private air travel is carried out to conceal the fact that Governor Hickenlooper routinely accepts private air travel that grossly violates the express restrictions set forth in Amendment 41.

This Complaint not only demonstrates specific Amendment 41 violations but also reveals Governor Hickenlooper's pattern of accepting gifts of expensive private air travel services and shielding this information from public review and scrutiny. Based on facts showing this unlawful practice, this Commission should request the Governor provide a full detail of each private flight he has accepted and who paid for each flight. This information, which the Commission could request from Governor Hickenlooper as part of this investigation, and which Governor Hickenlooper could easily provide, is tantamount to enforcing the basic ethical restrictions set forth in Amendment 41.

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### III. Prior Amendment 41 Violations Demonstrates Pattern of Illegal Activities

The information set forth above documents Governor Hickenlooper's Amendment 41 violations that have occurred within the prior 12 months and remain within the Commission's jurisdiction for investigation and enforcement. However, additional records demonstrate that Governor Hickenlooper has engaged in a routine practice of accepting travel and entertainment gifts that violate Amendment 41. While certain violations set forth below no longer fall within the Commission's jurisdiction because the illegal act occurred over one year ago, this information remains relevant to the Commission's review. This past information demonstrates that Governor Hickenlooper's current Amendment 41 violations are not outliers or inadvertent mistakes, but instead are part of an established pattern of illegal conduct related to Governor Hickenlooper accepting private travel gifts in direct violation of Amendment 41.

Governor Hickenlooper has regularly accepted gifts of private jet travel from corporations in direct violation of state law, including the specific illegal acts set forth below.

#### A. Liberty Media Corporate Jet Travel

Records demonstrate that Governor Hickenlooper has repeatedly traveled on corporate jets owned by Liberty Media, a Colorado based for-profit corporation, in direct violation of Amendment 41. Records demonstrate that Governor Hickenlooper departed and returned on flights out of Liberty Media's dedicated corporate airline hangar located at 12345 Liberty Blvd, including:

##### 1) Davos, Switzerland Private Jet Costs Violated Amendment 41

Between January 16, 2017 and January 20, 2017, Governor Hickenlooper flew on an aircraft owned by Liberty Media Corp from the Liberty Media Corporate Jet Hangar in Centennial to Davos, Switzerland and back for the World Economic Forum ("WEF"). (See Governor's Schedule from January 16 & 20, 2017 attached as **Exhibit U**).

In fact, Governor Hickenlooper flew to Davos, Switzerland for the WEF four more times in 2012, 2013, 2015 and 2016. For these earlier trips the flight information is almost completely redacted but records of the trips in 2012, 2015 and 2016 provide partial information showing that Governor Hickenlooper flew out of "Centennial" airport. (See Governor's Schedules to Davos, Switzerland for 2012, 2015 and 2016 attached as **Exhibit V**). There are no international commercial flights that depart from Centennial airport.

Governor Hickenlooper's travel on Liberty Media's corporate jet to Davos, Switzerland in 2017 is a significant violation of Amendment 41 and Governor



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Hickenlooper's travel on corporate jets to Davos, Switzerland in 2012, 2015 and 2016, owned by Liberty Media or some other corporation, demonstrates repeated violations and a complete disregard of complying with Amendment 41.

## **2) Lander, Wyoming Private Jet Costs Violated Amendment 41**

Between September 18, 2015 and September 20, 2015, Governor Hickenlooper flew on an aircraft owned by Liberty Media Corp from the Liberty Media Corporate Jet Hangar in Centennial, Colorado to Lander, Wyoming and back for the "One Shot Antelope Contest." (See Governor's Schedule from September 18-20, 2015 attached as **Exhibit W**). Accepting a flight on this corporate jet is a clear violation of Amendment 41 along with costs associated with the event.

## **3) Aspen, Colorado Private Jet Costs Violated Amendment 41**

On October 24, 2012, Governor Hickenlooper flew on an aircraft owned by Liberty Media from the Liberty Media Corporate Jet Hangar in Centennial, Colorado to Aspen, Colorado and back for a "Biennial Board Meeting." (See Governor's Schedule from October 24, 2012 attached as **Exhibit X**). Accepting a flight on this corporate jet is a clear violation of Amendment 41.

## **4) Arkansas Private Jet Costs Violated Amendment 41**

On June 22, 2012, Governor Hickenlooper flew on an aircraft owned by Liberty Media from the Liberty Media Corporate Jet Hangar in Centennial, Colorado to Arkansas and back for a "Trail Tour." (See Governor's Schedule from June 22, 2012 attached as **Exhibit Y**). Accepting a flight on this corporate jet is a clear violation of Amendment 41.

As documented above, Governor Hickenlooper has repeatedly flown on a plane owned by Liberty Media, a for-profit corporation based in Colorado that is directly impacted by laws, legislation and regulations that Governor Hickenlooper enacts and enforces. Each instance is not only a direct violation of Amendment 41 but documents a relationship in which Governor Hickenlooper regularly accepts gifts from Liberty Media that fall under the Commission's direct jurisdiction of enforcing Amendment 41. These clear violations are established by a limited and redacted set of documents that Governor Hickenlooper attempted to shield from scrutiny. These facts demonstrate that it is necessary for this Commission to investigate the full scope of what gifts Governor Hickenlooper has accepted from Liberty Media, including additional private jet travel and any other gifts given to Governor Hickenlooper from Liberty Media in the prior 12 months.



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## **B. Super Bowl 50 Private Jet Costs Violated Amendment 41**

Governor Hickenlooper attended Superbowl 50 on February 7, 2016. Governor Hickenlooper departed from the “Vail Valley Jet Center,” which is a private plane facility located in Vail, Colorado and landed at the San Francisco Airport (SFO). (See Governor’s Schedule from February 7, 2016 attached as **Exhibit Z**).

A state government official accepting private jet travel to attend a Super Bowl is the very type of activity that Amendment 41 is intended to restrict and the fact that Governor Hickenlooper flew to a Super Bowl on a private jet raises significant secondary concerns, such as whether the owner of the private jet, or some other individual or corporation, also paid for Governor Hickenlooper’s Super Bowl tickets, ground transportation to and from the private jet, and other costs associated with Governor Hickenlooper attending such a high-dollar event.

## **C. Rocky Mountain Regional Airport Travel**

Governor Hickenlooper has flown to and from Rocky Mountain Regional Airport on private aircraft not owned by the state. Rocky Mountain Regional Airport is a private plane facility, demonstrating that Governor Hickenlooper is flying on private jets out of this airport. (See Governor’s Schedule showing flights to and from Rocky Mountain Regional Airport attached as **Exhibit AA**).

One heavily redacted trip on Governor Hickenlooper’s schedule occurred on April 8, 2018, when Governor Hickenlooper and his wife arrived on a private plane at Rocky Mountain Airport at 4:30 pm after a two-and-a-half-hour flight. All of the flight details are redacted- consistent with Governor Hickenlooper’s practice of concealing private air travel while he is serving as Governor. However, press accounts show that on April 7<sup>th</sup> Governor Hickenlooper was in Dallas, Texas officiating the wedding of Kimbal Musk. (See Kimbal Musk Wedding Event Reports attached as **Exhibit BB**). The approximate flight time from Dallas to the Denver Metro area is two and a half hours. Kimbal Musk resides in Boulder, Colorado. The closest private jet terminal to Boulder, Colorado is Rocky Mountain Regional Airport. Kimbal Musk owns and operates private corporations based in Colorado.

There are no public records showing Kimbal Musk or his Colorado based corporations own a private aircraft but Kimbal Musk’s brother, Elon Musk, owns multiple private aircraft through his corporations. These private aircraft include N628TS Gulfstream G650ER and N887XF Falcon 7X. Elon Musk is the founder of multiple high-profile corporations, including Tesla Automotive, which sells electric vehicles in Colorado and significantly benefitted from Governor Hickenlooper’s Low Emission

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Vehicle Executive Order (Governor Hickenlooper Executive Order Number B2018 006) which required a higher number of electric vehicles to be sold in Colorado under a state standard that significantly benefits Tesla auto sales. These facts are even more concerning based on the fact that multiple individuals have reported that Governor Hickenlooper traveled by private aircraft to tour a Tesla facility located in Nevada.

The fact that Governor Hickenlooper traveled on a private aircraft to the Dallas event is an Amendment 41 issue and the remaining facts surrounding this matter must be reviewed by the Commission based on the scope of Amendment 41 concerns.

The Governor's Office practice of redacting Governor Hickenlooper's travel on private aircraft (while disclosing details on commercial aircraft) conceals the full scope of information relevant to Governor Hickenlooper's private air travel. As a result, the information contained above represents only that fraction of Governor Hickenlooper's private aircraft travel that could be deduced from public sources. A working copy of Governor Hickenlooper's known private aircraft travel is attached for your reference to assist the Commission's investigation. (*See Working Copy of Private Aircraft Travel attached as **Exhibit CC***).

These facts demonstrating violations of Amendment 41 in the prior 12 months, along with prior violations, demonstrate the critical need for the Commission to investigate Governor Hickenlooper's travel related activities that violate Amendment 41.

## **IV. Failure to Report**

In addition to the Amendment 41 violations set forth above, Governor Hickenlooper has violated Colorado Revised Statute §24-6-203, requiring the disclosure of gifts. The Governor, as a public officer, is expressly subject to Colorado's gift reporting and disclosure laws: "Public office includes without limitation, the governor...". C.R.S. §24-6-203(1)(b)(I).

By statute, Governor Hickenlooper must report, on a quarterly basis, a list of any gifts, honoraria, and other benefits from private sources. C.R.S. §24-6-203. The list of gifts and benefits that must be reported expressly includes: 1) Payment of travel expenses for attendance at a convention, fact-finding mission trip, or other meeting; 2) Any monetary payment given, directly or indirectly, for the purpose of defraying any expenses related to the office duties undertaken by the incumbent; or 3) An in-kind gift which means "any gift of equipment, goods, supplies, property, services, or anything else, the value of which exceeds fifty dollars in the aggregate in any one calendar year..." (C.R.S. §24-6-203(3)(f)).

As set forth above, Governor Hickenlooper has received numerous travel benefits that are valued well over \$50 and that must be disclosed pursuant to C.R.S. §24-6-203. Governor Hickenlooper has not reported any of these travel benefits and expenses. (Governor

# Public Trust INSTITUTE

Hickenlooper's Gift, Honoraria, and Benefits Disclosure Reports from 2012 to present are attached as **Exhibit DD**).

The fact that Governor Hickenlooper did not report private travel expenses aligns with Governor Hickenlooper's practice of redacting this information to shield it from public review. Governor Hickenlooper's failure to report each travel expense required to be disclosed under C.R.S. §24-6-203 represents a separate legal violation under the Commission's jurisdiction.

Each of the gifts evidenced in this Complaint appear to be clearly given to Governor Hickenlooper and not to the State of Colorado. Even if Governor Hickenlooper claims any one of these gifts were given to the state, C.R.S. §24-6-203 requires such gifts be reported. Governor Hickenlooper did not report any of these gifts as gifts to the state.

## **V. Conclusion**

This Complaint establishes by written record specific violations of Amendment 41 and C.R.S. §24-6-203 by Governor Hickenlooper that occurred within the 12 months. This Complaint also establishes that these legal violations are not isolated incidents but in fact are the continuation of an illicit pattern of abuse in which Governor Hickenlooper has repeatedly violated Amendment 41 and attempted to conceal the violations.

Enforcement of these clear Amendment 41 violations and investigating the broader pattern of illicit conduct is immediately necessary to preserve trust in state government. Governor Hickenlooper's practice of routinely flying on corporate aircraft is well-known and often discussed amongst state employees and other public officials. Information about who is paying for Governor Hickenlooper's extensive travel expenses, and how these travel expenses could possibly be maintained in compliance with Amendment 41, is purposefully and actively withheld by Governor Hickenlooper.

The Governor of Colorado is "The supreme executive power of the state who shall take care that the laws be faithfully executed." Governor Hickenlooper's recurring violations of Colorado's ethic and gift laws not only represents specific illegal acts but also demonstrates a failure of his constitutional duties to uphold the law. Governor Hickenlooper's flagrant violations of state ethic and gift laws not only represents specific illegal acts but has created a dangerous precedent for every other covered official in the State of Colorado.

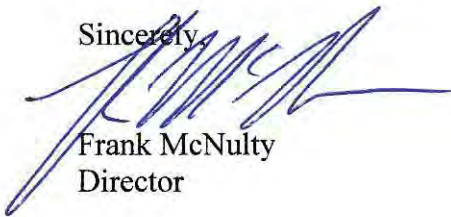
This Complaint demonstrates specific violations by Governor John Hickenlooper of Amendment 41 and C.R.S. §24-6-203 that must be enforced. This Complaint demonstrates, by extensive written record, the fact that the claims are non-frivolous and require immediate investigation by the Commission. This Complaint demonstrates the enormous scope of these violations, which requires a complete review of Governor Hickenlooper's travel activities that may violate Amendment 41 and/or C.R.S. §24-6-203, and, specifically, a detailed review of each

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private flight and other travel related benefits that Governor Hickenlooper has accepted since becoming Governor. Governor Hickenlooper maintains these specific records and can easily provide these records to the Commission so that the Commission can complete its duties to investigate this matter and effectively enforce Amendment 41.

Thank you for your review of this critical matter.

Sincerely,

A handwritten signature in blue ink, appearing to read 'F. McNulty', is written over the word 'Sincerely'.

Frank McNulty  
Director

# **Exhibit A**

## Bilderberg Participant List

# BILDERBERG MEETINGS

The  
OFFICIAL  
WEBSITE

HOME

BACKGROUND

MEETINGS

FAQ

MEETING 2018

PARTICIPANTS

## LIST OF PARTICIPANTS 2018

### CHAIRMAN STEERING COMMITTEE

Achleitner, Paul M. (DEU), *Chairman Supervisory Board, Deutsche Bank AG; Treasurer, Foundation Bilderberg Meetings*

Agius, Marcus (GBR), *Chairman, PA Consulting Group*

Alesina, Alberto (ITA), *Nathaniel Ropes Professor of Economics, Harvard University*

Altman, Roger C. (USA), *Founder and Senior Chairman, Evercore*

Amorim, Paula (PRT), *Chairman, Américo Amorim Group*

Anglade, Dominique (CAN), *Deputy Premier of Quebec; Minister of Economy, Science and Innovation*

Applebaum, Anne (POL), *Columnist, Washington Post; Professor of Practice, London School of Economics*

Azoulay, Audrey (INT), *Director-General, UNESCO*

Baker, James H. (USA), *Director, Office of Net Assessment, Office of the Secretary of Defense*

Barbizet, Patricia (FRA), *President, Temaris & Associés*

Barroso, José M. Durão (PRT), *Chairman, Goldman Sachs International; Former President, European Commission*

Beerli, Christine (CHE), *Former Vice-President, International Committee of the Red Cross*

Berx, Cathy (BEL), *Governor, Province of Antwerp*

Beurden, Ben van (NLD), *CEO, Royal Dutch Shell plc*

Blanquer, Jean-Michel (FRA), *Minister of National Education, Youth and Community Life*

Botín, Ana P. (ESP), *Group Executive Chairman, Banco Santander*

Bouverot, Anne (FRA), *Board Member; Former CEO, Morpho*

Brandtzaeg, Svein Richard (NOR), *President and CEO, Norsk Hvdro ASA*



Brende, Borge (INT), *President, World Economic Forum*

Brennan, Eamonn (IRL), *Director General, Eurocontrol*

Brnabic, Ana (SRB), *Prime Minister*

Burns, William J. (USA), *President, Carnegie Endowment for International Peace*

Burwell, Sylvia M. (USA), *President, American University*

Caracciolo, Lucio (ITA), *Editor-in-Chief, Limes*

Carney, Mark J. (GBR), *Governor, Bank of England*

Castries, Henri de (FRA), *Chairman, Institut Montaigne; Chairman, Steering Committee Bilderberg Meetings*

Cattaneo, Elena (ITA), *Director, Laboratory of Stem Cell Biology, University of Milan*

Cazeneuve, Bernard (FRA), *Partner, August Debouzy; Former Prime Minister*

Cebrián, Juan Luis (ESP), *Executive Chairman, El País*

Champagne, François-Philippe (CAN), *Minister of International Trade*

Cohen, Jared (USA), *Founder and CEO, Jigsaw at Alphabet Inc.*

Colao, Vittorio (ITA), *CEO, Vodafone Group*

Cook, Charles (USA), *Political Analyst, The Cook Political Report*

Dagdeviren, Canan (TUR), *Assistant Professor, MIT Media Lab*

Donohoe, Paschal (IRL), *Minister for Finance, Public Expenditure and Reform*

Döpfner, Mathias (DEU), *Chairman and CEO, Axel Springer SE*

Ecker, Andrea (AUT), *Secretary General, Office Federal President of Austria*

Elkann, John (ITA), *Chairman, Fiat Chrysler Automobiles*

Émié, Bernard (FRA), *Director General, Ministry of the Armed Forces*

Enders, Thomas (DEU), *CEO, Airbus SE*

Fallows, James (USA), *Writer and Journalist*

Ferguson, Jr., Roger W. (USA), *President and CEO, TIAA*

Ferguson, Niall (USA), *Milbank Family Senior Fellow, Hoover Institution, Stanford University*

Fischer, Stanley (USA), *Former Vice-Chairman, Federal Reserve; Former Governor, Bank of Israel*

Gilvary, Brian (GBR), *Group CFO, BP plc*

Goldstein, Rebecca (USA), *Visiting Professor, New York University*

Gruber, Lilli (ITA), *Editor-in-Chief and Anchor "Otto e mezzo", La7 TV*

Hajdarowicz, Greg (POL), *Founder and President, Gremi International Srl*

Halberstadt, Victor (NLD), *Professor of Economics, Leiden University; Chairman Foundation Bilderberg Meetings*

Hassabis, Demis (GBR), *Co-Founder and CEO, DeepMind*

Hedegaard, Connie (DNK), *Chair, KR Foundation; Former European Commissioner*

Helgesen, Vidar (NOR), *Ambassador for the Ocean*

Herlin, Antti (FIN), *Chairman, KONE Corporation*

Hickenlooper, John (USA), *Governor of Colorado*

Hobson, Melody (USA), *President, Ariel Investments LLC*

Hodgson, Christine (GBR), *Chairman, Capgemini UK plc*

Hoffman, Reid (USA), *Co-Founder, LinkedIn; Partner, Greylock Partners*

Horowitz, Michael C. (USA), *Professor of Political Science, University of Pennsylvania*

Hwang, Tim (USA), *Director, Harvard-MIT Ethics and Governance of AI Initiative*

Ischinger, Wolfgang (INT), *Chairman, Munich Security Conference*

Jacobs, Kenneth M. (USA), *Chairman and CEO, Lazard*

Kaag, Sigrid (NLD), *Minister for Foreign Trade and Development Cooperation*

Karp, Alex (USA), *CEO, Palantir Technologies*

Kissinger, Henry A. (USA), *Chairman, Kissinger Associates Inc.*

Knot, Klaas H.W. (NLD), *President, De Nederlandsche Bank*

Koç, Ömer M. (TUR), *Chairman, Koç Holding A.S.*

Köcher, Renate (DEU), *Managing Director, Allensbach Institute for Public Opinion Research*

Kotkin, Stephen (USA), *Professor in History and International Affairs, Princeton University*

Kragic, Danica (SWE), *Professor, School of Computer Science and Communication, KTH*

Kravis, Henry R. (USA), *Co-Chairman and Co-CEO, KKR*

Kravis, Marie-Josée (USA), *Senior Fellow, Hudson Institute; President, American Friends of Bilderberg*

Kudelski, André (CHE), *Chairman and CEO, Kudelski Group*

Lepomäki, Elina (FIN), *MP, National Coalition Party*

Leyen, Ursula von der (DEU), *Federal Minister of Defence*

Leysen, Thomas (BEL), *Chairman, KBC Group*

Makan, Divesh (USA), *CEO, ICONIQ Capital*

Massolo, Giampiero (ITA), *Chairman, Fincantieri Spa.; President, ISPI*

Mazzucato, Mariana (ITA), *Professor in the Economics of Innovation and Public Value, University College London*

Mead, Walter Russell (USA), *Distinguished Fellow, Hudson Institute*

Michel, Charles (BEL), *Prime Minister*

Micklethwait, John (USA), *Editor-in-Chief, Bloomberg LP*

Minton Beddoes, Zanny (GBR), *Editor-in-Chief, The Economist*

Mitsotakis, Kyriakos (GRC), *President, New Democracy Party*

Mota, Isabel (PRT), *President, Calouste Gulbenkian Foundation*

Moyo, Dambisa F. (USA), *Global Economist and Author*

Mundie, Craig J. (USA), *President, Mundie & Associates*

Neven, Hartmut (USA), *Director of Engineering, Google Inc.*

Noonan, Peggy (USA), *Author and Columnist, The Wall Street Journal*

Oettinger, Günther H. (INT), *Commissioner for Budget & Human Resources, European Commission*

O'Leary, Michael (IRL), *CEO, Ryanair D.A.C.*

O'Neill, Onora (GBR), *Emeritus Honorary Professor in Philosophy, University of Cambridge*

Osborne, George (GBR), *Editor, London Evening Standard*

Özkan, Behlül (TUR), *Associate Professor in International Relations, Marmara University*

Papalexopoulos, Dimitri (GRC), *CEO, Titan Cement Company S.A.*

Parolin, H.E. Pietro (VAT), *Cardinal and Secretary of State*

Patino, Bruno (FRA), *Chief Content Officer, Arte France TV*

Petraeus, David H. (USA), *Chairman, KKR Global Institute*

Pichette, Patrick (CAN), *General Partner, iNovia Capital*

Pouyanné, Patrick (FRA), *Chairman and CEO, Total S.A.*

Pring, Benjamin (USA), *Co-Founder and Managing Director, Center for the Future of Work*

Rankka, Maria (SWE), *CEO, Stockholm Chamber of Commerce*

Ratas, Jüri (EST), *Prime Minister*

Rendi-Wagner, Pamela (AUT), *MP (SPÖ); Former Minister of Health*

Rivera Díaz, Albert (ESP), *President, Ciudadanos Party*

Rossi, Salvatore (ITA), *Senior Deputy Governor, Bank of Italy*

Rubesa, Baiba A. (LVA), *CEO, RB Rail AS*

Rubin, Robert E. (USA), *Co-Chairman Emeritus, Council on Foreign Relations; Former Treasury Secretary*

Rudd, Amber (GBR), *MP; Former Secretary of State, Home Department*

Rutte, Mark (NLD), *Prime Minister*

Sabia, Michael (CAN), *President and CEO, Caisse de dépôt et placement du Québec*

Sadjadpour, Karim (USA), *Senior Fellow, Carnegie Endowment for International Peace*  
Sáenz de Santamaría, Soraya (ESP), *Deputy Prime Minister*  
Sawers, John (GBR), *Chairman and Partner, Macro Advisory Partners*  
Schadlow, Nadia (USA), *Former Deputy National Security Advisor for Strategy*  
Schneider-Ammann, Johann N. (CHE), *Federal Councillor*  
Scholten, Rudolf (AUT), *President, Bruno Kreisky Forum for International Dialogue*  
Sikorski, Radosław (POL), *Senior Fellow, Harvard University; Former Minister of Foreign Affairs, Poland*  
Simsek, Mehmet (TUR), *Deputy Prime Minister*  
Skartveit, Hanne (NOR), *Political Editor, Verdens Gang*  
Stoltenberg, Jens (INT), *Secretary General, NATO*  
Summers, Lawrence H. (USA), *Charles W. Eliot University Professor, Harvard University*  
Thiel, Peter (USA), *President, Thiel Capital*  
Topsøe, Jakob Haldor (DNK), *Chairman, Haldor Topsøe Holding A/S*  
Turpin, Matthew (USA), *Director for China, National Security Council*  
Wahlroos, Björn (FIN), *Chairman, Sampo Group, Nordea Bank, UPM-Kymmene Corporation*  
Wallenberg, Marcus (SWE), *Chairman, Skandinaviska Enskilda Banken AB*  
Woods, Ngairé (GBR), *Dean, Blavatnik School of Government, Oxford University*  
Yetkin, Murat (TUR), *Editor-in-chief, Hürriyet Daily News*  
Zeiler, Gerhard (AUT), *President, Turner International*

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# **Exhibit B**

## Bilderberg Media Report



# Hickenlooper goes to Bilderberg; suspicions follow

*Author:* Joey Bunch - June 13, 2018 - *Updated:* June 13, 2018

Is John Hickenlooper the anointed next president chosen by people who secretly control the world? Or does he just enjoy hanging out with a brainy crowd?

The website [WeAreChange.org](http://WeAreChange.org) calls the Colorado governor the “new Bilderberg Golden Boy” after he attended the private Bilderberg Group conference in Turin, Italy, last weekend. The website says its mission is to “expose the lies of governments and the corporate elite who constantly trash our humanity.”



Gov. John Hickenlooper arriving at the Bilderberg Group conference in Turin. (Screen grab of [WeAreChange.org](http://WeAreChange.org) video via YouTube)

The annual private Bilderberg gathering is a favorite target of those who deem it a secretive society hatching plots. It gets its name from the [Hotel De Bilderberg](#) in Oosterbeek, Netherlands, where the first conference was held in 1954.

> **RELATED: Hickenlooper drops hints about presidential run at D.C. event**



The website staked out the conference and caught video of Hickenlooper at the airport. The website's reporters also questioned, "Vatican Taking Over Bilderberg?" with "breaking news" of spotting Cardinal Pietro Parolin, the secretary of state for the Vatican.

Below is a video posted on YouTube by WeAreChange showing what the group calls its "epic confrontation with new Bilderberg Golden Boy John Hickenlooper."

The conference's website in advance provided the names of the 131 North American and European leaders and intellectuals who were to attend. The Hickenlooper gotcha had already been gotten last week by Newsweek in an opinion piece by Charlie Skelton, who called the conference "the Super Bowl of corporate lobbying."

Notably, however, Hickenlooper is the only invitee this year thought to be a presidential possibility, even though the Democratic governor says he has no plans to jump in the 2020 race.

Last year's attendees included Sen. Tom Cotton, a Republican from Arkansas and Trump loyalist considered a future GOP presidential candidate, as well as Wilbur Ross, Trump's secretary of Commerce.

While Hickenlooper may or may not be the world elites' Manchurian candidate, his invitation speaks to his rising national and international profile, if he were to seek higher office.

Colorado Politics asked him about the trip at a press conference Wednesday morning. He said he paid his own way, but he maintained it was not a signal he's jumping in the race for president.

"Some of the smartest people that I know — academics, business leaders — go there to share ideas," he said. "There's nothing evil or sinister that I could see there."

Hickenlooper said participants collect ideas they can take back to their businesses and governments.

"People were very interested in some of the things we've done in Colorado," he said. "When we do those kinds of trips, whether it's the East Coast or West Coast or when I go economic trips to Asia or India a year ago, it's great to brag about Colorado."

Peter Boyles broke the news in Denver on his radio show on 710 KNUS Monday morning. "He came to kiss the ring," Boyles said, then giggled the local media for not reporting on the trip.

“If you can’t figure out the Front Range media predicated on the governor of the state leaving like this mysterious leave — you don’t know where he is for four days — nobody says a GD thing, and you say, ‘OK, I’m going to believe them now.’”

Another Bilderberg invitee was Reid Hoffman, the co-founder of LinkedIn, who donated \$1 million to help elect Democrat Mike Johnston as Hickenlooper’s successor this year. LinkedIn was a major player in Hickenlooper’s public-private jobs website called Skillful, along with the Merkle Foundation and Microsoft the past couple of years.

The list also included analyst Charlie Cook of the The Cook Political Report, Washington Post columnist Anne Applebaum, American University president Sylvia M. Burwell, Mark Carney, governor of the Bank of England and John Elkann, chairman of Fiat Chrysler Automobiles.

According to a press release from the Bilderberg Group sent out before the event, the topics to be discussed were:

- Populism in Europe
- The inequality challenge
- The future of work
- Artificial intelligence
- The US before midterms
- Free trade
- US world leadership
- Russia
- Quantum computing
- Saudi Arabia and Iran
- The “post-truth” world
- Current events

# **Exhibit C**

## **2018 Host Country Sponsor Information**

## STEERING COMMITTEE

### CHAIRMAN

Castries, Henri de (FRA), *Chairman, Institut Montaigne*

Achleitner, Paul M. (DEU), *Chairman Supervisory Board, Deutsche Bank AG; Treasurer, Foundation Bilderberg Meetings*

Altman, Roger C. (USA), *Founder and Senior Chairman, Evercore*

Barbizet, Patricia (FRA), *President, Temaris & Associés*

Barroso, José M. Durão (PRT), *Chairman, Goldman Sachs International; Former President, European Commission*

Botín, Ana P. (ESP), *Group Executive Chairman, Banco Santander*

Brandtzæg, Svein Richard (NOR), *President and CEO, Norsk Hydro ASA*

Döpfner, Mathias (DEU), *Chairman and CEO, Axel Springer SE*

Elkann, John (ITA), *Chairman, Fiat Chrysler Automobiles*

Accessed from: <http://bilderbergmeetings.org/steering-committee.html>

# **Exhibit D**

## Bilderberg Hickenlooper Interview



***WeAreChange:*** Interview of Governor Hickenlooper at Bilderberg Meeting – June 10, 2018  
[https://www.youtube.com/watch?time\\_continue=103&v=4\\_EmfIKnq\\_4](https://www.youtube.com/watch?time_continue=103&v=4_EmfIKnq_4)



## **Exhibit E**

# Quote for Comparable Ground Transportation



## **Exhibit F**

### Average Nightly Rate at NH Lingotto Congress Hotel

Location  
NH Torino Lingotto Congress

Check-in:  
11/10/2018

Check-out:  
14/10/2018

Occupancy  
1 Adults, 0 Children, 0 Babies / 1 Rooms

Edit

[Home](#) > [Italy](#) > [Turin](#) > NH Torino Lingotto Congress



## NH Torino Lingotto Congress

★★★★★

From  
**111.01 EUR**  
per night

Select Room

VAT included. Additional City Tax applies

Via Nizza, 262 10126, Turin Italy

Phone number +33 176 54 1942


Hotel

Accessed from: <https://www.nh-hotels.com>

# **Exhibit G**

## Commercial Flight Cost from Denver to Turin

BEST FLIGHTS

☐


4:10 pm - 12:25 pm<sup>+</sup>


Lufthansa

1 stop

MUC

12h 15m

DEN - TRN

☐


10:15 am - 4:45 pm

Lufthansa

1 stop

FRA

13h 30m

TRN - DEN

Operated by Lufthansa CityLine

1


1

\*\*\*

\$1128

KAYAK

View Deal

☐


5:25 pm - 6:00 pm<sup>+</sup>


United

1 stop

FRA

16h 35m

DEN - TRN

☐


8:55 am - 3:35 pm

United

1 stop

MUC

13h 40m

TRN - DEN

Operated by Air Dolomiti DBA Lufthansa, Lufthansa

1

1

\*\*\*

\$1907

United

View Deal

Accessed from: [www.kayak.com](http://www.kayak.com) (Roundtrip ticket from DEN to TRN, with one-month advanced purchase).



# **Exhibit H**

## **Private Flight Cost Estimate to Turin, Italy**

## Book A Flight From Our Selection

Whether you are traveling for business or leisure Pentastar Aviation Charter, Inc. (PACI) operates a diverse selection of aircraft and our charter sales representatives have access to an expansive network of approved aircraft charter vendors so that we can provide you with the ideal aircraft to and from virtually any location.

 Aircraft Size	 Capacity	 Range (statute miles)	 Estimated Cost per Flight Hour	 Common Aircraft Type
Helicopter	3-5 Passengers	Up to 368	\$1200-1800	Bell 206BIII Bell 206L-1
Turbo Prop	6-8 Passengers	Up to 1,500	\$1800-2200	King Air 200
Light	6-8 Passengers	Up To 2,100	\$2100-2800	Citation Jet Series Hawker 400 XP Learjet 45 / 75 Phenom 300
Mid-Size	7-9 Passengers	Up To 2,700	\$2700-4000	Citation XL/XLS Hawker 800XP Hawker 850XP Hawker 900XP Learjet 80 / 80XR Gulfstream 150
Super Mid-Size	8-9 Passengers	Up To 3,600	\$3500-4500	Citation Latitude Challenger 300/350 Citation X / Sovereign Falcon 50EX Gulfstream 200 / 280 Legacy 450 / 500
Heavy	9-16 Passengers	Up To 4,500	\$4500-8500	Challenger 604 / 605 Falcon 2000 EX / LXS Gulfstream IV / IVSP / 450 / 400 Legacy 600
Ultra Long Range	12-17 Passengers	Up To 7,500	\$7000-9000	Falcon TX Gulfstream V / 550 / 650 Global

Accessed from: <https://www.pentastaraviation.com/available-aircraft>

Assumptions: The flight from Denver to Turin is 5,234 miles one way and takes approximately 10 hours. At the rates listed by the private jet company above, an Ultra Long haul plane would be required costing between \$70,000 to \$90,000 to charter the entire plane for a one way trip. Assuming prices could be divided by up to 17 passengers on a full plane – a single seat cost for a round trip would range from \$8,235 to \$10,588.

# **Exhibit I**

## Reported Hospitality at Bilderberg Meeting

Governor Hickenlooper at a Bilderberg Social Event:



Accessed from: <https://twitter.com/deYook>

Governor Hickenlooper on a Bilderberg organized tour of the Cappella Della Sindone



Accessed from: <https://twitter.com/deYook>

## Other Reported Bilderberg Private Tours:

**Charlie Skelton** @deYook · Jun 9

Tourist tip: if you're in [#Turin](#) right now & fancy seeing the [#Bilderberg](#) security circus (and glimpsing a Prime Minister or silicon valley billionaire), the participants are currently spending a couple of hours of downtime at the Royal Library, the Biblioteca Reale di Torino.



## Reported Bilderberg Private Off-Site Dinner:

**Charlie Skelton** @deYook · Jun 9

when [#Bilderberg](#) goes out for dinner, they travel with a small army of security. Bilderberg is a summit important enough to merit a vast security operation (including the actual army), not important enough for mainstream news organisations to send a reporter. Pic. [@goldenfiligree](#)



Bilderberg Participant Gift Bags:



The gifts included Italian toiletries, a coffee-table art book with foreword from the Agnelli family, and mints branded with sketches of the Lingotto Fiat building

One hot topic currently dominating the agenda in Europe — immigration — was noticeable by its absence. And, as with so many corporate jamborees, each attendee is given a blue-and-white goodie-bag at the end, tagged with the message: 'A gift from the Italian hosts of Bilderberg thanks to the generosity of Fiat Chrysler Automobiles.'

Accessed from: Daily Mail: Mail reporter infiltrates shadowy Bilderberg summit where the West's power brokers set the world to rights

<https://www.dailymail.co.uk/news/article-5850053/Undercover-worlds-elitist-secret-society.html>

# **Exhibit J**

## **Governor Hickenlooper's Bilderberg Meeting Schedule June 6-11, 2018**



Wednesday, June 6, 2018

5:00 AM	
6:00 AM	
7:00 AM	
8:00 AM	<p>8:15 AM - 8:30 AM Security Pick - 8:15 am --&gt; Cobo</p> <p>8:30 AM - 10:00 AM TAB 1 - ITS America Annual Meeting on Smart States with Gov. Snyder ROUNDTABLE REMARKS @ 9:10 AM (POC: Laura Chace INDOORS, BUS NESS (full time of event: 8:45 - 10am) (Staff: Conor)</p> <p>9:00 AM Cobo center 4420, 1 Washington Blvd, Detroit, MI 48226 (Grand Ballroom)</p>
10:00 AM	<p>10:00 AM - 10:15 AM TAB 2 - Walk and Meet with Shailen Bhatt (Staff: Conor) Cobo Center Grand Ball Room --&gt; Shailen's Office</p> <p>10:15 AM - 10:45 AM TAB 3 - Australian Transportation Minister Paul Fletcher (POC: Rory Linehan (Staff: Conor) Shailen's Office - 1 Washington Blvd Detroit MI 48226</p> <p>10:45 AM - 11:30 AM Tour ITS Conference lead by Ron Thaniel from ITS - (Staff: Conor) Cobo Center - 1 Washington Blvd Detroit MI 48226 - Meet Ron outside of Shailen's Office</p>
11:00 AM	<p>11:30 AM - 1:00 PM TAB 4 - Office Time/Work Time/Lunch Time Shailen's Office Room 332 in the Cobo Center Detroit</p>
12:00 PM	
1:00 PM	<p>1:00 PM - 1:20 PM Security Pick Up - 1:00 pm Cobo Center --&gt;</p>
2:00 PM	
3:00 PM	<p>2:15 PM - 3:30 PM</p>
4:00 PM	
5:00 PM	<p>Jun 6 4:40 PM - Jun 7 6:10 AM</p>
6:00 PM	
7:00 PM	
8:00 PM	
9:00 PM	

\_\_\_\_\_

████████████████████

Friday, June 8, 2018

Friday, June 8, 2018	
5:00 AM	
6:00 AM	
7:00 AM	
8:00 AM	
9:00 AM	
10:00 AM	
11:00 AM	
12:00 PM	
1:00 PM	
2:00 PM	
3:00 PM	
4:00 PM	
5:00 PM	
6:00 PM	
7:00 PM	
8:00 PM	
9:00 PM	

Saturday, June 9, 2018

5:00 AM		
6:00 AM		
7:00 AM		
8:00 AM		
9:00 AM		
10:00 AM		
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12:00 PM		
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8:00 PM		
9:00 PM		

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[illegible]

Monday, June 11, 2018

5:00 AM	
6:00 AM	
7:00 AM	
8:00 AM	
9:00 AM	
10:00 AM	10:00 AM - 10:30 AM - Security Pick Up - 10:00am → WEF Meeting
11:00 AM	11:00 AM - 11:30 AM - TAB 1 - Meeting with WEF Prof. Schwab and Paul Smyke (POC); Catherine Layfield
	11:30 AM - 12:30 PM - Security Pick Up - 11:30 am WEF →
12:00 PM	
1:00 PM	
2:00 PM	
4:00 PM	
5:00 PM	
6:00 PM	
7:00 PM	
8:00 PM	
9:00 PM	

# **Exhibit K**

## MDC Holdings Travel Agenda March 15-17



## USS Commissioning Schedule March 15-17

### Attendees:

**Larry A. Mizel:** Chairman & CEO, MDC Holdings  
**Michael Touff:** VP & General Counsel, MDC Holdings  
**Gary Reece:** Director, MDC Holdings  
**Herb Buchwald:** Director, MDC Holdings  
**Don Kortz:** Director, MDC/Richmond American Foundation  
**Michael Pollak:** Director, MDC/Richmond American Foundation  
**Governor John Hickenlooper** (*Leg 1 to Groton, only*)  
**Pat Meyers:** Chief of Staff to the Governor  
**Major General Michael A. Loh:** Colorado Adjutant General (*Leg 1 only*)  
**Dianne Loh** (*Leg 1 only*)

### Weather:

**Thursday** – Partly Cloudy with a **High of 43** Degrees  
**Friday** – Partly Cloudy with a **High of 36** Degrees  
**Saturday** – Partly Cloudy with a **High of 37** Degrees

### Thursday, March 15

**1:00 PM**

#### **Wheels Up from Centennial Airport (KAPA)**

*Tail Number N333MX*

FBO is Richmond Flight Operations: 7761 S. Peoria Street,  
Englewood, CO 80112. Phone: (303) 649-0930

*-Business Casual*

*A light lunch will be served on the plane with Wi-Fi available (see log-in instructions on page 5)*

**6:20 PM**

#### **Arrival in Groton, Connecticut (KGON)**

FBO is Mystic Jet Center: 175 Tower Ave., Groton CT  
Phone: (860) 715-8800

*Ground transportation arranged for transfer to hotel and then onto dinner (via sprinter van).*

**Hotel: Mystic Marriott**

@ 625 North Road in Groton, CT

*Individual rooms are reserved through the room block.*

“Experience the stunning Mystic Marriott Hotel & Spa in Groton, CT; ideally located near Mystic Seaport, Mohegan Sun Casino, and Foxwoods Casino. The hotel features Elizabeth Arden Red Door Spa, the Octagon Restaurant, an indoor pool, and free WiFi.”

*Depart the Hotel @ 7:20 pm via sprinter van.*

**7:30 PM****MDC Delegation Dinner**

@ Olio Restaurant – Private Room Reserved

33 Kings Highway in Groton, CT

*- Business Casual*

“The menu is a well-rounded mix of traditional favorites and edgy surprises. The chef prepares many daily specials – constantly challenging taste buds. There are always specialty drinks and wines to enhance the overall dining experience... an enjoyable and lively atmosphere providing creative and exciting cuisine.”

*Maj Gen & Mrs. Dianne Loh joining.*

*Ground transportation arranged for transfer back to the hotel (via sprinter van).*

**Friday, March 16**

*Depart the Hotel @ 12:15 pm via sprinter van to the Submarine Museum (1 Crystal Lake Road), then transfer to Military Transportation @ 12:45 to get on Base. Military Transportation will then be provided to the Submarine School, Clambake, Tour of the Submarine, and then back to the Submarine Museum for pick up via sprinter van.*

**1:00 PM - 2:00 PM: Private Tour of the Submarine School**

*-Business Casual*

**2:00 PM - 3:00 PM: VIP Reception & Clambake**

@ Morton Hall Gymnasium – Naval Submarine Base

*-Business Casual & Fleece Suggested*

New England Clambake Reception for major donors and special guests. 400 attendees expected.

**3:00 PM – 3:30 PM: Private Tour of the Submarine**

*-Business Casual*

A special tour of the submarine for the MDC Delegation directly after the reception. *(with Hickenlooper joining)*

*Military Transfer back to the Submarine Museum, then ground transportation arranged for transfer back to the hotel (via sprinter van).*

**6:30 PM – 9:30 PM: Chairman’s Reception**

*@ the Mystic Marriott*

*-Business Dress*

The premier reception of the Commissioning Week: an invitation-only event, attended by Navy and Government leaders, COLORADO crewmembers and others who have helped with the commissioning efforts and their guests. This reception provides a forum for the Captain, Commissioning Committee Chairman and crewmembers to meet the individuals and thank them for the support the commissioning and everything they have done to help bring the future USS COLORADO (SSN 788) to life. 400 attendees expected. *Hickenlooper gives remarks @ 7:40pm.*

*Depart the Hotel @ 7:50 pm via sprinter van*

**8:00 PM: MDC Delegation Dinner**

*@ The Oyster Club*

*13 Water Street in Mystic, CT*

*- Business Casual*

“Oyster Club is a casual fine dining restaurant and raw bar specializing in the richness of the New England coast and countryside. The menu, which is written daily, showcases food that travels the shortest distance from ‘farm & sea to table,’ with seasonality and location determining the day’s delicacies. Benefiting from the region’s many local farmers and fisherman, Oyster Club features bounty from the sea, pasture raised beef, local produce and artisanal cheeses.”

*Governor Hickenlooper & Maj Gen & Mrs. Dianne Loh joining.*

*Ground Transportation via sprinter van back to the hotel.*

## **Saturday, March 17**

**9:00 AM:**

### **Platform Briefing Breakfast**

@ the Mystic Marriott

*-Business Dress*

A VIP breakfast prior to the actual Commissioning Event. This breakfast is an opportunity to meet the principal participants in the ceremony, senior Navy, Government and Community leaders, Industry leaders, and other key supporters of the Commissioning event. 200 attendees expected.

*Ground transportation will be provided by the commissioning committee to the Base.*

**11:00 AM:**

### **Commissioning Ceremony**

*-Business Dress with Outer Wear*

The Commissioning Ceremony is the U.S. Navy event which marks the official entry of COLORADO to the Navy's fleet. Over 3,000 expected to attend, but the MDC Delegation will have VIP seating.

**12:15 PM:**

### **Post-Commissioning Reception**

For all attending the commissioning, there will be a reception at the head of the pier immediately following the ceremony. This reception is hosted by the Commissioning Committee and will feature Colorado food and beverage.

*Ground transportation will be provided by the commissioning committee back to the hotel.*

### **Check Out: Mystic Marriott**

@ 625 North Road in Groton, CT

*Late Check-out has been requested for the MDC Delegation*

*Ground transportation arranged for transfer from the hotel to lunch and onto the FBO (via sprinter van). The following times are still TBD and based on the logistics of getting everyone back to the hotel in a timely manner.*

*Depart the Hotel @ 1:45 pm*

**2:00 PM:**

**MDC Delegation Lunch**

@ Chester's BBQ

943 Poquonnock Rd.

- *Business Casual*

"Barbecue varies by region so we try to cover the gambit from Texas Beef Brisket to mid-Atlantic pulled pork. We even added Corned Beef with mustard sauce after some Customer prodding on St. Paddy's Day."

"Slow-cooked smoked meats are the specialty of this strip-mall joint also serving chili & sandwiches..."

"This is a 5 star BBQ in a strip mall that has employees that care and take the time to explain the menu."

**3:00 PM**

**Wheels Up from Groton, Connecticut (KGON)**

*Tail Number N333MX*

FBO is Mystic Jet Center: 175 Tower Ave., Groton, CT

Phone: (860) 715-8800

-*Business Casual*

*Snacks/Dessert will be served on the plane and Wi-Fi available.*

**5:05 PM**

**Arrival at Centennial Airport (KAPA)**

FBO is Richmond Flight Operations: 7761 S. Peoria Street,  
Englewood, CO 80112. Phone: (303) 649-0930

**Instructions for Wi-Fi on the Plane:**

Airplane Network Name (SSID): **N333MX**

Password: **Richmond**

The app **Gogo Vision** allows access to over 100 movies, television, and news programs on-board the airplane:

iPhone:

<https://itunes.apple.com/us/app/gogo-vision-biz-av/id985641239?mt=8>

Android:

<https://play.google.com/store/apps/details?id=com.aircell.aircellife>

On older phones (early iPhone 6 or older and older Android), passengers will need to download **Gogo Text & Talk (Biz Av)** to use their phone on-board. (This would only be phones that are several years old and do not have Wi-Fi calling capability.)

Our Pilots suggest passengers enable Wi-Fi calling in advance to make it easier upon boarding:

On an iPhone, go to Settings/Phone/Wi-Fi Calling

For Android phones, it will depend on the phone version

The Pilots will also be available, as needed, to assist.

# **Exhibit L**

## **FAA Ownership Record**



# FAA REGISTRY

## N-Number Inquiry Results

**N333MX is Assigned**

### Aircraft Description

<b>Serial Number</b>	120	<b>Status</b>	Valid
<b>Manufacturer Name</b>	DASSAULT	<b>Certificate Issue Date</b>	08/03/2007
<b>Model</b>	FALCON 2000EX	<b>Expiration Date</b>	01/31/2021
<b>Type Aircraft</b>	Fixed Wing Multi-Engine	<b>Type Engine</b>	Turbo-fan
<b>Pending Number Change</b>	None	<b>Dealer</b>	No
<b>Date Change Authorized</b>	None	<b>Mode S Code (base 8 / oct)</b>	50721035
<b>MFR Year</b>	2007	<b>Mode S Code (base 16 / hex)</b>	A3A21D
<b>Type Registration</b>	Corporation	<b>Fractional Owner</b>	NO

### Registered Owner

<b>Name</b>	M D C HOLDINGS INC		
<b>Street</b>	4350 S MONACO ST STE 500		
<b>City</b>	DENVER	<b>State</b>	COLORADO
<b>County</b>	DENVER	<b>Zip Code</b>	80237-3400
<b>Country</b>	UNITED STATES		

### Airworthiness

<b>Engine Manufacturer</b>	P&W CANADA	<b>Classification</b>	Standard
<b>Engine Model</b>	PW308C	<b>Category</b>	Transport
<b>A/W Date</b>	07/20/2007	<b>Exception Code</b>	No

The information contained in this record should be the most current Airworthiness information available in the historical aircraft record. However, this data alone does not provide the basis for a determination regarding the airworthiness of an aircraft or the current aircraft configuration. For specific information, you may request a copy of the aircraft record at <http://aircraft.faa.gov/e.gov/ND/>

### Other Owner Names

None

### Temporary Certificates

<b>Certificate Number</b>	T130431	<b>Issue Date</b>	01/18/2013	<b>Expiration Date</b>	02/17/2013
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### Fuel Modifications

None

# **Exhibit M**

## **Private Flight Cost Estimate Denver to Groton, CT**

## Book A Flight From Our Selection

Whether you are traveling for business or leisure Pentastar Aviation Charter, Inc. (PACI) operates a diverse selection of aircraft and our charter sales representatives have access to an expansive network of approved aircraft charter vendors so that we can provide you with the ideal aircraft to and from virtually any location.

 Aircraft Size	 Capacity	 Range (statute miles)	 Estimated Cost per Flight Hour	 Common Aircraft Type
Helicopter	3-5 Passengers	Up to 368	\$1200-1800	Bell 206BIII Bell 206L-1
Turbo Prop	6-8 Passengers	Up to 1,500	\$1800-2200	King Air 200
Light	6-8 Passengers	Up To 2,100	\$2100-2800	Citation Jet Series Hawker 400 XP Learjet 45 / 75 Phenom 300
Mid-Size	7-9 Passengers	Up To 2,700	\$2700-4000	Citation XL/XLS Hawker 800XP Hawker 850XP Hawker 900XP Learjet 80 / 80XR Gulfstream 150
Super Mid-Size	8-9 Passengers	Up To 3,600	\$3500-4500	Citation Latitude Challenger 300/350 Citation X / Sovereign Falcon 50EX Gulfstream 200 / 280 Legacy 450 / 500
Heavy	9-16 Passengers	Up To 4,500	\$4500-8500	Challenger 604 / 605 Falcon 2000 EX / LXS Gulfstream IV / IVSP / 450 / 400 Legacy 600
Ultra Long Range	12-17 Passengers	Up To 7,500	\$7000-9000	Falcon TX Gulfstream V / 550 / 650 Global

Accessed from: <https://www.pentastaraviation.com/available-aircraft>

As noted in Exhibit K, Governor Hickenlooper flew aboard a Falcon 2000 EX with 10 people on the flight from Denver to Groton, CT. With a flight time of approximately 4 hours, the single cost per seat of a comparable private jet would range from: \$1,800 to \$2,600 for the one-way flight.

# **Exhibit N**

## Governor Hickenlooper's Schedule from March 17, 2018

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5/24/2018 16:22:34

# **Exhibit O**

Foundation and M.D.C.  
Holdings Federal Filings



058

2949116002022 8

Form **990-PF**

# Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

OMB No 1545-0052

**2017**

Open to Public Inspection

Department of the Treasury  
Internal Revenue Service

- ▶ Do not enter social security numbers on this form as it may be made public.  
▶ Go to [www.irs.gov/Form990PF](http://www.irs.gov/Form990PF) for instructions and the latest information.

For calendar year 2017 or tax year beginning

, 2017, and ending

, 20

Name of foundation <b>MDC/RICHMOND AMERICAN HOMES FOUNDATION</b>		A Employer identification number <b>84-1561013</b>
Number and street (or P.O. box number if mail is not delivered to street address) <b>4350 S. MONACO STREET</b>	Room/suite	B Telephone number (see instructions) <b>303-804-7716</b>
City or town, state or province, country, and ZIP or foreign postal code <b>DENVER, CO, 80237</b>		C If exemption application is pending, check here <input type="checkbox"/>
G Check all that apply: <input type="checkbox"/> Initial return <input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Final return <input type="checkbox"/> Amended return <input type="checkbox"/> Address change <input type="checkbox"/> Name change		D 1. Foreign organizations, check here <input type="checkbox"/> 2. Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/>
H Check type of organization. <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/>
I Fair market value of all assets at end of year (from Part II, col (c), line 16) ▶ \$ <b>40,845,913</b>	J Accounting method. <input type="checkbox"/> Cash <input checked="" type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____ (Part I, column (d) must be on cash basis)	F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input checked="" type="checkbox"/>

## Part I

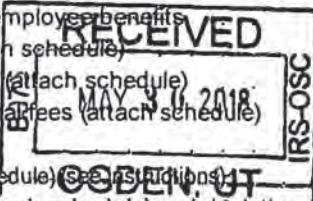
**Analysis of Revenue and Expenses** (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions).)

(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1 Contributions, gifts, grants, etc., received (attach schedule)	3,117,000		
2 Check <input type="checkbox"/> if the foundation is not required to attach Sch. B			
3 Interest on savings and temporary cash investments	3,852	8,692	
4 Dividends and interest from securities	643,800	643,782	
5a Gross rents			
b Net rental income or (loss)			
6a Net gain or (loss) from sale of assets not on line 10	68,862		
b Gross sales price for all assets on line 6a <b>14,919,722</b>			
7 Capital gain net income (from Part IV, line 2)		382,900	
8 Net short-term capital gain			
9 Income modifications			
10a Gross sales less returns and allowances			
b Less Cost of goods sold			
c Gross profit or (loss) (attach schedule)	0		
11 Other income (attach schedule)	134,428	(39,523)	
12 Total. Add lines 1 through 11	3,967,942	995,851	0
13 Compensation of officers, directors, trustees, etc.			
14 Other employee salaries and wages			
15 Pension plans, employee benefits			
16a Legal fees (attach schedule)	6,425	6,425	
b Accounting fees (attach schedule)	12,000	12,000	
c Other professional fees (attach schedule)	223,351	223,351	
17 Interest			
18 Taxes (attach schedule) (see instructions)			
19 Depreciation (attach schedule) and depletion			
20 Occupancy			
21 Travel, conferences, and meetings			
22 Printing and publications			
23 Other expenses (attach schedule)	38,362	38,362	
24 Total operating and administrative expenses. Add lines 13 through 23	280,138	280,138	0
25 Contributions, gifts, grants paid	1,236,091		1,236,091
26 Total expenses and disbursements. Add lines 24 and 25	1,516,229	280,138	0
27 Subtract line 26 from line 12:			
a Excess of revenue over expenses and disbursements	2,451,713		
b Net investment income (if negative, enter -0-)		715,713	
c Adjusted net income (if negative, enter -0-)			0

For Paperwork Reduction Act Notice, see instructions.

SCANNED JUL 26 2018

Operating and Administrative Expenses





<b>Part II Balance Sheets</b>		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions.)		
		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
<b>Assets</b>	1 Cash—non-interest-bearing . . . . .	847,267	6,018,584	6,018,584
	2 Savings and temporary cash investments . . . . .			
	3 Accounts receivable ▶ . . . . .			
	Less: allowance for doubtful accounts ▶ . . . . .			
	4 Pledges receivable ▶ . . . . .			
	Less: allowance for doubtful accounts ▶ . . . . .			
	5 Grants receivable . . . . .			
	6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions) . . . . .			
	7 Other notes and loans receivable (attach schedule) ▶ . . . . .			
	Less: allowance for doubtful accounts ▶ . . . . .			
	8 Inventories for sale or use . . . . .			
	9 Prepaid expenses and deferred charges . . . . .			
	10a Investments—U.S. and state government obligations (attach schedule) . . . . .			
	b Investments—corporate stock (attach schedule) . . . . .	57,053	71,434	71,434
	c Investments—corporate bonds (attach schedule) . . . . .			
	11 Investments—land, buildings, and equipment basis ▶ . . . . .			
<b>Liabilities</b>	Less: accumulated depreciation (attach schedule) ▶ . . . . .			
	12 Investments—mortgage loans . . . . .			
	13 Investments—other (attach schedule) . . . . .	32,499,295	34,746,140	34,746,140
	14 Land, buildings, and equipment: basis ▶ . . . . .			
	Less: accumulated depreciation (attach schedule) ▶ . . . . .			
	15 Other assets (describe ▶ <u>Tax Receivable</u> ) . . . . .		9,755	9,755
	16 <b>Total assets</b> (to be completed by all filers—see the instructions Also, see page 1, item I) . . . . .	33,403,615	40,845,913	40,845,913
	17 Accounts payable and accrued expenses . . . . .	16,809	18,561	
	18 Grants payable . . . . .	234,400	250,000	
	19 Deferred revenue . . . . .			
<b>Net Assets or Fund Balances</b>	20 Loans from officers, directors, trustees, and other disqualified persons . . . . .			
	21 Mortgages and other notes payable (attach schedule) . . . . .			
	22 Other liabilities (describe ▶ ) . . . . .			
	23 <b>Total liabilities</b> (add lines 17 through 22) . . . . .	251,209	268,561	
	Foundations that follow SFAS 117, check here ▶ <input type="checkbox"/> and complete lines 24 through 26, and lines 30 and 31.			
	24 Unrestricted . . . . .			
	25 Temporarily restricted . . . . .			
	26 Permanently restricted . . . . .			
	Foundations that do not follow SFAS 117, check here ▶ <input type="checkbox"/> and complete lines 27 through 31.			
	27 Capital stock, trust principal, or current funds . . . . .			
	28 Paid-in or capital surplus, or land, bldg, and equipment fund . . . . .			
	29 Retained earnings, accumulated income, endowment, or other funds . . . . .	33,152,406	40,577,352	
	30 <b>Total net assets or fund balances</b> (see instructions) . . . . .	33,152,406	40,577,352	
	31 <b>Total liabilities and net assets/fund balances</b> (see instructions) . . . . .	33,403,615	40,845,913	

**Part III Analysis of Changes in Net Assets or Fund Balances**

1 Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return) . . . . .	1	33,152,406
2 Enter amount from Part I, line 27a . . . . .	2	2,451,713
3 Other increases not included in line 2 (itemize) ▶ <u>Other Comprehensive Income</u> . . . . .	3	4,973,233
4 Add lines 1, 2, and 3 . . . . .	4	40,577,352
5 Decreases not included in line 2 (itemize) ▶ . . . . .	5	
6 Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30 . . . . .	6	40,577,352



**Part IV Capital Gains and Losses for Tax on Investment Income**

(a) List and describe the kind(s) of property sold (for example, real estate, 2-story brick warehouse, or common stock, 200 shs. MLC Co.)		(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
1a JP Morgan - Various		P	VARIOUS	VARIOUS
b Three Peaks - Various		P	VARIOUS	VARIOUS
c Morgan Stanley - Various		P	VARIOUS	VARIOUS
d TD Ameritrade - Various		P	VARIOUS	VARIOUS
e Partnership Investments		P	VARIOUS	VARIOUS

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) ((e) plus (f) minus (g))
a 2,978,038		3,164,796	(186,758)
b 8,660,239		8,106,165	554,074
c 1,183,691		1,030,753	152,938
d 1,771,518		2,222,910	(451,392)
e 326,235		12,197	314,038

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
a			
b			
c			
d			
e			

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	382,900
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). See instructions If (loss), enter -0- in Part I, line 8	3	

**Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income**

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation doesn't qualify under section 4940(e). Do not complete this part

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2016	1,648,298	32,787,639	0.0503
2015	1,818,984	33,611,245	0.0541
2014	1,296,300	31,775,098	0.0408
2013	1,301,210	27,504,992	0.0473
2012	1,278,434	24,933,094	0.0513

2 Total of line 1, column (d)	2	0.2438
3 Average distribution ratio for the 5-year base period—divide the total on line 2 by 5.0, or by the number of years the foundation has been in existence if less than 5 years	3	.04876
4 Enter the net value of noncharitable-use assets for 2017 from Part X, line 5	4	36,390,143
5 Multiply line 4 by line 3	5	1,774,383
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	7,157
7 Add lines 5 and 6	7	1,781,540
8 Enter qualifying distributions from Part XII, line 4 If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.	8	1,236,091



**Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)**

<b>1a</b>	Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1. Date of ruling or determination letter. (attach copy of letter if necessary—see instructions)		
<b>b</b>	Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input type="checkbox"/> and enter 1% of Part I, line 27b	<b>1</b>	14,314
<b>c</b>	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations, enter 4% of Part I, line 12, col (b).		
<b>2</b>	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only, others, enter -0-)	<b>2</b>	
<b>3</b>	Add lines 1 and 2	<b>3</b>	14,314
<b>4</b>	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only, others, enter -0-)	<b>4</b>	
<b>5</b>	Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-	<b>5</b>	14,314
<b>6</b>	Credits/Payments:		
<b>a</b>	2017 estimated tax payments and 2016 overpayment credited to 2017	<b>6a</b>	
<b>b</b>	Exempt foreign organizations—tax withheld at source	<b>6b</b>	
<b>c</b>	Tax paid with application for extension of time to file (Form 8868)	<b>6c</b>	
<b>d</b>	Backup withholding erroneously withheld	<b>6d</b>	
<b>7</b>	Total credits and payments. Add lines 6a through 6d	<b>7</b>	0
<b>8</b>	Enter any penalty for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached	<b>8</b>	
<b>9</b>	Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	<b>9</b>	14,314
<b>10</b>	Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	<b>10</b>	0
<b>11</b>	Enter the amount of line 10 to be: Credited to 2018 estimated tax Refunded	<b>11</b>	0

**Part VII-A Statements Regarding Activities**

	Yes	No
<b>1a</b> During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
<b>b</b> Did it spend more than \$100 during the year (either directly or indirectly) for political purposes? See the instructions for the definition. If the answer is "Yes" to <b>1a</b> or <b>1b</b> , attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.		X
<b>c</b> Did the foundation file Form 1120-POL for this year?		X
<b>d</b> Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. \$ (2) On foundation managers. \$		
<b>e</b> Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. \$		
<b>2</b> Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities.		X
<b>3</b> Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
<b>4a</b> Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
<b>b</b> If "Yes," has it filed a tax return on Form 990-T for this year?		
<b>5</b> Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T.		X
<b>6</b> Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
<b>7</b> Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV	X	
<b>8a</b> Enter the states to which the foundation reports or with which it is registered. See instructions. CO, DE		
<b>b</b> If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	X	
<b>9</b> Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2017 or the tax year beginning in 2017? See the instructions for Part XIV. If "Yes," complete Part XIV		X
<b>10</b> Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		X



**Part VII-A Statements Regarding Activities (continued)**

	Yes	No
11 At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule. See instructions		X
12 Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement. See instructions	X	
13 Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► NONE	X	
14 The books are in care of ► M.D.C. Holdings, Inc. Telephone no ► 303-804-7736 Located at ► 4350 S. Monaco Street, Denver, CO ZIP+4 ► 80237		
15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041—check here. <input type="checkbox"/> and enter the amount of tax-exempt interest received or accrued during the year ► 15		
16 At any time during calendar year 2017, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes," enter the name of the foreign country ►		X

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required**

	Yes	No
File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.		
1a During the year, did the foundation (either directly or indirectly):		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No		
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance? See instructions		X
Organizations relying on a current notice regarding disaster assistance, check here <input type="checkbox"/>		
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2017?		X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):		
a At the end of tax year 2017, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2017? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No If "Yes," list the years ► 2017, 20, 20, 20		
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see instructions.)	X	
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ► 20, 20, 20, 20		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b If "Yes," did it have excess business holdings in 2017 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2017.)		
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2017?		X



**Part VII-B** Statements Regarding Activities for Which Form 4720 May Be Required (continued)

	Yes	No
<b>5a</b> During the year, did the foundation pay or incur any amount to:		
(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
(3) Provide a grant to an individual for travel, study, or other similar purposes?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? See instructions	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
<b>b</b> If any answer is "Yes" to 5a(1)–(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance? See instructions.	<b>5b</b>	
Organizations relying on a current notice regarding disaster assistance, check here	<input checked="" type="checkbox"/>	
<b>c</b> If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?	<input type="checkbox"/> Yes	<input type="checkbox"/> No
If "Yes," attach the statement required by Regulations section 53.4945–5(d)		
<b>6a</b> Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
<b>b</b> Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?	<b>6b</b>	X
If "Yes" to 6b, file Form 8870.		
<b>7a</b> At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
<b>b</b> If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?	<b>7b</b>	

**Part VIII** Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**1** List all officers, directors, trustees, and foundation managers and their compensation. See instructions.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
See Attached Statement				

**2** Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000



**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors** *(continued)***3 Five highest-paid independent contractors for professional services.** See instructions. If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over \$50,000 for professional services ▶**Part IX-A Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1 NONE	
2	
3	
4	

**Part IX-B Summary of Program-Related Investments** (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 NONE	
2	
All other program-related investments. See instructions.	
3	
<b>Total.</b> Add lines 1 through 3 <span style="float: right;">▶</span>	0

Form **990-PF** (2017)



**Part X. Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

<b>1</b>	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
<b>a</b>	Average monthly fair market value of securities	<b>1a</b>	34,295,430
<b>b</b>	Average of monthly cash balances	<b>1b</b>	2,644,376
<b>c</b>	Fair market value of all other assets (see instructions)	<b>1c</b>	4,502
<b>d</b>	<b>Total</b> (add lines 1a, b, and c)	<b>1d</b>	36,944,308
<b>e</b>	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation).	<b>1e</b>	
<b>2</b>	Acquisition indebtedness applicable to line 1 assets	<b>2</b>	
<b>3</b>	Subtract line 2 from line 1d	<b>3</b>	36,944,308
<b>4</b>	Cash deemed held for charitable activities. Enter 1½% of line 3 (for greater amount, see instructions)	<b>4</b>	554,165
<b>5</b>	<b>Net value of noncharitable-use assets.</b> Subtract line 4 from line 3. Enter here and on Part V, line 4	<b>5</b>	36,390,143
<b>6</b>	<b>Minimum investment return.</b> Enter 5% of line 5	<b>6</b>	1,819,507

**Part XI. Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations, check here ☐ and do not complete this part.)

<b>1</b>	Minimum investment return from Part X, line 6	<b>1</b>	1,819,507
<b>2a</b>	Tax on investment income for 2017 from Part VI, line 5	<b>2a</b>	14,314
<b>b</b>	Income tax for 2017. (This does not include the tax from Part VI)	<b>2b</b>	
<b>c</b>	Add lines 2a and 2b	<b>2c</b>	14,314
<b>3</b>	Distributable amount before adjustments. Subtract line 2c from line 1	<b>3</b>	1,805,193
<b>4</b>	Recoveries of amounts treated as qualifying distributions	<b>4</b>	
<b>5</b>	Add lines 3 and 4	<b>5</b>	1,805,193
<b>6</b>	Deduction from distributable amount (see instructions)	<b>6</b>	
<b>7</b>	<b>Distributable amount as adjusted.</b> Subtract line 6 from line 5. Enter here and on Part XIII, line 1	<b>7</b>	1,805,193

**Part XII. Qualifying Distributions** (see instructions)

<b>1</b>	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
<b>a</b>	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26	<b>1a</b>	1,236,091
<b>b</b>	Program-related investments—total from Part IX-B	<b>1b</b>	
<b>2</b>	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	<b>2</b>	
<b>3</b>	Amounts set aside for specific charitable projects that satisfy the:		
<b>a</b>	Suitability test (prior IRS approval required)	<b>3a</b>	
<b>b</b>	Cash distribution test (attach the required schedule)	<b>3b</b>	
<b>4</b>	<b>Qualifying distributions.</b> Add lines 1a through 3b. Enter here and on Part V, line 8; and Part XIII, line 4	<b>4</b>	1,236,091
<b>5</b>	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b. See instructions	<b>5</b>	
<b>6</b>	<b>Adjusted qualifying distributions.</b> Subtract line 5 from line 4	<b>6</b>	1,236,091

**Note:** The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.



**Part XIII Undistributed Income** (see instructions)

	(a) Corpus	(b) Years prior to 2016	(c) 2016	(d) 2017
<b>1</b> Distributable amount for 2017 from Part XI, line 7 . . . . .				1,805,193
<b>2</b> Undistributed income, if any, as of the end of 2017:				
<b>a</b> Enter amount for 2016 only . . . . .			1,263,493	
<b>b</b> Total for prior years 20____, 20____, 20____ . . . . .				
<b>3</b> Excess distributions carryover, if any, to 2017:				
<b>a</b> From 2012 . . . . .				
<b>b</b> From 2013 . . . . .				
<b>c</b> From 2014 . . . . .				
<b>d</b> From 2015 . . . . .				
<b>e</b> From 2016 . . . . .				
<b>f</b> Total of lines 3a through e . . . . .	0			
<b>4</b> Qualifying distributions for 2017 from Part XII, line 4: ▶ \$ 1,236,091 . . . . .			1,236,091	
<b>a</b> Applied to 2016, but not more than line 2a . . . . .				
<b>b</b> Applied to undistributed income of prior years (Election required—see instructions) . . . . .				
<b>c</b> Treated as distributions out of corpus (Election required—see instructions) . . . . .				
<b>d</b> Applied to 2017 distributable amount . . . . .				
<b>e</b> Remaining amount distributed out of corpus . . . . .				
<b>5</b> Excess distributions carryover applied to 2017 (If an amount appears in column (d), the same amount must be shown in column (a)) . . . . .				
<b>6</b> Enter the net total of each column as indicated below:				
<b>a</b> Corpus. Add lines 3f, 4c, and 4e. Subtract line 5 . . . . .	0			
<b>b</b> Prior years' undistributed income. Subtract line 4b from line 2b . . . . .		0		
<b>c</b> Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed . . . . .				
<b>d</b> Subtract line 6c from line 6b. Taxable amount—see instructions . . . . .		0		
<b>e</b> Undistributed income for 2016. Subtract line 4a from line 2a. Taxable amount—see instructions . . . . .			27,402	
<b>f</b> Undistributed income for 2017. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2018 . . . . .				1,805,193
<b>7</b> Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required—see instructions) . . . . .				
<b>8</b> Excess distributions carryover from 2012 not applied on line 5 or line 7 (see instructions) . . . . .				
<b>9</b> Excess distributions carryover to 2018. Subtract lines 7 and 8 from line 6a . . . . .	0			
<b>10</b> Analysis of line 9:				
<b>a</b> Excess from 2013 . . . . .				
<b>b</b> Excess from 2014 . . . . .				
<b>c</b> Excess from 2015 . . . . .				
<b>d</b> Excess from 2016 . . . . .				
<b>e</b> Excess from 2017 . . . . .				



**Part XIV Private Operating Foundations** (see instructions and Part VII-A, question 9)

**1a** If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2017, enter the date of the ruling ▶

**b** Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year				(e) Total
	(a) 2017	(b) 2016	(c) 2015	(d) 2014	
<b>2a</b> Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					0
<b>b</b> 85% of line 2a	0	0	0	0	0
<b>c</b> Qualifying distributions from Part XII, line 4 for each year listed					0
<b>d</b> Amounts included in line 2c not used directly for active conduct of exempt activities					0
<b>e</b> Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c	0	0	0	0	0
<b>3</b> Complete 3a, b, or c for the alternative test relied upon.					
<b>a</b> "Assets" alternative test—enter:					
<b>(1)</b> Value of all assets					0
<b>(2)</b> Value of assets qualifying under section 4942(j)(3)(B)(i)					0
<b>b</b> "Endowment" alternative test—enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					0
<b>c</b> "Support" alternative test—enter:					
<b>(1)</b> Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					0
<b>(2)</b> Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					0
<b>(3)</b> Largest amount of support from an exempt organization					0
<b>(4)</b> Gross investment income					0

**Part XV Supplementary Information** (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year—see instructions.)**1 Information Regarding Foundation Managers:**

- a** List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2))

M.D.C. Holdings, Inc.

- b** List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

NONE

**2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc., to individuals or organizations under other conditions, complete items 2a, b, c, and d. See instructions

- a** The name, address, and telephone number or email address of the person to whom applications should be addressed.

Michael Touff, 4350 S Monaco Street, Denver, CO 80237, (303)804-7716

- b** The form in which applications should be submitted and information and materials they should include:

No prestat ed form

- c** Any submission deadlines:

NONE

- d** Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

NONE

**Part XV** **Supplementary Information** *(continued)***3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
<b>a</b> <i>Paid during the year</i>  See Attached				1,186,091
<b>Total</b>			▶ <b>3a</b>	1,186,091
<b>b</b> <i>Approved for future payment</i>				50,000
<b>Total</b>			▶ <b>3b</b>	50,000



**Part XVI-A Analysis of Income-Producing Activities**

Enter gross amounts unless otherwise indicated.

Enter gross amounts unless otherwise indicated.		Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions)
		(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1	Program service revenue:					
a	N/A					
b						
c						
d						
e						
f						
g	Fees and contracts from government agencies					
2	Membership dues and assessments					
3	Interest on savings and temporary cash investments					
4	Dividends and interest from securities					
5	Net rental income or (loss) from real estate:					
a	Debt-financed property					
b	Not debt-financed property					
6	Net rental income or (loss) from personal property					
7	Other investment income					
8	Gain or (loss) from sales of assets other than inventory					
9	Net income or (loss) from special events					
10	Gross profit or (loss) from sales of inventory					
11	Other revenue: a					
b						
c						
d						
e						
12	Subtotal. Add columns (b), (d), and (e)		0		0	0
13	Total. Add line 12, columns (b), (d), and (e)				13	0

(See worksheet in line 13 instructions to verify calculations.)

## Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

[illegible]



## Part XVII Information Regarding Transfers to and Transactions and Relationships With Noncharitable Exempt Organizations

- |  |              |     |    |
|--|--------------|-----|----|
| <b>1</b> Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?   |              | Yes | No |
| <b>a</b> Transfers from the reporting foundation to a noncharitable exempt organization of:  |              |     |    |
| <b>(1)</b> Cash  | <b>1a(1)</b> |     | X  |
| <b>(2)</b> Other assets  | <b>1a(2)</b> |     | X  |
| <b>b</b> Other transactions:   |              |     |    |
| <b>(1)</b> Sales of assets to a noncharitable exempt organization  | <b>1b(1)</b> |     | X  |
| <b>(2)</b> Purchases of assets from a noncharitable exempt organization  | <b>1b(2)</b> |     | X  |
| <b>(3)</b> Rental of facilities, equipment, or other assets  | <b>1b(3)</b> |     | X  |
| <b>(4)</b> Reimbursement arrangements  | <b>1b(4)</b> |     | X  |
| <b>(5)</b> Loans or loan guarantees  | <b>1b(5)</b> |     | X  |
| <b>(6)</b> Performance of services or membership or fundraising solicitations  | <b>1b(6)</b> |     | X  |
| <b>c</b> Sharing of facilities, equipment, mailing lists, other assets, or paid employees  | <b>1c</b>    |     | X  |
| <b>d</b> If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received |              |     |    |

[illegible]

- 2a** Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) (other than section 501(c)(3)) or in section 527? ☐ Yes ☐ No
- b** If "Yes," complete the following schedule

(a) Name of organization	(b) Type of organization	(c) Description of relationship

**Sign Here** Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

Michael D. Tull 5/22/18 Vice President

Signature of officer or trustee Date Title

May the IRS discuss this return with the preparer shown below? See instructions ☐ Yes ☐ No

<b>Paid Preparer Use Only</b>	Print/Type preparer's name	Preparer's signature	Date	Check <input type="checkbox"/> if self-employed	PTIN
	Firm's name ▶			Firm's EIN ▶	
	Firm's address ▶			Phone no	



**Schedule B**(Form 990, 990-EZ, or 990-PF)  
Department of the Treasury  
Internal Revenue Service**Schedule of Contributors**▶ Attach to Form 990, Form 990-EZ, or Form 990-PF.  
▶ Go to [www.irs.gov/Form990](http://www.irs.gov/Form990) for the latest information.

OMB No 1545-0047

**2017****Name of the organization**

MDC/RICHMOND AMERICAN HOMES FOUNDATION

**Employer identification number**

84-1561013

**Organization type (check one)****Filers of:****Section:**

Form 990 or 990-EZ

☐ 501(c)( ) (enter number) organization☐ 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation☐ 527 political organization

Form 990-PF

☒ 501(c)(3) exempt private foundation☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation☐ 501(c)(3) taxable private foundationCheck if your organization is covered by the **General Rule** or a **Special Rule**.**Note:** Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions**General Rule**

- ☒
- For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, contributions totaling \$5,000 or more (in money or property) from any one contributor. Complete Parts I and II. See instructions for determining a contributor's total contributions.

**Special Rules**

- ☐ For an organization described in section 501(c)(3) filing Form 990 or 990-EZ that met the 33 1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), that checked Schedule A (Form 990 or 990-EZ), Part II, line 13, 16a, or 16b, and that received from any one contributor, during the year, total contributions of the greater of (1) \$5,000, or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II.
- ☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 *exclusively* for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals. Complete Parts I, II, and III.
- ☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions *exclusively* for religious, charitable, etc., purposes, but no such contributions totaled more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Don't complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc., contributions totaling \$5,000 or more during the year . . . . . ▶ \$ \_\_\_\_\_

**Caution:** An organization that isn't covered by the General Rule and/or the Special Rules doesn't file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990, or check the box on line H of its Form 990-EZ or on its Form 990-PF, Part I, line 2, to certify that it doesn't meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

<b>Name of organization</b>	<b>Employer identification number</b>
MDC/RICHMOND AMERICAN HOMES FOUNDATION	84-1561013

**Part I** • **Contributors** (see instructions). Use duplicate copies of Part I if additional space is needed.

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	M.D.C. Holdings, Inc. 4350 S. Monaco Street Denver, CO 80237	\$ 3,117,000	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)



Name of organization	Employer identification number
MDC/RICHMOND AMERICAN HOMES FOUNDATION	84-1561013

**Part II** Noncash Property (see instructions). Use duplicate copies of Part II if additional space is needed.

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (See instructions.)	(d) Date received
		\$	
		\$	
		\$	
		\$	
		\$	
		\$	
		\$	
		\$	
		\$	
		\$	



Name of organization

Employer identification number

MDC/RICHMOND AMERICAN HOMES FOUNDATION

84-1561013

**Part III** Exclusively religious, charitable, etc., contributions to organizations described in section 501(c)(7), (8), or (10) that total more than \$1,000 for the year from any one contributor. Complete columns (a) through (e) and the following line entry. For organizations completing Part III, enter the total of exclusively religious, charitable, etc., contributions of \$1,000 or less for the year. (Enter this information once. See instructions.) ▶ \$

Use duplicate copies of Part III if additional space is needed.

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee



Part I, Line 11 (990-PF) - Other Income			
Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income
Other Income	15,000	15,000	-
Partnership Income	119,428	(54,524)	-

Part I, Line 16a (990-PF) - Legal Fees			
Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income
Various	6,425	6,425	-

Part I, Line 16b (990-PF) - Accounting Fees			
Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income
Audit Expense	12,000	12,000	-

Part I, Line 16c (990-PF) - Other Professional Fees			
Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income
Professional Services	117,000	117,000	-
Management Fee	106,351	106,351	-

Part I, Line 23 (990-PF) - Other Expenses			
Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income
Bank Fees	26,116	26,116	-
Other Expenses	12,246	12,246	-

Part II, Line 10b (990-PF) - Investments - Corporate Stock			
Description	Book Value Beg. of Year	Book Value End of Year	Fair Market Value
Citigroup Equities	57,053	71,434	71,434

Part II, Line 13 (990-PF) - Investments - Other			
Description	Book Value Beg. of Year	Book Value End of Year	Fair Market Value
Vanguard	2,694,489	3,219,186	3,219,186
Specialty Managed Account - Three Peaks	11,269,370	13,139,775	13,139,775
Specialty Managed Account - Morgan Stanley	6,107,581	7,393,086	7,393,086
Specialty Managed Account - Main Management	5,553,789	6,548,905	6,548,905
Mutual Fund - JPMorgan Floating Rate Income Fund	2,903,684	-	-
Mutual Fund - Fidelity Select Defense & Aerospace	556,770	747,258	747,258
Mutual Fund - Vanguard Index 500 Fund	2,736,202	3,329,073	3,329,073
Hedge / Private Equity Funds	677,410	368,857	368,857

**Part VI, Line 9 (990-PF) - Excise Tax Based on Investment Income**

Part VI, line 9 shows a tax due of \$14,314 for 2017. However, the foundation is in a 60-month termination period under Section 507(b)(1)(B) and therefore there is no tax liability under chapter 42.

**Part XIII, (990-PF) - Undistributed Income**

Part XIII, shows a shortfall on distributed income for 2017. However, the foundation is in a 60-month termination period under Section 507(b)(1)(B) and therefore there is no tax liability under chapter 42.

**Part VII-B, line 1 (990-PF)**

The foundation has marked 'yes' for question 1a(3) due to services that were donated to the foundation. These have been reflected as an in-kind contribution and a corresponding expense.

Part VIII, Line 1 (990-PF) - List all officers, directors, trustees, and foundation managers and their compensation

Name	Street	City	State	Zip Code	Title	Avg Hrs Per Week	Compensation	Contributions	Expense Account
Larry Mizel	4350 S Monaco St	Denver	CO	80237	Chairman, CEO, and Director			0	
Joseph H Fretz	4350 S Monaco St	Denver	CO	80237	Secretary	5		0	
John J Heaney	4350 S Monaco St	Denver	CO	80237	Treasurer	5		0	
David D. Mandarich	4350 S Monaco St	Denver	CO	80237	President and Director	5		0	
Michael Touff	4350 S Monaco St	Denver	CO	80237	Vice President	5		0	
Arlene Hirschfeld	4350 S Monaco St	Denver	CO	80237	Director	5		0	
Donald L. Kortz	4350 S Monaco St	Denver	CO	80237	Director	5		0	
Michael Pollak	4350 S Monaco St	Denver	CO	80237	Director	5		0	
L. Ludwell Jones, IV	4350 S Monaco St	Denver	CO	80237	Vice President	5		0	



Recipient	If recipient is an individual, relationship to any foundation manager of substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
3Hopeful Hearts 1610 S College Ave Fort Collins, CO 80525	N/A	501(c)(3)	Helping provide parents and families support after the loss of a child	2,500
ACE Scholarships 1201 E Colfax Ave, #302 Denver, CO 80218	N/A	501(c)(3)	To provide children of low-income families with scholarships to private schools in grades K-12, and to advocate for expanded school choice	10,000
ALS Therapy Development Institute 300 Technology Square, Suite 400 Cambridge, MA 02139	N/A	501(c)(3)	To discover and develop treatments for ALS	5,000
American Heart Association 7272 Greenville Ave Dallas, TX 75231	N/A	501(c)(3)	To fight heart disease and stroke through public health education	10,000
AMP the Cause 1616 Seventeenth Street Denver, CO 80202	N/A	501(c)(3)	To provide assistance to other non profit organizations participating in community projects	5,000
Arapahoe House Inc 8801 Lipan St Thornton, CO 80260	N/A	501(c)(3)	To assist in curing addictions	5,000
Bessie Hope Foundation 1761 Ogden Street Denver, CO 80218	N/A	501(c)(3)	To provide diverse multigenerational services for nursing home/assisted living residents, youth, families and businesses	5,000
Bird Conservatory of the Rockies 14500 Lark Bunting Lane Brighton, CO 80603	N/A	501(c)(3)	Conserving birds and their habitats	7,500
Boys and Girls Clubs of Metro Denver 2017 West 9th Avenue Denver, Colorado 80204	N/A	501(c)(3)	To empower club members through safe and impactful experiences, to graduate high school, plan for college and contribute to their community	10,000
Cancer League of Colorado 5 Kenton St Englewood, CO 80155	N/A	501(c)(3)	To secure the cure or control of cancer	25,000
Cherry Creek Arts Festival 2 Steele Street, Suite B-100 Denver, CO 80206	N/A	501(c)(3)	Provides access to art experiences and support art education	20,000
Cherry Creek Schools Foundation 4700 S Yosemite, #130 Greenwood Village, CO 80111	N/A	501(c)(3)	To help increase reading skills for 2-5th graders through the Reading Together Program	20,000
Children's Diabetes Foundation 777 Grant Street, Suite 302 Denver, CO 80203	N/A	501(c)(3)	To enrich the lives of children by providing access to the best healthcare and education available	15,000
Children's Hospital Colorado Foundation 13123 E 16th Avenue Box 045 Aurora, CO 80045	N/A	501(c)(3)	To advance the mission of Children's Hospital of CO caring and curing children through education and research	\$2,500
ChristAid International Inc 9989 W 60th Avenue, Suite 350 Arvada, CO 80001	N/A	501(c)(3)	To serve Christ by aiding impoverished children and elderly women in Uganda	3,000
Civic Center Conservancy 1560 Broadway, Suite 2250 Denver, CO 80202	N/A	501(c)(3)	To restore, enhance and activate Denver's historic Civic Center Park - elevating and sustaining this historic urban oasis.	20,000
Civil Air Patrol 1921D Breckenridge Ave Aurora, CO 80011	N/A	501(c)(3)	To provide cadet programs, aerospace education, and emergency services	2,000
Colorado Ballet 1075 Santé Fe Drive Denver Colorado 80204	N/A	501(c)(3)	To present exceptional quality classical ballet and innovative dance through performances, training and education programs.	15,000
Colorado Christian University 8787 W Alameda Ave. Lakewood, CO 80226	N/A	501(c)(3)	Christian university in the Colorado region	1,000
Colorado Music Coalition	N/A	501(c)(3)	To create music education opportunities for all kids in Colorado	5,000

Colorado Open Lands 1546 Cole Blvd Lakewood, CO 80401	N/A	501(c)(3)	To preserve open lands and natural heritage of Colorado	10,000
Colorado State Patrol Family Foundation 55 Wadsworth Blvd Lakewood, CO 80226	N/A	501(c)(3)	To support the surviving family members of fallen Colorado State Patrol Troopers	5,000
Colorado UpLift 400 W 48th Avenue, Suite 250 Denver, CO 80216	N/A	501(c)(3)	To support urban youth and break generational cycles of poverty in Denver's inner city	3,000
Community Food Share Inc 650 S Taylor Avenue Louisville, CO 80027	N/A	501(c)(3)	To eliminate hunger in Boulder and Broomfield counties	20,000
Cowboy Up in Kiowa	N/A	501(c)(3)	Non-profit rodeo organization	1,000
Craig Hospital Foundation 3425 S Clarkson Street Englewood, CO 80113	N/A	501(c)(3)	Rehabilitation care to people affected by spinal cord and traumatic brain injury so that they can achieve optimal health, independence, and life quality	5,000
Crohn's & Colitis Foundation 733 Third Avenue, Suite 510 New York, NY 10017	N/A	501(c)(3)	To cure Crohn's disease & ulcerative colitis, and to improve the quality of life of children and adults affected by these diseases	25,000
CU Cancer Center Fund 13001 E 17th Place, Mail Stop - F-500 Aurora, CO 80045	N/A	501(c)(3)	To raise awareness and funds to support its programs in cancer prevention, education and service to the nation	1,000
Cunous Theatre Company 1080 Acoma Street Denver, CO 80204	N/A	501(c)(3)	To engage the community in important contemporary issues through proactive modern theater	1,000
Delta Eta Boule Foundation PO Box 462618 Aurora, CO 80046-2618	N/A	501(c)(3)	Supporting African American scholars	10,000
Denver Area Council Boy Scouts 10455 W 6th Avenue, Suite 100 Denver, CO 80215	N/A	501(c)(3)	To prepare youth to make ethical and moral choices by instilling in them the values of the Scout Oath and Law	16,500
Denver Art Museum 100 W 14th Ave Pkwy Denver, CO 80204	N/A	501(c)(3)	To encourage creative thinking and expression through transformative experiences with art	11,000
Denver Cherry Creek Rotary Foundation PO Box 460763 Glendale, CO 80246	N/A	501(c)(3)	To fund organizations and purposes of the Denver Creek Rotary Club as well as the objects and purposes of Rotary International	500
Denver Health Foundation 655 Broadway, Suite 750 Denver, CO 80203	N/A	501(c)(3)	To support the Denver Health and Hospital Authority and supports preventative and primary care through community centers and school based clinics throughout Denver	12,500
Denver Jewish Day School 2450 South Wabash Street Denver, CO 80231	N/A	501(c)(3)	To educate Jewish students through an integrated secular and Jewish studies program to thrive in college and beyond and act ethically and purposefully in the world	5,000
Denver Police Foundation PO Box 40483 Denver, CO 80204	N/A	501(c)(3)	To enhance public safety and law enforcement and be a resource for innovation, promoting excellence, recognizing valor and strengthen community involvement.	40,000
Denver Public Schools Foundation 1860 Lincoln Street, 9th Floor Denver, CO 80203	N/A	501(c)(3)	For Denver Public Schools, manage funds, make strategic program investments, and serve as community leaders so all students have the opportunity to succeed.	10,000
Denver Rustlers Inc 511 Broadway Denver, CO 80203	N/A	501(c)(3)	To support Colorado's young farmers and ranchers as they showcase their livestock to earn money for college	18,591
Denver Zoological Foundation 2300 Steele Street Denver, CO 80205	N/A	501(c)(3)	To secure a better world for animals through human understanding	5,000
Dolphins Cycling Challenge 347 Don Shula Drive Miami gardens, FL 33056	N/A	501(c)(3)	To support an event that raises money for cancer patients	10,000
Dominican Home Health Agency 2501 Gaylord Street Denver, CO 80205	N/A	501(c)(3)	Provides free in home community based nursing care to the poor, sick elderly who are uninsured or underinsured	3,000
Douglas County Education Foundation 620 Wilcox St Castle Rock, CO 80104	N/A	501(c)(3)	To provide resources to support students and schools in Douglas County	10,000



Douglas County Fair Foundation 500 Fairgrounds Drive Castle Rock, CO 80104	N/A	501(c)(3)	Provides financial support to the Douglas County Fair for the management of the Douglas County Fair	5,000
Families First Inc 2163 S Yosemite St Denver, CO 80231	N/A	501(c)(3)	To help fund the prevention and treatment of child abuse and neglect, and support Colorado families in need	6,000
Faith Bible Chapel Internation 12189 W 64th Ave Arvada, CO 80004	N/A	501(c)(3)	Religious organization	10,000
Firefly Autism 2695 S Jersey St Denver, CO 80222	N/A	501(c)(3)	Autism therapy and behavioral support program	1,000
Food for Thought Denver PO Box 11813 Denver, CO 80211	N/A	501(c)(3)	To eliminate weekend hunger for Denver area children	1,800
Friends of the Denver Fire Dep PO Box 12014 Denver, Colorado 80212	N/A	501(c)(3)	To provide public education, to improve firefighter safety and quality of life and direct resources to meet firefighter needs improving the safety of Denver	2,000
Girl Scouts of Colorado 3801 E Florida Ave Denver, CO 80222	N/A	501(c)(3)	To build girls of courage, confidence, and character, who make the world a better place	10,000
Global Down Syndrome Foundation 3300 East First Avenue, Suite 390 Denver, CO 80206	N/A	501(c)(3)	To improve the lives of people with Down syndrome through research, medical care, education and advocacy	175,000
Gold Crown Foundation 150 S Harlan St Lakewood, CO 80226	N/A	501(c)(3)	Offers youth sports and education programs to Colorado and surrounding states	10,000
Golden Triangle Partnership 1050 Cherokee St., Suite B Denver, CO 80204	N/A	501(c)(3)	To advocate and promote initiatives which represent the best interest of residents, businesses, and other who live and work in the Golden Triangle	10,000
Goodwill Industries of Denver 6850 Federal Blvd Denver, CO 80231	N/A	501(c)(3)	Improving communities through the generosity of community members and business partners	5,000
Hide In Plain Sight 9249 S Broadway #200-387 Highlands Ranch, CO 80129	N/A	501(c)(3)	To raise awareness and support for at-risk students in Colorado	2,500
HomeAid Inland Empire 3891 11th St Riverside, CA 92501	N/A	501(c)(3)	Building new lives for homeless veterans, men, women, and children in Riverside and San Bernardino counties through housing and community outreach	2,500
Hyde Park Frndt / Diamonds in the Rough 3000 East First Avenue, Suite 243 Denver, CO 80206	N/A	501(c)(3)	To support local charities and individuals in their quest to become <i>treasured gems</i> who are challenged and in need of support to attain brilliance not otherwise available to them	10,000
HomeAid of Northern California 1350 Treat Blvd, #140 Walnut Creek, CA 94597	N/A	501(c)(3)	To concentrate on social services to help the residents transform their lives and move towards self-sufficiency, such as education and job skills training, physical and emotional support	3,000
I Have a Dream Foundation 322 8th Avenue, Suite 202 New York, NY 10001	N/A	501(c)(3)	To provide individualized social, emotional, and academic support to young people from low-income communities	5,000

Jewish Center - Chabad of NW M 4505 W 112th Ave Westminster, CO 80031	N/A	501(c)(3)	To offer an environment where children learn about and embrace their Jewish roots	5,000
Kavod Senior Life 22 S Adams St Denver, CO 80209	N/A	501(c)(3)	To provide life-enriching experiences to older adults through housing and support services	500
Keshet of the Rockies 300 S Dahlia St Denver, CO 80246	N/A	501(c)(3)	To provide educational and special services to persons with special needs in the Jewish community	5,000
La Clinica Tepeyac Inc 4725 High St Denver, CO 80216	N/A	501(c)(3)	To provide culturally competent health care and preventive health services for the medically underserved	3,000
Laradon Foundation 5100 Lincoln Street Denver, Colorado 80216	N/A	501(c)(3)	To provide superior, individualized services to children and adults with intellectual, developmental and other disabilities, and supporting their Independence in daily life	2,500
Leadership Program of the Rockies 1777 South Harrison St , Suite 807 Denver, CO 80210	N/A	501(c)(3)	To develop, strengthen, train, and equip emerging leaders to reach new heights in public policy and the political process	5,000
Legal Aid Foundation of Colorado 1900 Grant St Denver, CO 80203	N/A	501(c)(3)	To provide legal aid to the poor	5,000
Leukemia & Lymphoma Society 720 S Colorado Blvd, Suite 500-S Denver, CO 80246	N/A	501(c)(3)	To cure leukemia, lymphoma, Hodgkin's disease and myeloma, and improve the quality of life of patients and their families	2,500
LivLyme Foundation 700 N Colorado Blvd. #674 Denver, CO 80206	N/A	501(c)(3)	To provide resources and education, support for people battling Lyme, and help develop a cure	300
Lone Tree Cultural Arts Foundation 9748 Sunset Hill Place Lone Tree, Colorado 80124	N/A	501(c)(3)	To provide support to a wide variety of visual arts & performing arts in the South Denver Metro area, that will educate, challenge and engage the community	2,000
Lutheran Family Services Rocky 363 S Harlan Street Denver, CO 80226	N/A	501(c)(3)	To support the vulnerable through services that heal, strengthen and provide hope to enable all to live full and whole lives	2,500
Mental Health Association of Colorado 1385 S Colorado Blvd Denver, CO 80222	N/A	501(c)(3)	To promote mental health, expand access to services and transform systems of healthcare	10,000
Metro Crisis Svcs dba Rocky Mt PO Box 460695 Denver, CO 80246	N/A	501(c)(3)	To enable Colorado adults and children survive, recover and thrive when faced with mental health or substance abuse issues	3,000
National Jewish Health 1400 Jackson Street Denver, CO 80206	N/A	501(c)(3)	To discover, and to educate as a preeminent health care institution	10,000
National Repertory Orchestra I P O Box 6336 Breckenridge, CO 80424	N/A	501(c)(3)	To provide experiences for young musicians	10,000
Navy League of the US/USN 2300 Wilson Blvd, Suite 200 Arlington, VA 22201	N/A	501(c)(3)	To awards scholarship to high school seniors who will attend college	26,000
Navy Seal Foundation Inc 1619 D Street Virginia Beach, VA 23459	N/A	501(c)(3)	To provide support for Navy Seals and their families in times of achievement, adversity and sacrifice	50,000
Notre Dame Club of Denver 100 Crosby Parkway Covington, KY 41015	N/A	501(c)(3)	Sponsor, direct and participate in activities of any nature, including charitable, educational, religious, social and athletic activities	2,500
Opera Colorado 4121 S Navajo St., Suite 100 Englewood, CO 80110	N/A	501(c)(3)	Bringing world-class performing arts to Colorado	25,000
Parkinson Association of the Rockies 1525 South Colorado Blvd, Suite 204-B Denver, CO 80212	N/A	501(c)(3)	Offers tangible and innovative resources that has transformed the way patients and care partners manage the disease	5,000



Planet Hope 4335 Van Nuys Blvd Sherman Oaks, CA 91403	N/A	501(c)(3)	To provide outreach and educational resources to homeless, abused, and terminally ill children and their families	10,000
PlatteForum 2400 Curtis Street, Suite 100 Denver, CO 80205	N/A	501(c)(3)	Art education and youth-development for underserved urban youth in Denver	2,500
Porter Hospice & St Anthony Hospice 5020 E Arapahoe Rd Centennial, CO 80122	N/A	501(c)(3)	Providing hospice care	5,000
Presenting Denver 925 Lincoln Street, Unit 14G Denver, CO 80203	N/A	501(c)(3)	To support the art of dance through increased public exposure	5,000
Reach Out and Read Colorado 1660 S Albion Street, Suite 905 Denver, CO 80222	N/A	501(c)(3)	Incorporating books into pediatric care and encouraging families to read aloud together	2,500
RedLine 2350 Arapahoe Street Denver, CO 80205	N/A	501(c)(3)	To provide a diverse urban setting where the arts, education and community converge	10,000
Regis University 3333 Regis Boulevard Denver, CO 80221	N/A	501(c)(3)	To support programs offered at regis helping educating students	10,000
Rocky Mountain Active 20-30 Charity PO Box 1798 Denver, CO 80201	N/A	501(c)(3)	To provide professional women in their 20's and 30's an opportunity for philanthropy growth, friendship and leadership development	1,000
Rocky Mountain Hyperbaric Association 225 W South Boulder Road Louisville, CO 80027	N/A	501(c)(3)	To improve quality of life of people with brain injuries by providing financial support and logistical assistance to those seeking hyperbaric oxygen treatments	5,000
Rose Community Foundation 600 South Cherry Street, Suite 1200 Denver, CO 80246	N/A	501(c)(3)	To enhance the quality of life of the greater Denver community through its leadership, resources, traditions, and values	11,000
Safehouse Denver Inc 1649 Downing St Denver, CO 80218	N/A	501(c)(3)	To serve victims of domestic violence and provide shelter	7,500
Sahuanta Unified School District 350 W Sahuanta Rd Sahuanta, AZ 85629	N/A	501(c)(3)	Serving the Sahuanta school district	2,000
Season to Share 101 W Colfax Ave Denver, CO 80202	N/A	501(c)(3)	For support of local nonprofit agencies focusing on serving children, the homeless, the hungry and those in need of medical care	75,000
Seeds of Peace Inc 370 Lexington Avenue, Suite 1201 New York, NY 10017	N/A	501(c)(3)	To support new generations of leaders from all over the world	10,000
Sense of Security Inc 1355 S Colorado Blvd Suite C-302 Denver, CO 80222	N/A	501(c)(3)	To provide financial support and enhance the quality of life of breast cancer patients in treatment living in Colorado	3,000
Shalom Park 14800 East Belleview Drive Aurora, CO 80015	N/A	501(c)(3)	Senior care facility	3,600
South Metro Denver Chamber of Commerce 1445 Market Street Denver, CO 80202	N/A	501(c)(3)	To enhance the states global competitiveness, improve quality of life, convene with other community organizations to achieve mutual purposes	5,000
TAPS 3033 Wilson Blvd Arlington, VA 22201	N/A	501(c)(3)	Supporting all those grieving the loss of a military loved one	5,000
Tennyson Center for Children 2950 Tennyson Street Denver, CO 80212	N/A	501(c)(3)	Supporting children who have experienced abuse, neglect, and trauma	10,000
The Action Center 8755 W 14th Avenue Lakewood, CO 80215	N/A	501(c)(3)	To provide an immediate response to basic human needs and promote pathways to self-sufficiency for Jefferson County residents and the homeless	10,000



The Adoption Exchange 14232 E Evans Ave Aurora, CO 80014	N/A	501(c)(3)	To connect children in foster care and the families who adopt	5,500
The Blue Bench 2701 Missouri Avenue St Cloud, FL 34769	N/A	501(c)(3)	To eliminate sexual assault and diminish the impact it has on individuals, their loved ones and our community through comprehensive issue advocacy, prevention and care	2,500
The Chosen & Dearly Loved 1400 Wewatta Street, Suite 900 Denver, CO 80202	N/A	501(c)(3)	Support special needs adoption	10,000
The Denver Foundation 55 Madison St , 8th Floor Denver, CO 80206	N/A	501(c)(3)	To inspire people and mobilize resources to strengthen the Denver community	10,000
The Denver Hospice 501 S Cherry St, Suite 700 Denver, CO 80246	N/A	501(c)(3)	Providing hospice care	5,000
The Denver Post Community Foundation 101 W Colfax Ave Denver, CO 80202	N/A	501(c)(3)	To support programs that benefit children and youth, arts and culture, education and literacy, and provide basic human services	25,000
The ICON Foundation 18826 N lower Sacramento Road Woodbridge, CA 95258	N/A	501(c)(3)	To educate in schools and programs and support causes that enhance mankind's mental and philosophical evolutions in all that is fine and noble	4,200
The Joshua School for Children 2303 E Dartmouth Ave Englewood, CO 80113	N/A	501(c)(3)	Helping students grow and develop	1,800
The Kempe Foundation 13123 E 16th Avenue B390 Aurora, CO 80045	N/A	501(c)(3)	To prevent and treat child abuse through advocacy, education, clinical care and research	5,000
The Women's Foundation of Colorado 1901 E Ashbury Avenue Denver, CO 80210	N/A	501(c)(3)	To build resources and lead change so that every woman and girl in Colorado achieves her full potential	10,000
There With Care 2401 South Colorado Blvd Denver, CO 80222	N/A	501(c)(3)	To provide services to children and families during the critical phase of a medical crisis	1,800
Third Way Center Inc PO Box 61385 Denver, CO 80206	N/A	501(c)(3)	Offers truth and hope to high risk, mentally ill, disadvantaged and often homeless adolescents and their families	5,000
University of Colorado Foundation 4740 Walnut Street Boulder, CO 80301	N/A	501(c)(3)	To support public education	10,000
University of Denver (Sturm College) 2148 S High St Denver, CO 80208	N/A	501(c)(3)	To support the performing arts, business school, school of international studies and the prosecution fund	10,000
Urban Land Conservancy 305 Park Avenue West, Unit 8 Denver, CO 80205	N/A	501(c)(3)	Acquires, preserves, and develops real estate to under-served areas for long term community benefit	5,000
Volunteers of America 2660 Larimer St. Denver, CO 80205	N/A	501(c)(3)	To help those in need to rebuild their lives and reach their full potential	10,000
We Don't Waste 3560 Walnut Street Denver, CO 80205	N/A	501(c)(3)	To recover excess food from venues, events, caterers, restaurants and other major food purveyors and delivers it to vulnerable populations	5,000
Western National Parks Association 12880 N Vistoso Village Dr Tucson, AZ 85755	N/A	501(c)(3)	Advances education, research, and community engagement to ensure national parks are increasingly valued by all	2,500
Western Stock Show Assn Scholar 4655 Humboldt St Denver, CO 80216	N/A	501(c)(3)	Provide scholarships to students studying agriculture, rural medicine and veterinary science	7,000
Wings Over the Rockies Air & Space 7711 East Academy Boulevard Denver, CO 80230	N/A	501(c)(3)	Air and space museum	10,000
Woodward Respite Care Fund PO Box 460831 Glendale, CO 80246	N/A	501(c)(3)	To support and provide resources for caregivers	2,500

1,236,091

#### 14. Related Party Transactions

The Company has a sublease agreement with CVentures, Inc. Larry A. Mizel, the Chief Executive Officer of the Company, is the President of CVentures, Inc. The sublease is for office space that CVentures, Inc. has continuously leased from the Company as disclosed in the Form 8-K filed July 27, 2005 and the Form 8-K filed March 28, 2006. The sublease term commenced November 1, 2016 and will continue through October 31, 2021, with an option to extend to October 31, 2026. The sublease agreement is for approximately 5,437 rentable square feet at a base rent that increases over the initial term from \$26.50 to \$28.68 per rentable square foot per year, and increasing over the extension term from \$29.26 to \$31.67 per rentable square foot per year. The sublease rent is an allocation of the rent under the master lease agreement based on the sublease square footage.

We previously entered into a transaction (the "Transaction") with The Villages at Castle Rock Metropolitan District No. 6 (the "District"). The District is a quasi-municipal corporation and political subdivision of the State of Colorado. The Board of Directors of the District currently is comprised of employees of the Company. The District was formed to provide funding for certain land development costs associated with the construction of homes in our Cobblestone subdivision. Pursuant to the terms of the Transaction, the District sold to the Company approximately \$22.5 million in Metro Bonds and a \$1.6 million Limited Tax General Obligation Subordinate Bond (the "Subordinate Bond") in exchange for title to approximately \$28.6 million in land development improvements to the District. In addition, over the prior years, the Company has provided certain advances to the District to help fund the infrastructure of the District (the "Developer Advances"). As discussed in Note 6, we sold the Metro Bonds during the 2017 quarter. While the Company still legally has a receivable from the District related to the Subordinate Bond and Developer Advances, these have no value for US GAAP and income tax purposes.

During the years ended December 31, 2017, 2016 and 2015, we received payments from the Metro District in the amount of \$0, \$1.2 million and \$0.7 million, respectively, and recorded \$1.4 million, \$1.7 million and \$1.4 million, respectively, in interest income. The interest income amounts are included in interest and other income in the homebuilding section of our consolidated statements of operations and comprehensive income.

We contributed \$3.0 million, \$1.0 million and \$1.0 million in cash to the MDC/Richmond American Homes Foundation (the "Foundation") for each of the years ended December 31, 2017, 2016, and 2015, respectively. The Foundation is a Delaware non-profit corporation that was incorporated on September 30, 1999.

The Foundation is a non-profit organization operated exclusively for charitable, educational and other purposes beneficial to social welfare within the meaning of Section 501(c)(3) of the Internal Revenue Code. The following Directors and/or officers of the Company served as directors of the Foundation at December 31, 2017, all of whom serve without compensation.

Name	MDC Title
Larry A. Mizel	Chairman and CEO
David D. Mandarich	President and COO

Three other individuals, who are independent of the Company, also serve as directors of the Foundation. All directors of the Foundation serve without compensation.

# **Exhibit P**

Governor's Schedule  
from January 10, 2018

Wednesday, January 10, 2018

5:00 AM	
6:00 AM	
7:00 AM	
	7:30 AM - 8:00 AM Security Pickup- 7:30 am --> Bloomberg Philanthropies, 25 E 78th St, New York, NY 10075
8:00 AM	8:00 AM - 10:15 AM TAB 3: Bloomberg Philanthropies Education to Employment REMARKS@9:30AM (POC: Rae Richman, [REDACTED] INDOORS, BUSINESS ATTIRE (Staffs Canon) [Full time of events 8:00am - 4:00pm] Bloomberg Philanthropies, 25 E 78th St, New York, NY 10075, USA
9:00 AM	
10:00 AM	10:15 AM - 10:30 AM Security Pickup- 10:15 am Bloomberg Philanthropies-- [REDACTED] 10:30 AM - 12:20 PM [REDACTED]
11:00 AM	
12:00 PM	12:20 PM - 1:20 PM Security Pickup- 12:20 pm EXTRA TIME FOR TRAFFIC (30-55mins) --> Meridian, 485 Industrial Ave, Teterboro, NJ 07608
1:00 PM	
	1:30 PM - 3:20 PM Flight to Denver Meridian, 485 Industrial Ave, Teterboro, NJ [REDACTED] --> AirMax Hangar 8481 Aviator Lane Centennial, CO 80112
2:00 PM	
3:00 PM	3:20 PM - 4:20 PM Security Pickup- 3:20 pm AirMax Hangar 8481 Aviator Lane Centennial, CO 80112--> Capitol
4:00 PM	

# **Exhibit Q**

## Meridian Brochure





FBO • Aircraft Management • Air Charter • Aircraft Maintenance

## **contents**

2	Overview
4	Company History
6	Executive Terminal/FBO
8	Aircraft Management
12	Air Charter
14	Aircraft Maintenance
16	Coast to Coast
18	Contact Us
20	Giving Back





"When my father first came to this airport in 1946, Teterboro was a muddy field surrounded by farms and business travel was a nascent industry. Much has changed over the decades, but one thing has always remained the same - our passion for aviation, and the value we place on our customers. Our doors are always open to you. Welcome to Meridian."

**KEN FORESTER**

CEO, Meridian



## OVERVIEW

When your destination is New York City, Teterboro is the most convenient, efficient and time-saving airport, by far. It's just minutes from Midtown Manhattan, a location that most business jet travelers will appreciate. When time is a factor – and it almost always is – Teterboro is the ideal location.

Ken Forester, Sr. realized this in 1946. He and his brother-in-law, both US Army Air Force pilots during WWII, formed an aviation company that has evolved into today's Meridian. They built a small hangar at Teterboro shortly after the grass runways were paved and opened Mallard Air Service. Three years later, they moved into a new terminal and hangar, and called it the Executive Aircraft Center for Metropolitan New York. Almost seventy years later, the founder's son, Ken Forester, Jr., looks across the same runways at the skyline of Manhattan.

That skyline and the private aviation business have evolved and grown over those years, but the original enthusiasm and love for the "Big Apple" and the love of flight has not changed. Ken, Jr. manages what has become a modern, full-service private aviation organization. Meridian offers an award-winning executive terminal and FBO services, global air charter that meets the industry's highest safety standards, turnkey management services for aircraft owners, and jet maintenance for based and transient aircraft, including a professional detailing team.



Meridian not only does it all; we do it best. There is no higher honor than professional peer recognition. Year after year, Meridian Teterboro, the company's FBO, is recognized by trade press and aviation professional organizations for its excellence. It has won awards from FltPlan.com, a premier flight planning site, as well as *Professional Pilot* and *Aviation International News*, highly respected industry trade magazines. Meridian Air Charter has earned the industry's highest safety credentials from WYVERN, ARG/US, and IS-BAO.

Meridian traces its rich history of commitment and excellence back to its founding seven decades ago. The Management Team takes great pride in its employee longevity, with many employees having over twenty years of service. If employees feel like family, maybe it's because Meridian is the only independently-owned fixed base operation on the airport. It is also the longest continuously operated company on the field.

As with all successful companies, Meridian is continuing to expand. The company is building its second FBO in Hayward, CA. Meridian Air Charter now has aircraft based across the country from Boston to California, with charter offices at executive airports in San Jose and Santa Rosa, CA. Growth is essential, but our team's commitment to our mission will always govern our long term planning and daily decision making. Our mission is to be the leading full-service, private aviation company that consistently delivers a truly extraordinary experience, leaving our customers feeling welcomed, well-cared for and confident that they made a smart choice.



## COMPANY HISTORY

**K**en Forester, Sr. did his part as a member of the Greatest Generation. He was privileged to make his contribution to World War II as a test pilot in the US Army Air Force. His log book is filled with flights in virtually all of the WWII fighter, transport, and bomber aircraft and the first generation of jet fighters, the P-59 and the P-80. Forester mustered out at the close of the war, and moved east to Teterboro Airport, where he formed Mallard Air Service with Bob Hewitt, a decorated B-17 pilot. Mallard eventually occupied the largest hangar at Teterboro, sold fuel, new and used aircraft, and converted C-47 military surplus aircraft into executive transports.

Ken, Sr. left Mallard in 1951 to manage a wire machinery plant in Paterson, and work part time selling and maintaining Navions at Teterboro. In 1958, he was offered a location on the west side of Teterboro and started a new business that he called General Aviation Company. His son, Ken, a graduate of the US Air Force Academy and former F-102 pilot, took over full time management of the company in 1974. By 1980, more





than 130 aircraft were based at General Aviation and the FAA-approved flight school had a fleet of 33 aircraft. In 1983, a new charter company was formed using piston aircraft.

A new hangar and terminal were built in 1984 and things began to move quickly. The company began selling jet fuel in 1985 and joined the Million Air FBO franchise network the next summer. In 1989, the charter company began operating a Citation II and entered the jet charter business. The jet maintenance division was added to the charter company in 1995 and it earned an FAA repair station license in 1996. The repair station supports FBO customers and the growing charter fleet. By the end of 1997, the charter company was in the large cabin business, operating an internationally-capable Gulfstream II.

In 2006, the company concluded a 20-year affiliation with Million Air. The four business units were combined as divisions of the Meridian Companies. Meridian Teterboro, the FBO, opened a new 30,000 sq. ft. passenger terminal and headquarters. The design of the new terminal harkened back to the art deco style of the 1930s and 1940s. A new 40,000 sq. ft. hangar for maintenance and storage was added in 2007.

Between 2008 and 2012, Meridian Aircraft Management added six aircraft to its charter fleet, including several Gulfstream IVSPs and Challenger 601s, a Falcon 900EX EASy, a Falcon 2000SP, and a Global 5000. Authorized by the FAA for world-wide operations, these aircraft have flown to the far reaches of the globe to places like Thimphu, Bhutan, Ushuaia, Argentina, and Broome, Australia. In 2012, Meridian went "bi-coastal," opening sales offices in San Jose and Santa Rosa, CA. These offices support our aircraft based in Boston, White Plains, Denver, and San Francisco.

In 2016, Meridian will be opening its second FBO at Hayward Executive Airport in Hayward, CA.



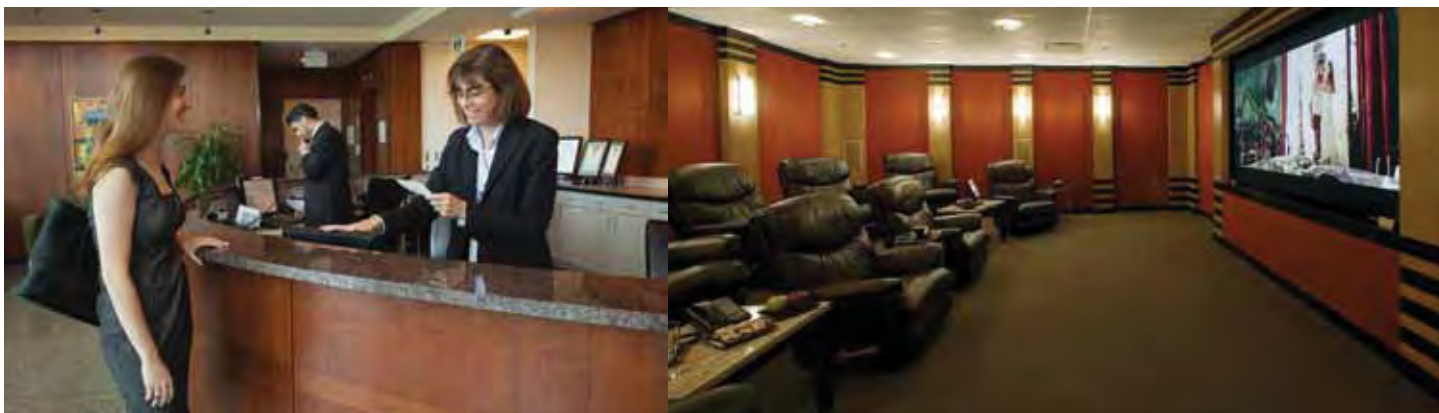
## EXECUTIVE TERMINAL/FBO

Those not familiar with private aviation may wonder: “What happens when a plane arrives at its destination airport?” There is no jet-way, no baggage conveyor belt, no maze of signs and corridors that eventually lead to “escape.”

At Meridian Teterboro, the executive terminal is expertly designed for functionality and aesthetic style. The lobby is a sunny, pleasing space with comfortable seating areas and a flat-screen television. The customer service counter is staffed by experienced professionals, knowledgeable experts who reach out to help arriving clients meet their flight crews or drivers, show the way to the well-appointed restrooms, or assist in a myriad of other ways. In fact, the staff includes concierge service comparable to what you would find at prestigious five-star hotels. Representatives are chosen for their warm personalities and friendly smiles. Quite simply, they are trained to be the best in the world.







Rental cars and limousine services are available on-site. With Manhattan just a few miles away, business, shopping, world-class museums, and Broadway shows are all close by. Of course, business visitors on the run never even need to leave the terminal since we have conference rooms available for meetings and presentations. A smartly appointed business center and wireless internet access throughout the facility leave you well-connected to home and business.

Amenities found in Meridian's 30,000 sq. ft. terminal include a private movie theater, pool table, sleep rooms, and a gym complete with locker rooms and private showers - all for those rare occasions when travelers are awaiting departure. There are also quiet rest areas, functional work stations and state-of-the-art flight planning facilities.

Teterboro is a TSA-designated Gateway Airport for flights to Reagan Washington National Airport and Meridian is approved by the FAA as a point of departure. A private room is provided for baggage and passenger screening, and our security staff works closely with the TSA to make the screening process quick, discreet, and seamless.

It goes without saying that safety on the flight line is paramount. To that end, all Meridian line technicians receive initial and recurrent professional training through the National Air Transportation Association's *Safety First* curriculum - the industry recognized standard for excellence. Meridian's staff also incorporates an on-site security department for added safety and control of client privacy.

As an authorized Shell dealer, Meridian offers all the benefits of Shell's *AeroClass* Loyalty and Rewards Program as well as industry competitive fuel pricing and volume discounts. For Part 91 Operators, Meridian is Teterboro Airport's only Corporate Aircraft Association (CAA) preferred fixed base operator - as voted by the CAA's pilot members. CAA membership is a coveted endorsement since only 25% of the operators who apply are accepted as members.





## AIRCRAFT MANAGEMENT

**M**eridian offers turnkey solutions that would allow aircraft owners to enjoy all the benefits of ownership without all the hassles or worries. We manage all aspects of the process: startup, maintenance record tracking, maintenance coordination, financial reporting, crew recruitment, charter sales, marketing, and flight operations. Our knowledgeable aircraft management team will ensure operations are conducted safely and securely. We even personalize the process by dedicating a senior Management Team member to act as your Owner-Advocate.

### **Customized Asset Management**

We know that each client is unique. That's why our Management Team will customize a program for each aircraft owner and tailor it to their specific needs. Whether you are looking to offset ownership costs through charter revenue, or you are supporting the needs of your own private flight department, Meridian can structure it the way you want it. Our Management Team will guide you through the process by arranging for certification, insurance, training, and maintenance. We will keep



you informed of the latest regulatory requirements that may impact your aircraft, and offer you solutions to maximize the operational efficiency and value of the Meridian Management Program.

#### **Fleet Savings**

Expenses such as fuel, aircraft insurance, maintenance and training are all significant costs for any aircraft owner. We currently manage over twenty jet aircraft, ranging from Very Light to Ultra Long Range. By leveraging our buying power and industry relationships, Meridian can save you money with the efficiencies of being part of a large and active fleet.

#### **Flight Operations**

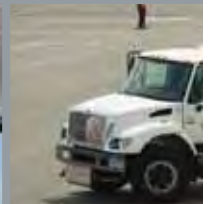
Meridian's flight support team is comprised of licensed dispatchers who are available 24/7. Meridian has successfully dispatched and flown trips all over the world, experience that makes a difference when it comes to getting you on your way without delay. As a client of Meridian, you will also have a dedicated owner-service team member who will work directly with you to coordinate your travel details and cater to your specific onboard requests.

#### **Visit Us**

If you're considering an Aircraft Management company, we suggest you come to Meridian to see our operation first-hand. We think you'll be impressed. Contact us to arrange a visit.

Our Management Team will customize a program for each aircraft owner and tailor it to their specific needs.











## AIR CHARTER

Chartering an aircraft could mean flying you to a location just 30 minutes away, or to the far ends of the Earth. Either way, the key is finding a charter provider who understands your needs and has the aircraft and support structure available to make your trip happen seamlessly.

At Meridian, we understand charter. We have a fully staffed sales team available 24/7 to fulfill your travel needs. They are augmented by a comprehensive in-house flight department for round-the-clock trip support. These dedicated professionals focus on optimizing the fleet of business jets that Meridian manages and operates. If the right aircraft for the job is not available within our fleet, Meridian has a comprehensive network of broker-partners to tap for safe, impeccably operated aircraft.

Since every passenger experience must be nothing less than perfect, Meridian has a dedicated Client Services Manager who ensures that each aircraft is properly prepared and stocked for the trip. She is responsible for briefing the crew







on specific passenger needs and catering requests, right down to the brand of bottled water. If desired, the Client Services Manager is able to meet and greet passengers when they arrive at the terminal for their flight, ensuring all needs are met with a face-to-face relationship.

Meridian flight crews are not just FAA qualified. They are among the most seasoned pilots in the world. You can choose the right plane for the mission from among Meridian's extensive fleet of business jets, most equipped with Wi-Fi, or have access to thousands of other aircraft through Meridian's cooperative networking arrangements.

Safety is more than a watchword at Meridian, it is part of a culture that runs deep, and starts from the top down. This high level of professionalism is recognized throughout the industry, and is demonstrated by the multiple awards it has received by charter audit companies. The company is proud to have received IS-BAO Stage 2 approval, Wyvern Wingman status, and a Platinum rating from audit firm ARG/US. It is also an active member of the Flight Safety Foundation.

Whether your needs include a one-time domestic charter trip or regular international services, Meridian has the experience and the expertise to accommodate your customized needs. Our professional crews are ready for you. Give us a call for a quote, or just to ask how charter might be right for your needs.

Our team is here to help with your specific travel needs.

Our team  
is here to help  
with your  
specific travel  
needs.



## AIRCRAFT MAINTENANCE

In 1946, Ken Forester, Sr. started his business at Teterboro Airport by getting his hands dirty. One of his first ventures was refurbishing ex-military C-47s for corporate use. So the business plan that evolved into today's Meridian has its foundation in maintenance. The company's long-standing dedication to maintenance has always been a vital part of Meridian's mission.

The current maintenance operation at Teterboro is an FAA Part 145 certified repair station. It is also certified by European Aviation Safety Agency (EASA). Honeywell has deemed Meridian an authorized TFE731 and -36 Series APU Service Center. Meridian Jet Center, as it is known, is now a Northeast Honeywell Avionics and Mechanical Parts Depot.

The fully equipped maintenance hangar has 40,000 sq. ft. under roof. The department is certified to work on Beechjets, Challengers, Citations, Falcons, Gulfstreams, Hawkers and Learjets. Available services include airframe inspection and repair, engine maintenance (minor and major), avionics repair and installation, Service Bulletin compliance, and troubleshooting.







Whether you plan to base your aircraft with Meridian, or are just visiting, ask about our professional Detailing service. Quick-turn cleanups or extensive repair, cleaning and preservation of aircraft exteriors and interiors are available. The team is expertly trained and uses only manufacturer- and FAA-approved materials and methods.

**Additional services offered by Meridian Jet Center:**

- Interior repair
- Refurbishment services
- Paint touch-up
- Avionics and component repair (and software updates)
- Battery service
- Wheel overhaul
- Engine removal and repair
- Recertification for life vests and emergency rafts

Meridian's in-house Parts Department ensures many commonly required parts will be available on our shelves, saving days of down time when unscheduled replacements are needed for transient aircraft.

Meridian's Maintenance Department is a member of the Professional Aviation Maintenance Association (PAMA) and was awarded the Teterboro PAMA Maintenance Department of the Year in 2012, and Maintenance Facility of the Year in 2013. To support ongoing education among maintenance operators and technicians, the department hosts continuing education and training classes throughout the year.

Maintenance  
has always  
been a vital  
part of  
Meridian's  
mission.



## COAST TO COAST

**M**eridian's western expansion to Hayward Executive Airport (HWD) is well underway, and the company will soon be celebrating the opening of its second FBO.

We began our westward expansion in September 2012, with the opening of a charter sales office at the San Jose International Airport (SJC) in San Jose, CA. In November of that year, a second sales office, located at the Sonoma County Airport (STS) in Santa Rosa, CA, was opened. In early 2013, Meridian based our first West Coast aircraft available for charter at HWD.

On January 1, 2014, Meridian signed a 50 year lease with the Hayward Executive Airport (HWD) with plans to build a full-service, world-class FBO and adjoining hangar at the airport. With many clients and aircraft operators already located in the San Francisco Bay area, it made sense for us to establish a presence on the West Coast.

Situated on the southeast side of the San Francisco Bay, Hayward is centrally-located between San Francisco, San Jose and Oakland. The airport can accommodate most large-cabin aircraft, has no curfew and provides convenient access to a wide variety of popular destinations.



Construction has begun on the new project, which will be completed in multiple phases. Phase I will feature a 6,300 square foot FBO, a 30,000 square foot hangar and 3.5 acres of ramp area. The hangar will be able to accommodate any corporate aircraft up to and including a Global Express and Gulfstream G650. Subsequent phases will add another 12,000 square feet of terminal space, provide an additional 80,000 square feet of hangar space and increase the ramp size by an additional seven acres.

Once fully operational, Meridian Hayward will offer fuel, maintenance services, well-appointed passenger and crew facilities, along with other amenities. The location will also serve as the West Coast base for Meridian Air Charter and Meridian Aircraft Management. We are actively working on adding to our fleet of managed aircraft based at the new facility and are excited about our expanded presence in California.

Completion of the HWD facility is expected in the fall of 2016.

## CONTACT US

### **Meridian Headquarters**

Teterboro Airport (TEB)  
Meridian  
485 Industrial Avenue  
Teterboro, NJ 07608

### **Meridian Teterboro (FBO & Customer Service)**

PHONE 201.288.5040  
FAX 201.288.4430  
EMAIL customerservice@meridian.aero

### **Meridian Aircraft Management**

PHONE 201.288.5459 x336  
FAX 201.288.1229  
EMAIL mike.moore@meridian.aero

### **Meridian Air Charter**

TOLL FREE 800.882.2333  
PHONE 201.288.5459  
FAX 201.288.1229  
EMAIL charter@meridian.aero

### **Meridian Jet Center (Aircraft Maintenance)**

PHONE 201.288.3880  
FAX 201.288.2111  
EMAIL jetmaintenance@meridian.aero  
detailing@meridian.aero

WEBSITE **[www.meridian.aero](http://www.meridian.aero)**

### **San Jose Sales Office**

San Jose International Airport (SJC)  
Meridian Air Charter  
1250 Aviation Avenue, Suite 120B  
San Jose, CA 95110

TOLL FREE 800.882.2333  
EMAIL charter@meridian.aero

### **Santa Rosa Sales Office**

Sonoma County Airport (STS)  
Meridian Air Charter  
7400 Flightline Drive  
Santa Rosa, CA 95403

PHONE 707.525.4444  
FAX 707.525.4444  
CELL 707.843.1880  
EMAIL kelly.pappas@meridian.aero





TETERBORO, NJ



HAYWARD, SANTA ROSA & SAN JOSE, CA



## GIVING BACK SPONSORSHIPS & CAUSES

Meridian is dedicated to serving its customers, employees and business partners. But it shares that commitment with a number of local charities and causes, and feels strongly about giving back to the community. The involvement goes well beyond writing the occasional check, and includes sponsorships, donations, and employee contributions of their time and energy to help those less fortunate.

**Here are some of the organizations that Meridian proudly supports:**

**Table to Table** is a community-based food rescue program that collects prepared and perishable food that would otherwise be wasted and delivers it to the hungry. Meridian supports Table to Table with a sponsorship for the Dinner of Distinction event held each spring. In addition, Meridian participates in the *Bag a Lunch, Help a Bunch* program, where employees donate what they would have spent on a day's lunch and the company matches their contributions.

**Bergen County's United Way** provides direct services to the most vulnerable residents in the community and develops programs and financial resources to address unmet needs. Meridian supports the organization through its participation in the Annual Teterboro Airport 5K.

**Shelter Our Sisters (SOS)** is a non-profit organization in its fourth decade of supporting victims of domestic violence in New Jersey's Bergen County. Meridian employees contribute to the Teterboro Airport Holiday Toy Drive which benefits the children of SOS.

**Giant Steps Therapeutic Equestrian Center** offers life-changing experiences to children and adults with a wide range of disabilities, as well as to veterans living with post-traumatic stress disorder. Meridian supports this worthy cause with a sponsorship for its annual Charity Classic.





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485 Industrial Avenue  
Teterboro, NJ 07608  
201.288.5040  
**[www.meridian.aero](http://www.meridian.aero)**



# **Exhibit R**

## **Private Flight Cost Estimate New Jersey to Denver**

## Book A Flight From Our Selection

Whether you are traveling for business or leisure Pentastar Aviation Charter, Inc. (PACI) operates a diverse selection of aircraft and our charter sales representatives have access to an expansive network of approved aircraft charter vendors so that we can provide you with the ideal aircraft to and from virtually any location.

 Aircraft Size	 Capacity	 Range (statute miles)	 Estimated Cost per Flight Hour	 Common Aircraft Type
Helicopter	3-5 Passengers	Up to 368	\$1200-1800	Bell 206BIII Bell 206L-1
Turbo Prop	6-8 Passengers	Up to 1,500	\$1800-2200	King Air 200
Light	6-8 Passengers	Up To 2,100	\$2100-2800	Citation Jet Series Hawker 400 XP Learjet 45 / 75 Phenom 300
Mid-Size	7-9 Passengers	Up To 2,700	\$2700-4000	Citation XL/XLS Hawker 800XP Hawker 850XP Hawker 900XP Learjet 80 / 80XR Gulfstream 150
Super Mid-Size	8-9 Passengers	Up To 3,600	\$3500-4500	Citation Latitude Challenger 300/350 Citation X / Sovereign Falcon 50EX Gulfstream 200 / 280 Legacy 450 / 500
Heavy	9-16 Passengers	Up To 4,500	\$4500-8500	Challenger 604 / 605 Falcon 2000 EX / LXS Gulfstream IV / IVSP / 450 / 400 Legacy 600
Ultra Long Range	12-17 Passengers	Up To 7,500	\$7000-9000	Falcon TX Gulfstream V / 550 / 650 Global

Accessed from: <https://www.pentastaraviation.com/available-aircraft>

Assumptions: Governor Hickenlooper flew on a one-way flight from Teterboro, NJ to Centennial Colorado, a flight that is approximately 1,600 miles and takes about 3.5 hours. The one way cost of the flight on a Light aircraft per seat, assuming a full plane, would range from at least \$918.75 to \$1,225.

# **Exhibit S**

Governor's Schedule from  
August 10-13, 2018

Friday, August 10, 2018

5:00 AM		
6:00 AM		
	6:35 AM - 7:15 AM Security Pick Up - 6:35 am	
7:00 AM	---> DEN	
	7:57 AM - 8:51 AM UA Flight 5379 Departs DEN @7:57AM / Lands ASE @8:51AM - BOARDING Ends at 7:42 am	
8:00 AM		
	8:51 AM - 9:30 AM Security Pick Up - 8:51 am	
9:00 AM	Aspen airport --> Basalt (22 minute drive according to Google Maps)	
	9:30 AM - 10:00 AM TAB 1 - Roaring Fork Conservancy Opening REMARKS@9:40AM (POC: Rick Lofaro, ) INDOORS/OUTDOORS, COLORADO CASUAL (RANCHWEAR PEARL SNAP SHIRT) [full time of event: 9:30 - 10:00 am] (Staff: Conor)	
10:00 AM	10:00 AM - 10:05 AM 5 minute Pull A Side with Amory Levins (POC: Amory ) - Rocky Mountain Inst. Is next door The River Center: 22800 Two Rivers Road, Basalt (Outdoor Courtyard)	10:05 AM - 10:45 AM Security Pick Up - 10:05 am Basalt --> Aspen Inst (30 minute drive according to Google Maps)
11:00 AM	10:45 AM - 12:00 PM TAB 2 - Aspen Institute Roundtable Session III: Leadership & Public Policy ROUNDTABLE@11AM (POC: Dominique Harrison , ) INDOORS, BUSINESS CASUAL [full time of event: 10:45 am - 12:00 pm] Aspen Meadows Resort, 845 Meadows Rd, Aspen, CO 81611, USA (Lauder Room in the Koch Building )	
12:00 PM	12:00 PM - 12:15 PM Security Pick Up - 12 pm Aspen Inst. -->	
1:00 PM		
2:00 PM		
3:00 PM		
4:00 PM		
5:00 PM		
6:00 PM		
7:00 PM		
8:00 PM		
9:00 PM		





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9/13/2018 16:02:39

Monday, August 13, 2018

5:00 AM		
6:00 AM		
7:00 AM		
8:00 AM		
9:00 AM		
	9:15 AM - 9:30 AM	HOLD: NC Gov Call Luis will update
10:00 AM	9:45 AM - 10:30 AM	TAB 18 AICPA Governmental Accounting and Auditing Update Conference REMARKS@9:55AM (POC: Amanda Joslin, ) INDOORS, BUSINESS [full time of event: 8am - 5:15pm] (Staff: Pat) Washington Hilton, 1919 Connecticut Ave NW, Washington, DC 20009, USA
11:00 AM		
12:00 PM		
2:00 PM		
	2:30 PM - 5:00 PM	Flight Departs Dulles @ 2:30 pm Arrives Jackson Hole @ 5 pm Dulles --> Jackson Hole - (Could land closer to 6 pm if have to stop for fueling)
3:00 PM		
4:00 PM		
5:00 PM	5:00 PM - 6:00 PM	Security Pick Up - 5pm or 6 pm depending on landing time Jackson Hole Airport --> Four Seasons
6:00 PM		
	6:30 PM - 8:00 PM	TAB 21 AEI Reception and Dinner
7:00 PM		
8:00 PM	8:15 PM - 9:15 PM	TAB 21 AEI Dinner Conversation
9:00 PM		



# **Exhibit T**

Governor's Schedule from  
September 6 -10, 2018

Thursday, September 6, 2018

5:00 AM		
6:00 AM		
7:00 AM		
8:00 AM	8:00 AM - 9:30 AM TAB 3 - Biennial: Artificial Intelligence Hub [Dress: Business Casual] (Staff: Conor) (POC: Lynnea Louison, [REDACTED]) St Paul Hotel, 355 Rue McGill, Montréal, QC H2Y 2E8, Canada	
9:00 AM	9:30 AM - 10:15 AM Security Pick Up - 9:30 am St Paul Hotel --> Bombardier (35 minute drive according to google maps)	
10:00 AM	10:15 AM - 11:45 AM TAB 4 - Biennial: Tour Bombardier Assembly Facility [Dress: Business Casual] (Staff: Conor) (POC: Lynnea Louison, [REDACTED]) Bombardier Global Completion Center - Percival Reid, 200 Boulevard de la Côte-Vertu, Dorval, QC H9P 1A2, Canada	
11:00 AM		
12:00 PM	11:45 AM - 12:30 PM Security Pick Up - 11:45 am Bombardier --> Lunch Spot	
1:00 PM	12:30 PM - 1:15 PM Grab lunch at Le Cartet Resto (Grab and Go sandwich or can sit down) Le Cartet Resto Boutique, 106 Rue McGill, Montréal, QC H2Y 2E5, Canada	
	1:15 PM - 1:30 PM Security Pick Up - 1:15 pm Le Cartet --> Minister's office (3 minute drive or could walk over in about a minute)	
	1:30 PM - 2:00 PM Meet with Minister of Transportation Graunau (Staff: Conor) (POC: Sachin Bucktowsing [REDACTED]) 400 Place d'Youville, Montreal, QC, Canada	
2:00 PM	2:00 PM - 3:00 PM Security Pick Up - 2 pm Minister Granau's office --> Montreal Airport (40 minute drive according to Google Maps)	
	2:15 PM - 2:30 PM Daily Check in Call - Donna, Pat, Marie & Jacque ([REDACTED]) 2:15 pm Montreal/12:15 pm Denver	
3:00 PM	3:00 PM - 3:30 PM TAB 6 - Interview with CiCi Stevens from ArcNews - The Science of Where (POC: [REDACTED]) (Staff:Conor) Call from Montreal Airport	
4:00 PM		
5:00 PM		
6:00 PM		
8:00 PM		
9:00 PM		



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Saturday, September 8, 2018



5:00 AM		
6:00 AM		
7:00 AM		
8:00 AM		
9:00 AM		
10:00 AM		
11:00 AM		
12:00 PM		
1:00 PM		
2:00 PM		
3:00 PM		
4:00 PM		
5:00 PM		
6:00 PM		
7:00 PM		
8:00 PM		
9:00 PM		

9/13/2018 16:03:31

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9/13/2018 16:03:32

Monday, September 10, 2018

5:00 AM		
6:00 AM		
7:00 AM		
8:00 AM	7:45 AM - 8:50 AM Security Pick Up - 7:45 am --> Loveland Park and Ride (45 to 1 hour 5 minute drive according to Googlemaps)	
9:00 AM	8:50 AM - 9:25 AM TAB 1 - I-25 North Express Lanes Groundbreaking REMARKS @9:00AM (POC: Aaron Greco, OUTDOORS, BUSINESS CASUAL (Staff: Conor) 9:25 AM - 10:00 AM Security pick Up - 9:25 am Loveland Park and Ride --> Bohn Park in Lyons (30 to 45 minute drive according to google maps)	
10:00 AM	10:00 AM - 11:30 AM TAB 2 - Colorado 5 Year Flood Anniversary REMARKS@10:35AM (POC: Natriece Bryant, OUTDOORS, BUSINESS CASUAL [full time of event: 10 - 11:30 am] Bohn Park, 199 2nd Ave, Lyons, CO 80540, USA	
11:00 AM	11:30 AM - 12:00 PM Security Pick Up - 11:30 am /Sandwiches from St. Vrain Market (Connie Sullivan the Mayor of Lyons owns the Market) Bohn Park --> Daily Camera (25 to 35 minute drive according to googlemaps)	
12:00 PM	12:00 PM - 12:30 PM TAB 3 - Sit down with Daily Camera Ed Board (POC: (Staff: Jacque) 2500 55th St, Boulder, CO 80301, USA 12:30 PM - 1:30 PM Security Pick Up - 12:30 pm Boulder --> State Capitol (35 to 55 minute Drive According to Googlemaps)	
1:00 PM	1:30 PM - 2:00 PM Weekly Calendar Review and Scheduling CALL IN NUMBER (CALL IN: ) Conference Room	
2:00 PM	2:00 PM - 4:00 PM Office Time/Work Time Governor's Office	
3:00 PM		
4:00 PM	4:00 PM - 4:45 PM TAB 5 - Budget Time: Higher ED (Staff: Lauren) Governor's Office 4:45 PM - 5:15 PM Security Pick Up - 4:45pm	
5:00 PM		
6:00 PM		
7:00 PM		
8:00 PM		
9:00 PM		

9/13/2018 16:03:36

# **Exhibit U**

Governor's Schedule from  
January 16 & 20, 2017

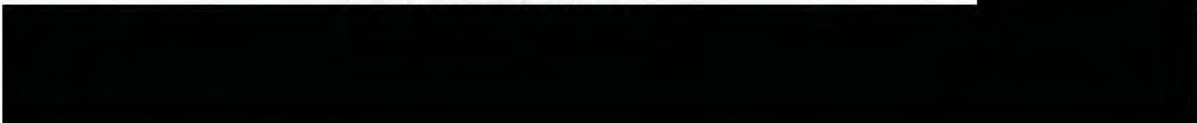


Monday, January 16, 2017



3:00 PM	3:15 PM - 3:45 PM Security Pickup- 3:15 pm [REDACTED] --> Liberty Global Hangar, Aero Colorado, 8082 S Interport Blvd., Englewood, CO 80112
4:00 PM	Jan 16 3:45 PM - Jan 17 10:00 AM Flight Departs Denver / Arrives at Zurich Denver--> Zurich
5:00 PM	

Friday, January 20, 2017



12:00 PM	12:00 PM - 2:48 PM Flight Departs Zurich/ Arrives at Denver Zurich--> Denver
1:00 PM	
2:00 PM	
3:00 PM	2:48 PM - 3:30 PM Security Pickup- 2:48 pm EXTRA TIME FOR TRAFFIC Liberty Global Hangar, Aero Colorado, 8082 S Interport Blvd., Englewood, CO 80112--> [REDACTED]

## **Exhibit V**

Governor's Schedules to Davos,  
Switzerland for 2012, 2015 and  
2016

Monday

S	M	T	W	T	F	S
1	2	3	4	5	6	7
8	9	10	11	12	13	14
15	16	17	18	19	20	21
22	23	24	25	26	27	28
29	30	31				

S	M	T	W	T	F	S
			1	2	3	4
5	6	7	8	9	10	11
12	13	14	15	16	17	18
19	20	21	22	23	24	25
26	27	28	29			

Tuesday, January 20, 2015



5:00 AM	
6:00 AM	
7:00 AM	7:00 AM - 7:30 AM [REDACTED]
8:00 AM	8:00 AM - 8:20 AM Security Pick Up- 8:00 am [REDACTED] Capitol

2:00 PM	2:15 PM - 3:12 PM Security Pick Up- 2:15 pm (Extra Time) Capitol--> Centennial Airport	
3:00 PM	Jan 20 3:12 PM - Jan 21 9:00 AM FLIGHT Airport --> Zurich	
4:00 PM		
5:00 PM		
6:00 PM		
7:00 PM		7:00 PM - 7:00 PM STATE OF THE UNION (7:00-8:30 PM) 7:30 PM - 7:30 PM [REDACTED]
8:00 PM		
9:00 PM		



Tuesday, January 19, 2016



5:00 AM	
6:00 AM	
7:00 AM	
8:00 AM	
	8:40 AM - 9:00 AM Security Pickup - 8:40am [REDACTED] - Capitol
9:00 AM	9:00 AM - 9:30 AM TAB 4 Daily Leadership Meeting with Governor (Staff: Doug) IN CONFERENCE ROOM
10:00 AM	9:00 AM - 10:00 AM TAB 3 Discovery Channel Video (Staff: Kathy) Governor's Office
11:00 AM	10:45 AM - 11:15 AM Bi-Weekly with KO Governor's Office
12:00 PM	11:15 AM - 1:00 PM Office Time/ Work Time/ Lunch Time Governor's Office
1:00 PM	
	1:30 PM - 2:15 PM Scheduling (Staff: Doug, Hanna, Lisa) Govs Office
2:00 PM	
	2:15 PM - 3:00 PM Security Pick Up- 2:15 pm Capitol -> Centennial Airport
3:00 PM	3:00 PM - 11:30 PM FLIGHT TAB [REDACTED]

Friday, January 22, 2016

5:00 AM			
6:00 AM			
3:00 PM	3:00 PM - 5:30 PM FLIGHT TAB Zurich -> Centennial		
4:00 PM			
5:00 PM		5:00 PM - 5:00 PM FYI: 4:00 to 6:00 pm (DAYOS TIME) Penny Parker Celebration of Life EXDO Event Center, 1399 35th St, Denver, CO 80205, United States	
		5:30 PM - 6:00 PM	
6:00 PM			
		6:30 PM - 9:30 PM	

# **Exhibit W**

Governor's Schedule from  
September 18 - 20, 2015

Friday, September 18, 2015

5:00 AM	
6:00 AM	
7:00 AM	
8:00 AM	8:00 AM - 11:00 AM Security Pick Up- 8:00 am (EXTRA TIME FOR TRAFFIC)- Jamie V. riding with you Julesburg--> DU (approx. 2.5 hour drive according to Google Maps)
9:00 AM	9:00 AM - 9:30 AM One on One CALL with Joe Garcia and Gov (Staff: Doug) DIAL [REDACTED] 9:30 AM - 10:00 AM TAB 1- Call Time in the car
10:00 AM	10:00 AM - 10:30 AM Daily CALL with Doug, Alan and Jacki DIAL [REDACTED] ACCESS CODE [REDACTED]
11:00 AM	11:00 AM - 1:00 PM TAB 2 -Rebecca Chopp's Inauguration and Discussion-Gov is Moderator (POC Claire Brownell [REDACTED]) INDOORS, BUSINESS, LUNCH SERVED Ritchie Center (Gates Field House or Hamilton Gym), 2201 E. Asbury Ave. Denver, CO 80208
12:00 PM	
1:00 PM	1:00 PM - 1:30 PM Visit with Secretary Tom Perez (No Staff) Leo Block Alumni Center Conference Room, 2190 E. Asbury Ave, Denver CO 80208 1:30 PM - 2:00 PM Security Pick Up- 1:00 pm DU--> Centennial Airport
2:00 PM	2:00 PM - 3:00 PM Flight to Wyoming Centennial Airport (12435 Liberty Blvd, Englewood, CO 80112)--> Hunt Field Airport, WY
3:00 PM	3:00 PM - 3:30 PM Security Pick Up- 3:00 pm Hunt Field Airport--> Pronghorn Lodge [REDACTED] 3:30 PM - 6:00 PM [REDACTED]
4:00 PM	4:00 PM - 4:00 PM [REDACTED]
5:00 PM	5:30 PM - 5:30 PM FYI- Cancer League over the Edge event/ Reception (POC: Laura Teal)
6:00 PM	6:00 PM - 6:30 PM [REDACTED]
7:00 PM	
8:00 PM	
9:00 PM	

9/17/2015 13:51:58



Saturday, September 19, 2015

5:00 AM			
6:00 AM			
7:00 AM			
8:00 AM	8:00 AM - 5:00 PM		
9:00 AM			
10:00 AM			
11:00 AM		11:15 AM - 11:15 AM	
12:00 PM			
1:00 PM			
2:00 PM			
3:00 PM			
4:00 PM			
5:00 PM			
6:00 PM	6:00 PM - 6:30 PM		
7:00 PM			
8:00 PM			
9:00 PM			

9/17/2015 13:52:00

Sunday, September 20, 2015

5:00 AM		
6:00 AM		
7:00 AM		
8:00 AM	8:00 AM - 9:30 AM	
	Lander, WY	
9:00 AM		9:00 AM - 9:00 AM
	9:30 AM - 10:00 AM Security Pick Up- 9:30 am	
10:00 AM	10:00 AM - 11:00 AM Flight to Colorado Hunt Field Airport--> Centennial Airport (12435 Liberty Blvd, Englewood, CO 80112)	
11:00 AM	11:00 AM - 11:30 AM Security Pick Up-11:00 am Centennial Airport (12435 Liberty Blvd, Englewood, CO 80112)-->	
12:00 PM		
1:00 PM		
2:00 PM	2:00 PM - 3:00 PM	
3:00 PM		
4:00 PM		
5:00 PM		
6:00 PM	6:30 PM - 6:30 PM	
7:00 PM	7:00 PM - 9:00 PM	
8:00 PM		
9:00 PM		

9/17/2015 13:52:01

# **Exhibit X**

## Governor's Schedule from October 24, 2012

Wednesday, October 24, 2012

Oct 22 to Oct 24	
Oct 24	
Oct 24 812	
5:00 AM	
6:00 AM	
7:00 AM	7:20 AM - 8:00 AM Security pick Up-7:20am Biennial (Liberty Global Hangar)
8:00 AM	8:00 AM - 8:34 AM Flight Denver to Aspen Liberty Hangar located at located at 12435 Liberty Boulevard, Englewood, CO 8:30 AM - 9:00 AM Pick Up - 8:30am (POC: Val Schlect) (Staff: Jamie V) Aspen Airport--> Little Nell
9:00 AM	9:00 AM - 11:30 AM TAB 1-Dress is Casual-Jeans and Sport Coat-Biennial Board Meeting (POC: Val Schlect) (Staff: Jamie V) The Little Nell-Terrace Room-675 East Durant Avenue Aspen, CO 81611
10:00 AM	
11:00 AM	11:30 AM - 11:56 AM Take Shuttle from Little Nell To Aspen Airport (POC: Val Schlect) (Staff: Jamie V) Little Nell--> Aspen Airport 11:56 AM - 12:30 PM Flight Aspen to Denver Aspen--> Denver
12:00 PM	12:30 PM - 1:10 PM Security Pick Up-12:30pm-Will, will have a sandwich for you in the car Liberty Hangar-->
1:00 PM	1:10 PM - 3:30 PM TAB 2-  1:30 PM - 1:40 PM Call w/ Sec Seballus Secretary calling office line to connect
2:00 PM	
3:00 PM	
4:00 PM	3:30 PM - 3:45 PM Security Pick Up-3:30pm 3:45 PM - 5:00 PM Budget Meeting with Senior Staff Governor's Office
5:00 PM	5:00 PM - 5:45 PM Office Time/Work Time Governor's Office  5:45 PM - 6:00 PM Security Pick Up-5:45pm The Capitol
6:00 PM	6:00 PM - 10:00 PM TAB 3-Dress is Suit and Tie-National Jewish Dinner (Staff:Roxane) Mansion
7:00 PM	
8:00 PM	
9:00 PM	

# **Exhibit Y**

Governor's Schedule from  
June 22, 2012



# June 22, 2012

Friday

June 2012						
S	M	T	W	T	F	S
					1	2
3	4	5	6	7	8	9
10	11	12	13	14	15	16
17	18	19	20	21	22	23
24	25	26	27	28	29	30

July 2012						
S	M	T	W	T	F	S
1	2	3	4	5	6	7
8	9	10	11	12	13	14
15	16	17	18	19	20	21
22	23	24	25	26	27	28
29	30	31				

22	Friday	
	From Jun 21	To Jun 24
	From Jun 21	To Jun 24
	936	
	Dress For All Day ARKANSAS IS BIZ CASUAL; Hickenlooper, John	
7 am		
8 00	Security Detail 9:15am	
9 00	TAB 1-Flight Denver 9am MT To Arkansas 11:30am CT 12435 Liberty Boulevard, Englewood, CO 80112-->Arkansas Hickenlooper, John	
10 00		
11 00		
	Pick Up-11:30am NW Arkansas Regional Airport -->Crystal Bridges Museum of American Art; Hickenlooper, John	
12 pm	TAB 1-Trail Tour with Scott Eccleston, Director of Trails and Grounds (Staff: Jamie) 600 Museum Way Bentonville, Arkansas 72712 Hickenlooper, John	
1 00		
	TAB 2-Lunch with Don Badgalupi, Director, Sandy Edwards, Deputy Director of Museum Relations, Niki Stewart, Director of Education, and David Houston, Director of Curatorial (Staff: Jamie) Eleven at Crystal Bridges 600 Museum Way Hickenlooper, John	
2 00		
	Break (Staff: Jamie) Crystal Bridges, Hickenlooper, John	TAB 1-Gallery Tour with David Houston, Director of Curatorial (Staff: Jamie) Crystal Bridges Museum of American Art 600 Museum Way Bentonville, Arkansas 72712 Hickenlooper, John
3 00		
4 00		
5 00	TAB 1-On Your Own Time at the Gallery (Staff: Jamie) Crystal Bridges Museum of American Art 600 Museum Way Bentonville, Arkansas 72712 Hickenlooper, John	
6 00	TAB 1-Cocktails and Dinner (Staff: Jamie) Eleven at Crystal Bridges Hickenlooper, John	
7.30pm - 8 00pm Pick Up 7 30pm		
8 00pm - 9 00pm TAB 1-Flight Arkansas departs 8pm CT to Denver Arrives 9pm MT(Arkansas-->12435 Liberty Boulevard, Englewood, CO 80112)		

# **Exhibit Z**

Governor's Schedule from  
February 7, 2016

Sunday, February 7, 2016

5:00 AM	
6:00 AM	
7:00 AM	
8:00 AM	
9:00 AM	<div>9:00 AM - 9:00 AM FYI-St. John's Service St. Johns Cathedral-1350 Washington Street Denver, CO 80203</div>
10:00 AM	9:57 AM - 11:03 AM Flight departs at 9:57 am / Arrives in San Francisco SFO at 11:03 am Vail Valley Jet Center--> San Francisco
11:00 AM	11:03 AM - 12:30 PM Security Pickup- 11:03 am (approx. 45 min drive to stadium) San Francisco SFO--> Levis Stadium, Santa Clara
12:00 PM	12:30 PM - 1:50 PM TAB 1 - Interview with Fox (National) (Interview Hit Time at 1:40 pm PT ) (Staff: Doug) (POC: Melissa Chrise [REDACTED]) Levis Stadium: "Domestic Compound" Santa Clara
1:00 PM	
2:00 PM	
3:00 PM	3:30 PM - 7:30 PM SUPERBOWL 50 [REDACTED]
4:00 PM	
5:00 PM	
6:00 PM	
7:00 PM	
8:00 PM	7:30 PM - 10:57 PM Security Pickup-7:30 pm EXTRA TIME-- Santa Clara--> [REDACTED]
9:00 PM	

2/11/2016 11:34:00

## **Exhibit AA**

# Governor's Schedule Showing Flights To and From Rocky Mountain Regional Airport

Thursday, February 2, 2017

5:00 AM	
6:00 AM	
7:00 AM	
8:00 AM	8:00 AM - 9:15 AM Breakfast with Peter Shumlin and James Hagedorn, CEO of Scotts Miracle-Gro [REDACTED]
9:00 AM	9:15 AM - 9:30 AM Security Pickup- 9:15 am [REDACTED] --> Capitol 9:30 AM - 9:45 AM TAB 1 Videotaping: Message RE: Colorado State Fair as Red Cross' Hometown Hero for being an evacuation site during last year's fires (Staff: Cate) Governor's Office
10:00 AM	10:00 AM - 10:20 AM Bi-weekly with Executive Staff CALL IN LINE: [REDACTED] Governor's Office 10:20 AM - 10:50 AM Security Pickup- 10:20 am Capitol--> Rocky Mountain Metropolitan Airport



Sunday, April 8, 2018

5:00 AM		
6:00 AM		
7:00 AM		
8:00 AM		
9:00 AM	9:00 AM - 10:30 AM	
10:00 AM		
11:00 AM		
12:00 PM		
1:00 PM	1:00 PM - 1:20 PM	
2:00 PM	2:00 PM - 4:30 PM	
3:00 PM		
4:00 PM		
5:00 PM	4:30 PM - 5:30 PM Security Pick Up with RSP - 4:30pm Drop off RSP @ Rocky Mountain Metropolitan Airport --> UVC Banquet @ Renaissance on Quebec	

# July 28, 2012

Saturday

July 2012							August 2012						
S	M	T	W	T	F	S	S	M	T	W	T	F	S
1	2	3	4	5	6	7				1	2	3	4
8	9	10	11	12	13	14	5	6	7	8	9	10	11
15	16	17	18	19	20	21	12	13	14	15	16	17	18
22	23	24	25	26	27	28	19	20	21	22	23	24	25
29	30	31					26	27	28	29	30	31	

1 <sup>00</sup>	<b>Security pick Up-1:00pm</b> Buckley-->Jeffco Airport (36 minute drive according to google maps) Hickenlooper, John												
2 <sup>00</sup>	<b>Flight Tab-Flight to Aspen</b> 9596 METRO AIRPORT AVE 69 E. AIRPORT RD. Broomfield-->Aspen (41 minute Flight time) ; Hickenlooper, John												
3 <sup>00</sup>	<b>Security Pick Up-2:41pm</b> Aspen Airport--> St Regis Aspen Hickenlooper, John												
4 <sup>00</sup>	<b>TAB 2-Meet with Welltok (Staff: Alan and Laura) (POC:Scott Rotermur</b> St Regis Board Room in basement; Hickenlooper, John												
	<b>TAB 3-Meeting with SAS (POC: Mike Feeley and Laura</b> St Regis-Board Room in basement; Hickenlooper, John												
	<b>TAB 4-Meet with Sanofi (Staff: Laura and Alan) (POC: Laura</b> St Regis-Board Room. Basement; Hickenlooper, John												
5 <sup>00</sup>	<b>TAB 5-Drinks with NextEra (Staff: Alan and Laura) (POC: Andy Spielma</b> St Regis-Bar-315 East Dean St. Aspen; Hickenlooper, John												
6 <sup>00</sup>													

# **Exhibit BB**

## Kimbal Musk Wedding Event Reports



Accessed from Instagram: April, 9 2018 – Picture shows Governor Hickenlooper officiating wedding in Dallas, TX one day before flying into Rocky Mountain Airport on a private jet.

**CultureMap Dallas:** Kimbal Musk and Wyly heiress celebrate wedding at Dallas restaurant  
<http://dallas.culturemap.com/news/restaurants-bars/04-09-18-cedar-social-wedding-reception-kimbal-musk-christiana-wyly/>

“But according to an attendee, it was an "intimate" affair with about 100 people, which included friends, family members, and notables such as **Colorado Governor John Hickenlooper** — Musk is a resident of Boulder — and actor Val Kilmer, who also made an [appearance](#) on April 8 at Fan Expo, a pop culture convention at Kay Bailey Hutchison Convention Center.”

# **Exhibit CC**

## Working Copy of Private Aircraft Travel



<b>Date</b>	<b>Departure Airport</b>	<b>Arrival Airport</b>	<b>Purpose of Trip</b>	<b>Private Airports Listed?</b>
9/16/2011	Centennial Airport	Lander, WY	One Shot Antelope Hunt	Centennial
9/18/2011	Lander, WY	Centennial Airport	One Shot Antelope Hunt	Centennial
1/23/2012	Centennial Airport	Zurich, Switzerland	WEF in Davos	Centennial
1/29/2012	Zurich, Switzerland	Denver	WEF in Davos	N/A
5/30/2012	Denver	Chicago-Midway, IL	Kellogg Innovation Network	N/A
6/3/2012	Philadelphia, PA	DIA	Visit to University of Princeton	N/A
6/5/2012	Centennial Airport	Aspen	Montreaux Energy in Aspen, meeting with Bruce Etkin, Aspen Institute event	Centennial
6/5/2012	Aspen	Centennial Airport	Montreaux Energy in Aspen, meeting with Bruce Etkin, Aspen Institute event	Centennial
6/22/2012	12435 Liberty Blvd., Englewood, ( NW Arkansas Regional Airport, AR		"Trail Tour," lunch with Crystal Bridges Mueseum of American Art officials, gallery tour, cocktails and dinner	12435 Liberty Blvd., Englewood, CO
6/22/2012	NW Arkansas Regional Airport, A 12435 Liberty Blvd., Englewood, CO		"Trail Tour," lunch with Crystal Bridges Mueseum of American Art officials, gallery tour, cocktails and dinner	12435 Liberty Blvd., Englewood, CO
7/15/2012	Newport News, VA	Oakland County Intl. (PTK), MI	Meetings with automakers	N/A
7/15/2012	Detroit, MI	DIA	Meetings with automakers	N/A
7/28/2012	9596 Metro Airport Ave., Broomf Aspen		Meetings with Welltok, Sanofi, SAS, NextEra; lunch with Ed and Betsy Martson	9596 Metro Airport Ave., Broomfield, CO(?)
7/29/2012	Aspen	9596 Metro Airport Ave., Broomfield, CO	Meetings with Welltok, Sanofi, SAS, NextEra; lunch with Ed and Betsy Martson	9596 Metro Airport Ave., Broomfield, CO(?)
9/4/2012	DIA	Charlotte, NC	DNC	N/A
9/14/2012	Centennial Airport	Lander, WY	One Shot Antelope Hunt	Centennial
9/16/2012	Lander, WY	Centennial Airport	One Shot Antelope Hunt	Centennial
10/24/2012	Centennial Airport	Aspen	"Biennial Board Meeting," then redacted	Centennial
10/24/2012	Aspen	Centennial Airport	"Biennial Board Meeting," then redacted	Centennial
11/16/2012	Centennial Airport	Holyoke	"Baileyneal Club in Holyoke"	Centennial
11/17/2012	Holyoke	Centennial Airport	"Baileyneal Club in Holyoke"	Centennial
1/22/2013	Washington, D.C. (IAD)	Zurich, Switzerland	WEF in Davos	N/A
1/26/2013	Zurich, Switzerland	Denver	WEF in Davos	N/A
2/15/2013	Centennial Airport	Idaho	Unknown; schedule blank/redacted	Centennial
2/18/2013	Idaho	Centennial Airport	Unknown; schedule blank/redacted	Centennial
6/4/2013	Key West, FL	Centennial Airport	Unknown; schedule blank/redacted	Centennial
6/7/2013	Centennial Airport	Salt Lake City, UT	Experts and Enthusiasts remarks; meeting with Andy Puzder	Centennial
7/22/2013	Centennial Airport	Aspen	Meeting with Bob Hurst, Aspen Institute event	Centennial
10/7/2013	DIA	Newark, NJ	Redacted or unspecific	N/A
10/8/2013	LaGuardia Airport, NY	Denver	Redacted or unspecific	N/A
10/12/2013	JFK Airport, NY	N/A	Redacted or unspecific	N/A
4/1/2014	Denver	New York, NY	Rolling Stone interview; rest of schedule redacted	N/A
4/4/2014	New York, NY	Denver	Rolling Stone interview; rest of schedule redacted	N/A
5/25/2014	Laguardia Airport, NY	DIA	Tour Friends Center for Children, Wesleyan seminar on marijuana	N/A
7/27/2014	New England	DIA	Redacted or blank; possible vacation	N/A
8/14/2014	Colorado	Atlanta, GA	Time w/Trey Paris, but rest mostly redacted	N/A
8/15/2014	Atlanta, GA	N/A	Redacted or unspecific	N/A
9/2/2014	DIA	Laguardia Airport, NY	9/11 Museum events, most of rest redacted	N/A
9/5/2014	Philadelphia, PA	DIA	Redacted or unspecific	N/A
10/17/2014	DIA	BNA Airport; Nashville, TN	LEX lunch panel, otherwise mostly redacted	N/A
10/17/2014	Nashville, TN	Centennial Airport	LEX lunch panel, otherwise mostly redacted	Centennial
10/18/2014	DIA	Durango	Durango Herald ed board, then redacted	N/A
11/7/2014	Denver	Reykjavik, Iceland	Redacted or blank; possible vacation	N/A
11/11/2014	Iceland	Denver	Redacted or blank; possible vacation	N/A
11/19/2014	Denver	Washington, D.C.	CAP conference, WSJ interview, meeting with Sec. Jewell, meeting with Arturo Sarukhan, dinner with Shaun Donovan	N/A
11/20/2014	Washington, D.C.	Denver	CAP conference, WSJ interview, meeting with Sec. Jewell, meeting with Arturo Sarukhan, dinner with Shaun Donovan	N/A
12/5/2014	DIA	Las Vegas, NV	Trap/Skeet Shoot in Vegas, WGA events, rodeo	N/A
12/7/2014	Las Vegas, NV	DIA	Trap/Skeet Shoot in Vegas, WGA events, rodeo	N/A
12/9/2014	DIA	LAX	DGA events	N/A
1/20/2015	Centennial Airport	Zurich, Switzerland	WEF in Davos	Centennial
1/24/2015	Zurich, Switzerland	N/A	WEF in Davos	N/A
7/29/2015	Greenwich, CT/NYC	Centennial Airport	Redacted or unspecific	Centennial
8/28/2015	Centennial Airport	Bellingham, WA	WA event hosted by Tom Hughes	Centennial
8/30/2015	Washington	Denver	WA event hosted by Tom Hughes	N/A
9/18/2015	Centennial Airport	Lander, WY	One Shot Antelope Hunt	Centennial
9/20/2015	Lander, WY	Centennial Airport	One Shot Antelope Hunt	Centennial
11/13/2015	DIA	Laguardia Airport, NY	Redacted or unspecific	N/A
11/15/2015	Laguardia Airport, NY	DIA	Redacted or unspecific	N/A
1/19/2016	Centennial Airport	Zurich, Switzerland	WEF in Davos	Centennial
1/22/2016	Zurich, Switzerland	Centennial Airport	WEF in Davos	Centennial
2/7/2016	Vail Valley Jet Center; Vail, CO	SFO; San Francisco, CA	Super Bowl 50	Vail Valley Jet Center
2/7/2016	Santa Clara, CA	Denver	Super Bowl 50	N/A
5/1/2016	DIA	Beverly Hills, CA	Milken Institute event	N/A
6/11/2016	Centennial Airport	Jackson Hole, WY	WGA events and a book signing	Centennial
6/13/2016	Jackson Hole, WY	Centennial Airport	WGA events and a book signing	Centennial
7/14/2016	N/A	Des Moines, IA	NGA events	N/A
10/29/2016	Colorado	Chicago, IL	Unknown; schedule blank/redacted	N/A
1/16/2017	Aero Colorado	Zurich, Switzerland	WEF in Davos	Aero Colorado
1/20/2017	Zurich, Switzerland	Aero Colorado	WEF in Davos	Aero Colorado
2/2/2017	Denver	Havana, Cuba	Biennial of the Americas events in Cuba	Rocky Mountain Metropolitan Airport
2/5/2017	Havana, Cuba	Denver	Biennial of the Americas events in Cuba	Rocky Mountain Metropolitan Airport
2/10/2017	FNL; Fort Collins, CO	LAX	Redacted or unspecific	N/A
9/6/2017	N/A	Washington, D.C. (IAD)	Senate hearing on health care	N/A
9/17/2017	Riverton, WY	Centennial Airport	Unknown; schedule blank/redacted	Centennial
11/6/2017	Burbank, CA	Denver	Political events, summit in LA, and interviews	N/A
1/10/2018	Meridian; Teterboro, NJ	Centennial Airport	Bloomberg event and interviews; other than that mostly blank	Teterboro, NJ; Centennial
3/4/2018	Philadelphia, PA	Denver	Redacted or unspecific	N/A
3/10/2018	Colorado	Boise, ID	Meeting with ID-Gov. candidate AJ Balukoff	N/A
3/15/2018	Centennial Airport	Groton, CT	USS Colorado commissioning	Centennial
4/8/2018	N/A	Denver	Redacted or unspecific	Rocky Mountain Metropolitan Airport
4/15/2018	Colorado	Chicago, IL	Meetings; luncheon w/Gov. Haslam, Axe Files interview, Uchicago conversation	N/A
4/27/2018	Centennial Airport	Durango	Dinner with Ft. Lewis BOT; Ft. Lewis commencement	Centennial

4/28/2018 Durango	Centennial Airport	Dinner with Ft. Lewis BOT; Ft. Lewis commencement	Centennial
5/1/2018 Colorado	Los Angeles, CA	Milken Institute event	N/A
5/1/2018 Los Angeles, CA	Colorado	Milken Institute event	N/A
6/5/2018 DIA	Detroit, MI	ITS America meeting	N/A
6/6/2018 Detroit, MI	N/A	ITS America meeting	N/A
6/20/2018 DIA	Washington, D.C.	Meetings with foreign officials at MGM National Harbor; SelectUSA events; interviews	N/A
6/21/2018 Washington, D.C.	Vail, CO	Meetings with foreign officials at MGM National Harbor; SelectUSA events; interviews	N/A
6/22/2018 Colorado	Detroit, MI	N/A	N/A
N/A Detroit, MI	Turin, Italy	Bilderberg	N/A
6/24/2018 Turin, Italy	Colorado	Bilderberg	N/A
7/13/2018 Asheville, NC	Sun Valley, ID	Remarks on marijuana panel	N/A
7/14/2018 Sun Valley, ID	Denver	Remarks on marijuana panel	N/A
7/19/2018 Centennial Airport	Santa Fe, NM	NGA events	Centennial
7/21/2018 Santa Fe, NM	New England	Likely vacation	N/A
7/29/2018 New England	Denver	Likely vacation	N/A

# **Exhibit DD**

## **Governor Hickenlooper's Gift, Honoraria, and Benefits Disclosure Reports From 2012 to Present**

Colorado Secretary of State  
Elections Division, Campaign Finance  
1700 Broadway, Ste. 200  
Denver, CO 80290  
Ph: (303) 894-2200 ext. 6383  
Fax: (303) 869-4861  
Email: [elections@state.co.us](mailto:elections@state.co.us)  
Web: [www.state.co.us](http://www.state.co.us)

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APR 23 2012

ELECTIONS  
SECRETARY OF STATE



Doc ID: 154851 Doc Date: 4/23/2012  
Type: Reports of Gifts, Honoraria and Other Benefits  
Candidate/ Committee: HICKENLOOPER FOR COLORADO

**DISCLOSURE BY PUBLIC OFFICEHOLDER**  
**REPORT OF GIFTS, HONORARIA AND OTHER BENEFITS**  
(24-6-203, C.R.S.)

**Jurisdiction:** ☒ State  
(required)

☐ County

☐ Municipal

**Filing:**  
(required)

☒ 1<sup>st</sup> Quarter  
(due April 15)

☐ 2<sup>nd</sup> Quarter  
(due July 15)

☐ 3<sup>rd</sup> Quarter  
(due October 15)

☐ 4<sup>th</sup> Quarter  
(due January 15)

**Name of Officeholder:** John W. Hickenlooper **Office Held/District:** Office of the Governor  
(required) (required)  
**Address:** 136 State Capitol **City:** Denver, **Colorado** **Zip:** 80203  
(required) (required) (required)

1) Name of Person from Whom the Gift, Honoraria or Other Benefit Was Received:

Brit Withey/Denver Film Society Amount/Value: \$ Unknown

Date Received: 1.29.2012 Description: Press kit from 1999 movie

2) Name of Person from Whom the Gift, Honoraria or Other Benefit Was Received:

High Noon Entertainment Amount/Value: \$ Unknown

Date Received: 1.29.2012

Description: Framed pictures of Civil War Battery at Shiloh National Military Park

3) Name of Person from Whom the Gift, Honoraria or Other Benefit Was Received:

Rick Morgan Amount/Value: \$ Unknown

Date Received: 1.5.2012

Description: Bottles of home brew

4) Name of Person from Whom the Gift, Honoraria or Other Benefit Was Received:

Share in the Care Amount/Value: \$ 35 Date Received: 1.5.2012

Description: Capitol Ornament

5) Name of Person from Whom the Gift, Honoraria or Other Benefit Was Received:

Bookhardt & Toole Amount/Value: \$ 172

Date Received: 1.5.2012

Description: Koeze Delen Gift Basket

6) Name of Person from Whom the Gift, Honoraria or Other Benefit Was Received:

Denver Art Museum Amount/Value: \$ 50

Date Received: 1.9.2012

Description: Xu Beihong exhibit memento

7) Name of Person from Whom the Gift, Honoraria or Other Benefit Was Received:

Bonnie Petersen Amount/Value: \$ Over 50 Date Received: 1.13.2012

Description: Club 20 Jacket

8) Name of Person from Whom the Gift, Honoraria or Other Benefit Was Received:

West Star Aviation Amount/Value: \$ 259 Date Received: 1.13.2012

Description: Insulated Loki vest (\$129) and shell jacket (\$130)

9) Name of Person from Whom the Gift, Honoraria or Other Benefit Was Received:

Don Childers Amount/Value: \$ 132

Date Received: 2.28.2012

Description: Gloves, wallet, hat (spoke at event)

10) Name of Person from Whom the Gift, Honoraria or Other Benefit Was Received:

Ted Bailieu Amount/Value: \$ 90 Date Received: 2.28.2012

Description: Aboriginal tie and handpainted emu egg



11) Name of Person from Whom the Gift, Honoraria or Other Benefit Was Received:

Louis Bacon Amount/Value: \$ Unknown-handmade Date Received: 3.29.2012

Description: Handmade photo album

12) Name of Person from Whom the Gift, Honoraria or Other Benefit Was Received:

Ryan Tedder Amount/Value: \$ 51 Date Received: 4.12.2012

Description: Music gift

13) Name of Person from Whom the Gift, Honoraria or Other Benefit Was Received:

Epicurian Catering Amount/Value: \$ Unknown Date Received: 3.30.2012

Description: Apron, wooden spoons

14) Name of Person from Whom the Gift, Honoraria or Other Benefit Was Received:

Jeremy Duhon Amount/Value: \$ Unknown

Date Received: 3.16.2012

Description: Framed photo of Governor speaking at the TEDx Mile High inaugural in April 2011

15) Name of Person from Whom the Gift, Honoraria or Other Benefit Was Received:

Joseph Sheppard Amount/Value: \$ Unknown Date Received: 2.23.2011

Description: Handmade portrait book created by Joseph Sheppard

16) Name of Person from Whom the Gift, Honoraria or Other Benefit Was Received:

Republic of Mexico/Mexico City Amount/Value: \$ Unknown

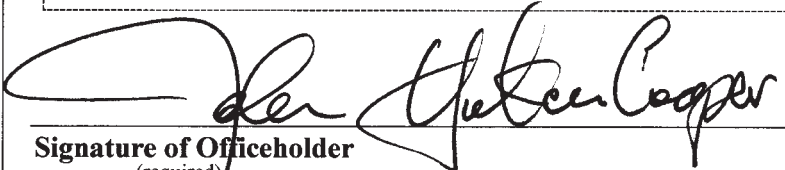
Date Received: March 2012

Description: Model Boeing Airplane—AeroMexico, Mexico City Angel, Mexico City "Distinguished Guest" medallion, Art books, History of Mexico 1810-1910 (books)

17) Name of Person from Whom the Gift, Honoraria or Other Benefit Was Received:

Western State College Amount/Value: \$ unknown Date Received: 1.13.2012

Description: Western vs Mesa Ticket (remarks given)

  
Signature of Officeholder  
(required)

4/18/2012  
Date  
(required)

**EMAILED**  
Colorado Secretary of State  
Elections Division, Campaign Finance  
1700 Broadway, Ste. 200  
Denver, CO 80202  
Ph: (303) 894-2200 ext. 6383  
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JUL 17 2012



Doc ID: 158608 Doc Date: 7/17/2012

Type: Reports of Gifts, Honoraria and Other Benefits

Candidate/ HICKENLOOPER FOR COLORADO

Committee:

**ELECTIONS**  
**COLORADO SECRETARY OF STATE**

**DISCLOSURE BY PUBLIC OFFICEHOLDER**  
**REPORT OF GIFTS, HONORARIA AND OTHER BENEFITS**  
(24-6-203, C.R.S.)

**Jurisdiction:** ☒ State  
(required)

☐ County

☐ Municipal

**Filing:**  
(required)

☐ 1<sup>st</sup> Quarter  
(due April 15)

☒ 2<sup>nd</sup> Quarter  
(due July 15)

☐ 3<sup>rd</sup> Quarter  
(due October 15)

☐ 4<sup>th</sup> Quarter  
(due January 15)

**Name of Officeholder:** John W. Hickenlooper **Office Held/District:** Office of the Governor  
(required) (required)

**Address:** 136 State Capitol **City:** Denver, **Colorado** **Zip:** 80203  
(required) (required) (required)

1) Name of Person from Whom the Gift, Honoraria or Other Benefit Was Received:

Aun Ali Khalfan Amount/Value: \$ 5.95

Date Received: 6.22.2012 Description: Qur'an

2) Name of Person from Whom the Gift, Honoraria or Other Benefit Was Received:

Josh Thiel, University of Colorado Hospital Foundation Amount/Value: \$ Unknown

Date Received: 6.15.2012

Description: Black Golf Shirt

3) Name of Person from Whom the Gift, Honoraria or Other Benefit Was Received:

Carrue Vesbette Hauser Amount/Value: \$ 21.95

Date Received: 6.4.2012

Description: Book - "Who Owns the Ice House?"

4) Name of Person from Whom the Gift, Honoraria or Other Benefit Was Received:

Embassy of Kazakhstan Amount/Value: \$ 31.02 Date Received: 6.11.2012

Description: Book - "Kazakhstan Surprises and Stereotypes"

5) Name of Person from Whom the Gift, Honoraria or Other Benefit Was Received:

Blair Richardson Amount/Value: \$ 27

Date Received: 4.22.2012

Description: Book – "No They Can't" by John Stossel

6) Name of Person from Whom the Gift, Honoraria or Other Benefit Was Received:

John Dodge Amount/Value: \$ 12.30

Date Received: 6.4.2012

Description: Book – "A Thoroughly Good Blue"

7) Name of Person from Whom the Gift, Honoraria or Other Benefit Was Received:

Erin Gorman Amount/Value: \$ 25.95 Date Received: 6.6.2012

Description: Book – "A Nation of Wussies" by Ed Rendell

8) Name of Person from Whom the Gift, Honoraria or Other Benefit Was Received:

John Sherer Amount/Value: \$ 28.99 Date Received: 6.20.2012

Description: Book – "The Rise of the Creative Class Revisited"

9) Name of Person from Whom the Gift, Honoraria or Other Benefit Was Received:

Vartan Gregorian Amount/Value: \$ 81.62

Date Received: 6.27.2012

Description: Book Collection – "The Lincoln Anthology"

10) Name of Person from Whom the Gift, Honoraria or Other Benefit Was Received:

Chuck Dodson Amount/Value: \$ Unknown

Date Received: 4.11.2012

Description: Picture collection of Colorado fire fighting vehicles

11) Name of Person from Whom the Gift, Honoraria or Other Benefit Was Received:

Nancy Phillips, Viawest Amount/Value: \$ Less than \$50

Date Received: 4.13.2012

Description: Hats shirts cups

12) Name of Person from Whom the Gift, Honoraria or Other Benefit Was Received:

Andy & Betty Anderson Amount/Value: \$ Less than \$50

Date Received: 5.3.2012

Description: Books on Gov. Cumo and railroad history

13) Name of Person from Whom the Gift, Honoraria or Other Benefit Was Received:

Robert Thomas Amount/Value: \$ Unknown

Date Received: 5.31.2012

Description: Framed concert poster

14) Name of Person from Whom the Gift, Honoraria or Other Benefit Was Received:

Daniel Junge, Seeking Common Ground Amount/Value: \$ Unknown

Date Received: 6.18.2012

Description: Six DVDs with various titles

15) Name of Person from Whom the Gift, Honoraria or Other Benefit Was Received:

John Salazar Amount/Value: \$ Unknown

Date Received: 6.18.2012

Description: Belt buckle for 140<sup>th</sup> anniversary of Colorado State Fair

16) Name of Person from Whom the Gift, Honoraria or Other Benefit Was Received:

Gov. Gregoire, State of Washington Amount/Value: \$ 6,000

Date Received: 6.30.2012

Description: Dale Chihuly glass bowl



17) Name of Person from Whom the Gift, Honoraria or Other Benefit Was Received:

RB Fast, Montessori Academy of Colorado Amount/Value: \$ Unknown

Date Received: 5.5.2012

Description: Pink block tower and framed picture

  
Signature of Officeholder  
(required)

7/17/12  
Date  
(required)

## Sherry McNeil

---

**From:** Eklund, James <James.Eklund@state.co.us>  
**Sent:** Tuesday, July 17, 2012 12:01 PM  
**To:** Public CPFhelp  
**Subject:** Disclosure by Public Officer of Gifts and Honoraria (7.2012)  
**Attachments:** Gifts disclosures (Gov and Lt. Gov) 7.2012.pdf

Campaign and Political Finance Staff- Please find attached electronic copies of the quarterly reports of the Governor and Lt. Governor. Signed originals will be hand delivered to your office this afternoon. Please let me know if you have any questions.

Best regards,

James Eklund  
Senior Deputy Legal Counsel  
Governor John Hickenlooper  
136 State Capitol Building  
Denver, Colorado 80203  
303.866.2760 (direct)  
[james.eklund@state.co.us](mailto:james.eklund@state.co.us)

Colorado Secretary of State  
 Elections Division, Campaign Finance  
 1700 Broadway, Ste. 200  
 Denver, CO 80290  
 Ph: (303) 894-2200 ext. 6383  
 Fax: (303) 869-4861  
 Email: cpfhelp@sos.state.co.us  
 Web: www.sos.state.co.us



Doc ID: 161993 Doc Date: 10-18-2012  
 Type: Reports of Gifts, Honoraria and Other Benefits  
 Candidate/ HICKENLOOPER FOR COLORADO  
 Committee:

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OCT 18 2012



Colorado Secretary of State

**DISCLOSURE BY PUBLIC OFFICEHOLDER**  
**REPORT OF GIFTS, HONORARIA AND OTHER BENEFITS**  
 (24-6-203, C.R.S.)

☐

**Jurisdiction:**

☒ State ☐ County ☐ Municipal

(required)

**Filing:**

<input type="checkbox"/> 1 <sup>st</sup> Quarte	<input type="checkbox"/> 2 <sup>nd</sup>	<input type="checkbox"/> 3 <sup>rd</sup>	<input type="checkbox"/> 4 <sup>th</sup>
	Quarter	Quarter	Quarter
(due	(due July	(due	(due
April 15)	15)	October	January
		15)	15)

(required)

**Name of Officeholder:** John W. Hickenlooper **Office Held/District:** Office of the Governor  
 (required) (required)

**Address:** 136 State Capitol **City:** Denver, Colorado **Zip:** 80203  
 (required) (required) (required)

Name of Person from Whom the Gift, Honoraria or Other Benefit Was Received:

Sarah Harrington

Amount/Value: \$ 79.50

Date Received: 09.05.2012

Description: Book – "Using Science to Reduce Violent Offending"

Name of Person from Whom the Gift, Honoraria or Other Benefit Was Received:

EFG Brownfield Partner

Amount/Value: \$ 176.65

Date Received: 09.05.2012

Description: Book – "The ASARCO Globe Smelter anno 2011"

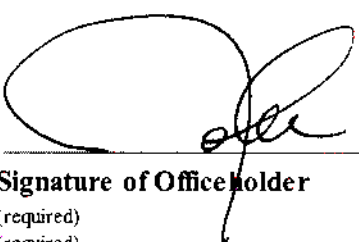
Name of Person from Whom the Gift, Honoraria or Other Benefit Was Received:

Christo

Amount/Value: \$ 250,000

Date Received: 07.30.2012

Description: 2 hand painted canvases by Christo of the Araksas River Valley drapping project. Grant of  
Artwork

  
Signature of Officeholder  
(required)  
(required)

10/15/12  
Date

Colorado Secretary of State  
Elections Division, Campaign Finance  
1700 Broadway, Ste. 200  
Denver, CO 80290  
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JAN 15 2013

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Doc ID: 166331 Doc Date: 1-15-2013  
Type: Reports of Gifts, Honoraria and Other Benefits  
Candidate/ HICKENLOOPER FOR COLORADO  
Committee:

**DISCLOSURE BY PUBLIC OFFICEHOLDER  
REPORT OF GIFTS, HONORARIA AND OTHER BENEFITS  
(24-6-203, C.R.S.)**

**Jurisdiction:** ☒ State ☐ County ☐ Municipal  
(required)

**Filing:** ☐ 1<sup>st</sup> Quarter ☐ 2<sup>nd</sup> Quarter ☐ 3<sup>rd</sup> Quarter ☒ 4<sup>th</sup> Quarter  
(required) (due April 15) (due July 15) (due October 15) (due January 15)

**Name of Officeholder:** John W. Hickenlooper **Office Held/District:** Office of the Governor  
(required) (required)

**Address:** 136 State Capitol **City:** Denver, Colorado **Zip:** 80203  
(required) (required) (required)

1) Name of Person from Whom the Gift, Honoraria or Other Benefit Was Received:

Tim Marquez Amount/Value: \$ 139.99

Date Received: 12/27/2012

Description: 3 Bottles of Chardonnay, Merlot, & Cabernet Sauvignon

2) Name of Person from Whom the Gift, Honoraria or Other Benefit Was Received:

Bob Amount/Value: \$ 59.95

Date Received: 12/27/2012

Description: Tower of Treats® Gift Classic

3) Name of Person from Whom the Gift, Honoraria or Other Benefit Was Received: Brian Hart

Amount/Value: \$ Unknown

Date Received: 01/03/2013

Description: Framed Pedal the Plains jersey

(required)  
**Signature of Officeholder**

*John W. Hickenlooper*

(required)  
**Date**

*1/15/2013*

Colorado Secretary of State Form Rev. 12/09



Colorado Secretary of State  
Elections Division, Campaign Finance  
1700 Broadway, Ste. 200  
Denver, CO 80290  
Ph: (303) 894-2200 ext. 6383  
Fax: (303) 869-4861  
Email: [cpfhlp@sos.state.co.us](mailto:cpfhlp@sos.state.co.us)  
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JUL 24 2013

ELECTIONS

SECRETARY OF STATE



Doc ID: 170379

Doc Date: 7/24/2013

Type: Reports of Gifts, Honoraria and Other Benefits

Candidate/ HICKENLOOPER FOR COLORADO

Committee:

**DISCLOSURE BY PUBLIC OFFICEHOLDER**  
**REPORT OF GIFTS, HONORARIA AND OTHER BENEFITS**  
(24-6-203, C.R.S.)

**Jurisdiction:**  
(required)

☒ State

☐ County

☐ Municipal

**Filing:**  
(required)

☐ 1<sup>st</sup> Quarter  
(due April 15)

☒ 2<sup>nd</sup> Quarter  
(due July 15)

☐ 3<sup>rd</sup> Quarter  
(due October 15)

☐ 4<sup>th</sup> Quarter  
(due January 15)

**Name of Officeholder:** John Hickenlooper **Office Held/District:** Governor  
(required) (required)

**Address:** 136 State Capitol **City:** Denver, Colorado **Zip:** 80203  
(required) (required) (required)

1) Name of Person from Whom the Gift, Honoraria or Other Benefit Was Received:

\_\_\_\_ Amount/Value: \$ \_\_\_\_

Date Received: \_\_\_\_\_

Description: \_\_\_\_\_

No gifts over 53<sup>th</sup> to report

2) Name of Person from Whom the Gift, Honoraria or Other Benefit Was Received:

\_\_\_\_ Amount/Value: \$ \_\_\_\_

Date Received: \_\_\_\_\_

Description: \_\_\_\_\_

3) Name of Person from Whom the Gift, Honoraria or Other Benefit Was Received:

\_\_\_\_ Amount/Value: \$ \_\_\_\_

Date Received: \_\_\_\_\_

Description: \_\_\_\_\_

[Signature]  
**Signature of Officeholder**  
(required)

6/30/13  
**Date**  
(required)

Colorado Secretary of State Form Rev. 12/09

Colorado Secretary of State  
Elections Division, Campaign Finance  
1700 Broadway, Ste. 200  
Denver, CO 80290  
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Web: www.sos.state.co.us



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OCT 31 2013

ELECTIONS  
SECRETARY OF STATE

**DISCLOSURE BY PUBLIC OFFICEHOLDER  
REPORT OF GIFTS, HONORARIA AND OTHER BENEFITS  
(24-6-203, C.R.S.)**

**Jurisdiction:** ☒ State  
(required)

☐ County

☐ Municipal

**Filing:**  
(required)

☐ 1st Quarter  
(due April 15)

☐ 2nd Quarter  
(due July 15)

☒ 3rd Quarter  
(due October 15)

☐ 4th Quarter  
(due January 15)

**Name of Officeholder:** John Hickenlooper **Office Held/District:** Office of the Governor  
(required) (required)

**Address:** 136 State Capitol **City:** Denver, Colorado **Zip:** 80203  
(required) (required) (required)

1) Name of Person from Whom the Gift, Honoraria or Other Benefit Was Received:

Unknown Amount/Value: \$ 90

Date Received: 9/27/13

Description: 2 Shirts, 1 Hat & 1 CD for the "Million Dollar Quartet Musical"

2) Name of Person from Whom the Gift, Honoraria or Other Benefit Was Received:

Amount/Value: \$ \_\_\_\_\_

Date Received: \_\_\_\_\_

Description: \_\_\_\_\_

**Signature of Officeholder**  
(required)

**Date**  
(required)



Doc ID: 173178 Doc Date: 10/31/2013

Type: Reports of Gifts, Honoraria and Other Benefits  
Candidate/ HICKENLOOPER FOR COLORADO  
Committee:

Colorado Secretary of State Form Rev. 12/09





Colorado Secretary of State  
Form CPF-15, Rev. 5/8/2013





Colorado Secretary of State  
Form CPF-15, Rev. 5/8/2013

Colorado Secretary of State  
Form CPF-15, Rev. 5/8/2013



Doc ID: 197411 Doc Date: 10/09/2015  
Type: Reports of Gifts, Honoraria and Other Benefits  
Candidate/ HICKENLOOPER FOR COLORADO  
Committee:

OCT 08 2015

## ELECTIONS

CO SECRETARY OF STATE

## DISCLOSURE BY PUBLIC OFFICEHOLDER REPORT OF GIFTS, HONORARIA, AND OTHER BENEFITS

(Section 24-6-203, C.R.S.)

*Filers should also review provisions of Section 3, Article XXIX of the Colorado Constitution*

\* (asterisk) indicates required information

**\*Jurisdiction:**

☐ Municipal (file with municipality)

**\*Filing Period:**

4th Quarter  
(due January 15)

**\*Name of Officeholder:** John W. Hickinlooper

**\*Address:** 136 State Capitol

\*City: Denver, Colorado \*Zip: 80203

\*Office Held/District: Governor

County Court:

**Check one of the following:**

☒ I have nothing to report

☐ I received the following gifts, honoraria, or other benefits during this period:

[illegible]

Signature of Officeholder:

Date: \_\_\_\_\_

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Form may be submitted by Fax, E-mail, hand delivery, or postal service.  
The original is not required by 159 office, please submit only once.  
Attach additional pages if needed.

Colorado Secretary of State  
Form CPF-15, Rev. 5/8/2013







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**ELECTIONS**  
**COLORADO SECRETARY OF STATE**

**(Section 24-6-203, C.R.S.)**

\* (asterisk) indicates required information

☐ Municipal (file with municipality)


4th Quarter  
(due January 15)

**\*Office Held/District:** Governor of the State of Colorado

County Court:

☐ I received the following gifts, honoraria, or other benefits during this period:

**Signature of Officeholder:**

  
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Date: 9/18/16

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Colorado Secretary of State  
Form CPF-15, Rev. 5/8/2013

## Cathy Hill

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**From:** Finch - GovOffice, Lauren <lauren.finch@state.co.us>  
**Sent:** Monday, April 18, 2016 2:46 PM  
**To:** Public CPFhelp  
**Subject:** Governor Hickenlooper Disclosure Form  
**Attachments:** Governor Hickenlooper Disclosure Form.pdf

Attached is the disclosure form for Governor Hickenlooper.

--

Lauren Finch

Executive Assistant to the Governor



136 State Capitol, Denver, CO 80203

[lauren.finch@state.co.us](mailto:lauren.finch@state.co.us) | [www.colorado.gov/governor](http://www.colorado.gov/governor)



*Under the Colorado Open Records Act (CORA), all messages sent by or to me on this state-owned e-mail account may be subject to public disclosure.*

Colorado Secretary of State  
Elections Division  
1700 Broadway, Suite 200,  
Denver, CO 80290  
Ph: (303) 894-2200  
Fax: (303) 869-4861  
Email: CPFHELP@SOS.STATE.CO.US  
Website: HTTP://WWW.SOS.STATE.CO.US/CPF



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(Section 24-6-203, C.R.S.)

Filers should also review provisions of Section 3, Article XXIX of the Colorado Constitution

**Jurisdiction:** STATEWIDE

**Filing Period:** 3RD QUARTER 2016

**Name of Officeholder:** JOHN HICKENLOOPER

**Address:** 400 8TH AVE , DENVER, CO, 80203

**Phone:** (303)866-6390 **Email:** MICHAEL.CREWS@STATE.CO.US

**Office Held/District:** GOVERNOR

☒ I have nothing to report

☐ I received the following gifts, honoraria, or other benefits during this period

Name of Person Giving	Description	Date Received	Amount/Value

Colorado Secretary of State  
Elections Division  
1700 Broadway, Suite 200,  
Denver, CO 80290  
Ph: (303) 894-2200  
Fax: (303) 869-4861  
Email: CPFHELP@SOS.STATE.CO.US  
Website: HTTP://WWW.SOS.STATE.CO.US/CPF



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(Section 24-6-203, C.R.S.)

Filers should also review provisions of Section 3, Article XXIX of the Colorado Constitution

**Jurisdiction:** STATEWIDE

**Filing Period:** 4TH QUARTER 2016

**Name of Officeholder:** JOHN HICKENLOOPER

**Address:** 136 STATE CAPITOL , DENVER, CO, 80203

**Phone:** (303)866-6390 **Email:** MICHAEL.CREWS@STATE.CO.US

**Office Held/District:** GOVERNOR

☒ I have nothing to report

☐ I received the following gifts, honoraria, or other benefits during this period

Name of Person Giving	Description	Date Received	Amount/Value

Colorado Secretary of State  
Elections Division  
1700 Broadway, Suite 200,  
Denver, CO 80290  
Ph: (303) 894-2200  
Fax: (303) 869-4861  
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(Section 24-6-203, C.R.S.)

Filers should also review provisions of Section 3, Article XXIX of the Colorado Constitution

**Jurisdiction:** STATEWIDE

**Filing Period:** 1ST QUARTER 2017

**Name of Officeholder:** JOHN HICKENLOOPER

**Address:** 136 STATE CAPITOL , DENVER, CO, 80203

**Phone:** (303)866-6390 **Email:** MICHAEL.CREWS@STATE.CO.US

**Office Held/District:** GOVERNOR

☒ I have nothing to report

☐ I received the following gifts, honoraria, or other benefits during this period

Name of Person Giving	Description	Date Received	Amount/Value



Colorado Secretary of State  
Elections Division  
1700 Broadway, Suite 200,  
Denver, CO 80290  
Ph: (303) 894-2200  
Fax: (303) 869-4861  
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(Section 24-6-203, C.R.S.)

Filers should also review provisions of Section 3, Article XXIX of the Colorado Constitution

**Jurisdiction:** STATEWIDE

**Filing Period:** 2ND QUARTER 2017

**Name of Officeholder:** JOHN HICKENLOOPER

**Address:** 136 STATE CAPITOL , DENVER, CO, 80203

**Phone:** (303)866-2471 **Email:** MICHAEL.CREWS@STATE.CO.US

**Office Held/District:** GOVERNOR

☒ I have nothing to report

☐ I received the following gifts, honoraria, or other benefits during this period

Name of Person Giving	Description	Date Received	Amount/Value